



## Celtic Sea Sprat

*Sustainable exploitation avoiding ecosystem impacts, i.e. stress testing ICES PA MSY advice*

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November 9, 2022



## Presentation Outline

- 1 Motivation
- 2 Management Strategy Evaluation
  - Management Objectives
  - Robustness
- 3 Ecosystem and Environmental Effects
- 4 Management Procedures
  - Management Procedure
  - Empirical Indices
- 5 Results



## Case Study

### Celtic Sea Sprat

- Sprat is a valuable commercial species, a major predator on zooplankton, an abundant prey for piscivorous fish and a competitor for herring.
- The sustainable exploitation of sprat is necessary to ensure the health of the Celtic Sea ecosystem and the wider fisheries sector in Ireland.
- Advice is currently based on landings, as there is insufficient information to estimate stock status, trends, or target and limit reference points
- To develop robust advice, that meets management objectives despite uncertainty, we conduct Management Strategy Evaluation using a single species Operating Model conditioned on life-history theory.
- We also demonstrate how ecosystem understanding can be incorporated within the ICES precautionary and maximum sustainable yield frameworks.



## Recommendations: WKSPRAT 2018

### Evaluate

- **Escapement Strategies** based on an absolute index of abundance
- Impact of **Ecosystem Drivers** on
  - Natural Mortality
  - Weight-at-age
  - Recruitment success



# Management Strategy Evaluation

## Six Steps

- Identification of **Management Objectives**
- Selection of **Hypotheses** about resource dynamics
- Condition an **Operating Model**, based on data and knowledge;
- Identify candidate **Management Strategies**, i.e. Management Procedures
- Run the Management Procedures as a **Feedback Controller** to simulate the long-term impact of management; in order to
- Identify the strategy that best achieves the **Management Objectives**



# Management Strategy Evaluation

## Objectives Mapped to

- **Performance Metrics** i.e.
  - **Safety:**  $B : B_{lim}$
  - **State:**  $F : F_{MSY}$  &  $B : B_{BSY}$
  - **Yield:**  $MSY$
  - **Stability:** Inter-annual variation in catch
  - **Ecosystem:**  $B_{Escapement}$

# Operating Model

Hypotheses chosen to represent **Uncertainty**, and **Concerns** of stakeholders

## Scenarios

- **Base Case:** not necessarily the most plausible in this example intended as a strawman that can be used to identify main sources of uncertainty.
- **Robustness Set:** capture the main uncertainties
- **Sensitivity Test:** to identify potential and existing data sets that can improve advice



# Operating Model

## Conditioning

- **Seasonal:** allows for in year growth, mortality, ...
- **Life Histories:** to explore ecological hypotheses and to evaluate benefits of getting stock specific data
- **Natural Mortality:**
  - **M1** Background mortality, e.g. related to climate
  - **M2** Predation mortality
- **Sub-stocks:** Next step



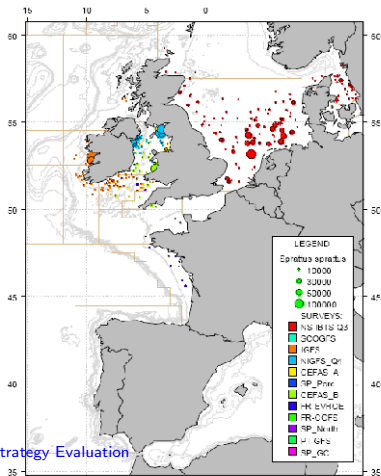


# Operating Model

## Stock Structure

- Celtic Sea Sprat

## Stocks and Surveys



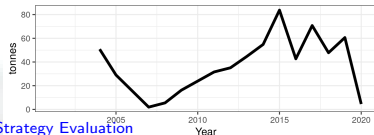
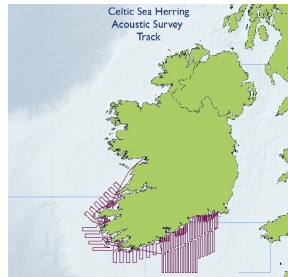


# Empirical Control Rule

## Observation Error Model

- How does index relate to population biomass.
- **Containment** i.e. process error due to changes in temporal/spatial overlap?

## Acoustic Survey



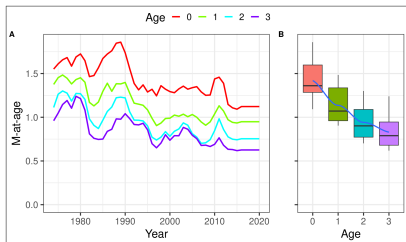


# Conditioning

## M-at-age

- North Sea

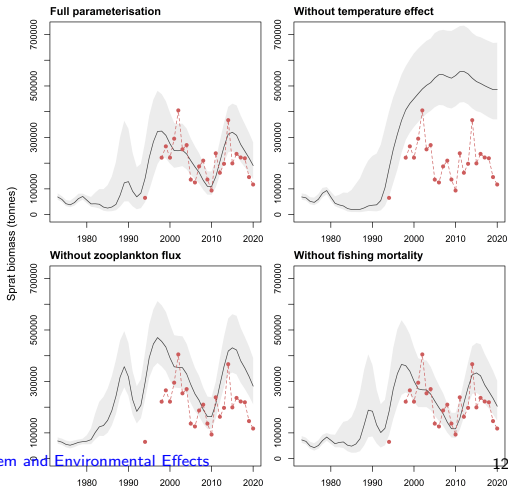
## Year, age and year-class effects





## Ecosystem and Environmental effect

- **Irish Sea sprat** shows an inverse relationship to sea surface temperature.
- Simulation suggest this has been the primary driver of biomass variation in recent years.



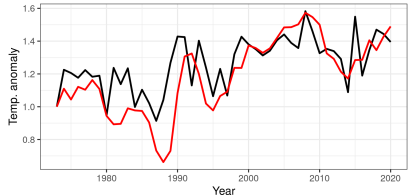


# Ecosystem and environmental effect

## M1

- Temperature shows a negative correlation with biomass.
  - Spring (May-June) temperature with a one-year lag
  - Three-year moving average

## Background Mortality



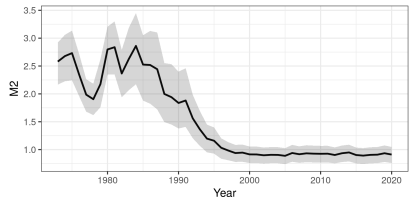


# Ecosystem and environmental effect

## M2

- Time series is for the entire population and isn't broken down into age groups.
- How to link M2 to predator biomass and needs?

## Predation Mortality





# Management Procedure

## Status Quo

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- Survey in October
- HAWG meets in following March
- Advice agreed in July
- Fishing in 4<sup>th</sup> & 1<sup>st</sup> Q, a year after survey

## In season?

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- Survey then fish

# Harvest Control Rules

## RFB Rule (*Fischer et al., 2021*)

Sets catch advice ( $A_y$ ) based on previous catch ( $C_{y-1}$ ) multiplied by three components

- **r**: an index of biomass
- **f**: a  $F$  proxy comparing the recent mean length to a length-based proxy for  $F_{MSY}$
- **b**: reduces the catch when the biomass index falls below a threshold.





## Harvest Control Rules

### Constant Harvest Rate

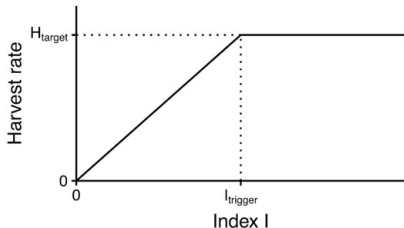
- Relative harvest rate ( $H$ ), defined as the ratio of the catch ( $C$ ) to a stock size indicator ( $I$ ), i.e.

$$H_y = C_y / I_y$$

- Advised catch

$$A_y = \frac{I_y}{H_{\text{target}}}$$

### HCR



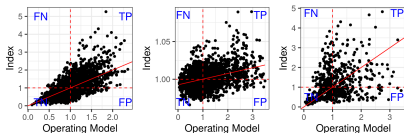
- $I_{\text{trigger}} \neq B_{\text{escapement}}$

# Receiver Operator Characteristics

## Prediction Skill

- **Prediction Skill:** Can we detect state?
  - Index
  - Length as a proxy for  $F$
  - Harvest Rate  $I/C$

## True Skill Score



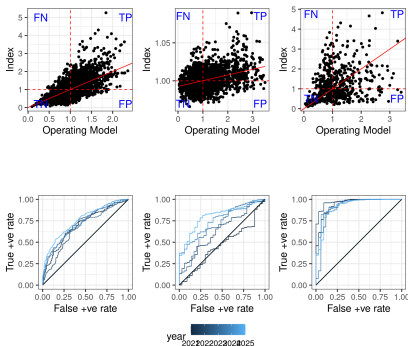


# Receiver Operator Characteristics

## Prediction Skill

- **Prediction Skill:** Can we detect state?
  - Index
  - Length as a proxy for  $F$
  - Harvest Rate  $I/C$
- **ROC:** (Kell *et al.*, 2022)

## Prediction Skill

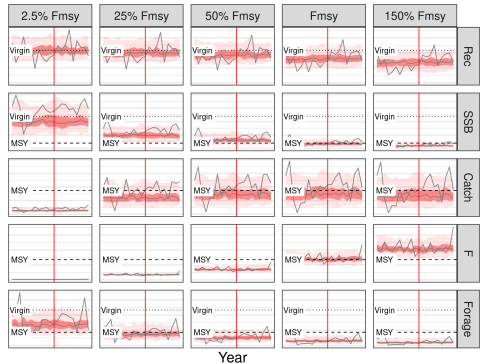


# MSE

## Summary Stats

- Compare to *MSY* and *Virgin*
  - Recruitment
  - *SSB*
  - *Catch*
  - *F*
  - *Forage*

## Simple Projection



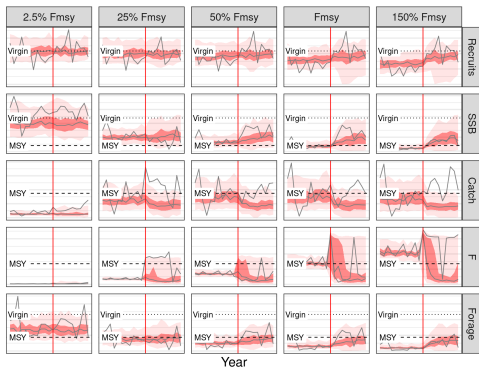


# MSE

## Summary Stats

- Compare to *MSY* and *Virgin*
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## 2 over 3 Rule

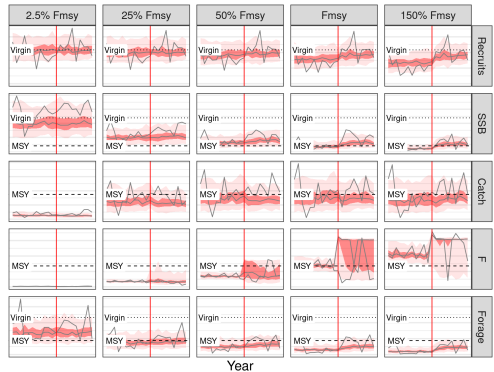


# MSE

## Summary Stats

- Compare to *MSY* and *Virgin*
  - Recruitment
  - *SSB*
  - *Catch*
  - *F*
  - *Forage*

## Harvest Rate



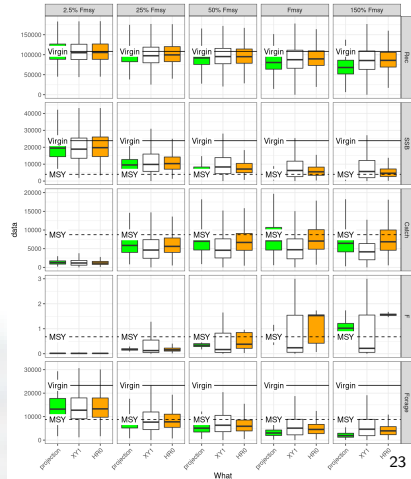


# MSE

## Summary Stats

- Green is the reference, i.e. the **best** you can do
- White is the **2 over 3** rule
- Orange is the **constant harvest** rate

X





## Summary

### Current Steps

- Uses **Ecological Theory** rather than stock assessments to condition OMs
- Links **Environmental Drivers** to population processes
- **Screens Indicators** for use in HCR before running MSE
- **Stress Tests** ICES PA and MSY Approach

### Next Steps

- Agree Objectives,
- Agree how to include ecosystem effects, e.g.  $F_{ECO}$  to scale fishing mortality down when the ecosystem conditions for the stock are poor and up when conditions are good ([Bentley et al., 2021](#)).
- Stock structure
- Risk equivalence



## References

- Bentley, Jacob W, Lundy, Mathieu G, Howell, Daniel, Beggs, Steven E, Bundy, Alida, De Castro, Francisco, Fox, Clive J, Heymans, Johanna J, Lynam, Christopher P, Pedreschi, Debbi, *et al.* 2021. Refining fisheries advice with stock-specific ecosystem information. *Frontiers in Marine Science*, **8**, 602072.
- Fischer, Simon H, De Oliveira, José AA, Mumford, John D, & Kell, Laurence T. 2021. Using a genetic algorithm to optimize a data-limited catch rule. *ICES Journal of Marine Science*, **78**(4), 1311–1323.
- Kell, Laurence T, Minto, Cóilín, & Gerritsen, Hans D. 2022. Evaluation of the skill of length-based indicators to identify stock status and trends. *ICES Journal of Marine Science*, **79**(4), 1202–1216.