



Fisheries and Oceans  
Canada

Pêches et Océans  
Canada

# Black-swan events in fisheries

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PICES | October 2019



**Trump has a better chance of  
cameoing in another “Home Alone”  
movie with Macaulay Culkin — or  
playing in the NBA Finals — than  
winning the Republican nomination.**

-Harry Enten  
June 2015

**Five** **Thirty** **Eight**

S E C O N D E D I T I O N

WITH A NEW SECTION: "ON ROBUSTNESS & FRAGILITY"

NEW YORK TIMES BESTSELLER

THE  
BLACK SWAN



The Impact of the  
HIGHLY IMPROBABLE

"The most prophetic voice of all."

—GQ

Nassim Nicholas Taleb





# BROOKLYN DAILY EAGLE

And Complete Long Island News

LATE NEWS  
WALL STREET ★★  
1:15 PRICES

Price slightly under tonight.  
Friday Day. Fourth month.  
Send to West 117/28.  
Subscription 12 m. 14/1000.  
Yearly 14/1000.  
Sundays 12/1000.  
Book 1/1000.

89th YEAR—No. 255. ★ NEW YORK CITY, THURSDAY, OCTOBER 24, 1929. ★ 32 PAGES THREE CENTS

## WALL ST. IN PANIC AS STOCKS CRASH

### Attempt Made to Kill Italy's Crown Prince

#### ASSASSIN CAUGHT IN BRUSSELS MOB; PRINCE UNHURT

Royal Suitor Was About to Lay Wreath on Unknown Soldiers' Tomb

#### Princess, Deeply Moved, Falls Into Fiance's Arms and Kisses Him

Brussels, Oct. 24 (AP)—Crown Prince Rudolf, after his return from the Vatican, where he had been to receive the papal blessing, was seen in the city today. He was seen in the city today. He was seen in the city today.

#### Hollywood Fire Destroys Films Worth Millions

##### ATTEMPT MADE ON LIFE



Louis B. Mayer

Consolidated Studios Are Swept by Flames Fatal to One—Master Pictures Burned Include Many New Talkie Productions.

Hollywood, Oct. 24 (AP)—One was the largest and oldest picture firm, the value of which probably will run in the millions of dollars, was lost in an explosion and fire which destroyed the studios of the Consolidated Film Industries here today.

Consolidated Film Industries here today. The fire broke out in the building at the corner of the street and in about 10 minutes the fire had spread to the other buildings. The fire was caused by a gas leak in the kitchen of one of the buildings.

#### FEAR 52 PERISHED IN LAKE MICHIGAN; FERRY IS MISSING

Wreckage Picked Up Indicates Craft Went Down With All Aboard.

Milwaukee, Wis., Oct. 24 (AP)—Word that the tug ferry MICHIGAN of the Great Truck Line, with 52 aboard, had vanished the 24-hour search on Lake Michigan failed today with a report that wreckage from a boat had been picked up 10 miles off West Point, which is about 4 miles east of Racine.

Capt. C. M. Bredt of the Greater Chicago Ice and Cold Storage Co. said that he had picked up the wreckage of the Michigan was picked up by the tugboat, but out of it he found 400 markings in mud, suggesting human bodies. Bredt said.

Freighter Donalson Sinks. Toronto, Wis., Oct. 24 (AP)—The freighter Donalson, carrying 1000 tons of lumber, was sunk today in the Great Lakes. The ship was carrying 1000 tons of lumber and was carrying 1000 tons of lumber.

#### PIECE OF PLANE LIKE DITEMAN'S IS FOUND AT SEA

Black and Orange Wreckage Indicates Daring Flier Went to Death.

Albany, N. Y., Oct. 24 (AP)—A radio message from "Opus No. 1" of the U. S. Navy today advised that he had picked up a black and orange wreckage from the U. S. Navy plane which crashed in the ocean.

The U. S. Navy is presently the National Government and the Navy is presently the National Government and the Navy is presently the National Government.

The U. S. Navy is presently the National Government and the Navy is presently the National Government and the Navy is presently the National Government.

#### High Duty Group Gave \$700,000 to Coolidge Drive

Grundy Agrees Rates Went Up Due to His Activities as Propagandist.

Favors More Lobbying to 'Carry Out Voters' Wish'

Washington, Oct. 24 (AP)—Joseph R. Grundy, Bristol, Pa., manufacturer who admittedly has been lobbying in the capital for several decades in the interest of a protective tariff, testified before the Senate Tariff Committee today that he raised \$700,000 for President Coolidge's campaign in 1924 and that most of the money came from individuals seeking higher tariffs.

He testified that he was in favor of more lobbying for higher duties.

##### FOR MORE LOBBYISTS



JOSEPH R. GRUNDY

He testified that he was in favor of more lobbying for higher duties.

#### STOCKS CRASH IN RUSH TO SELL; BILLIONS LOST

Morgan, Mitchell Buying Stocks in Effort to Check Rush to Unload

Wall Street was in a panic today, with no one to guide it out. Stocks crashed 10 to 20 points in Wall Street today. Morgan and Mitchell were buying stocks in an effort to check the rush to unload.

Charles E. Mitchell, chairman of the National City Bank, was conferring in the office of J. P. Morgan & Co. It was understood, and other leading bankers were there.

It was also understood the Morgan partners had been talking to someone with J. P. Morgan in connection with the situation.

Princess, Deeply Moved, Falls Into Fiance's Arms and Kisses Him

Consolidated Studios Are Swept by Flames Fatal to One—Master Pictures Burned Include Many New Talkie Productions.

FEAR 52 PERISHED IN LAKE MICHIGAN; FERRY IS MISSING

PIECE OF PLANE LIKE DITEMAN'S IS FOUND AT SEA

High Duty Group Gave \$700,000 to Coolidge Drive

WARDER SOUGHT TO KEEP SEA TRIP

SOMERS NAMED AS HEAD OF NEW

STOCKS CRASH IN RUSH TO SELL; BILLIONS LOST







**A small number of black swans explain almost everything in our world.**

**-Nicholas Taleb, The Black Swan**







**Northern cod collapse, Newfoundland, 1992**



# Pyrosome blooms, Eastern Pacific, 2017



# Big Bar Landslide, BC, 2019

Province of BC



# Definitions

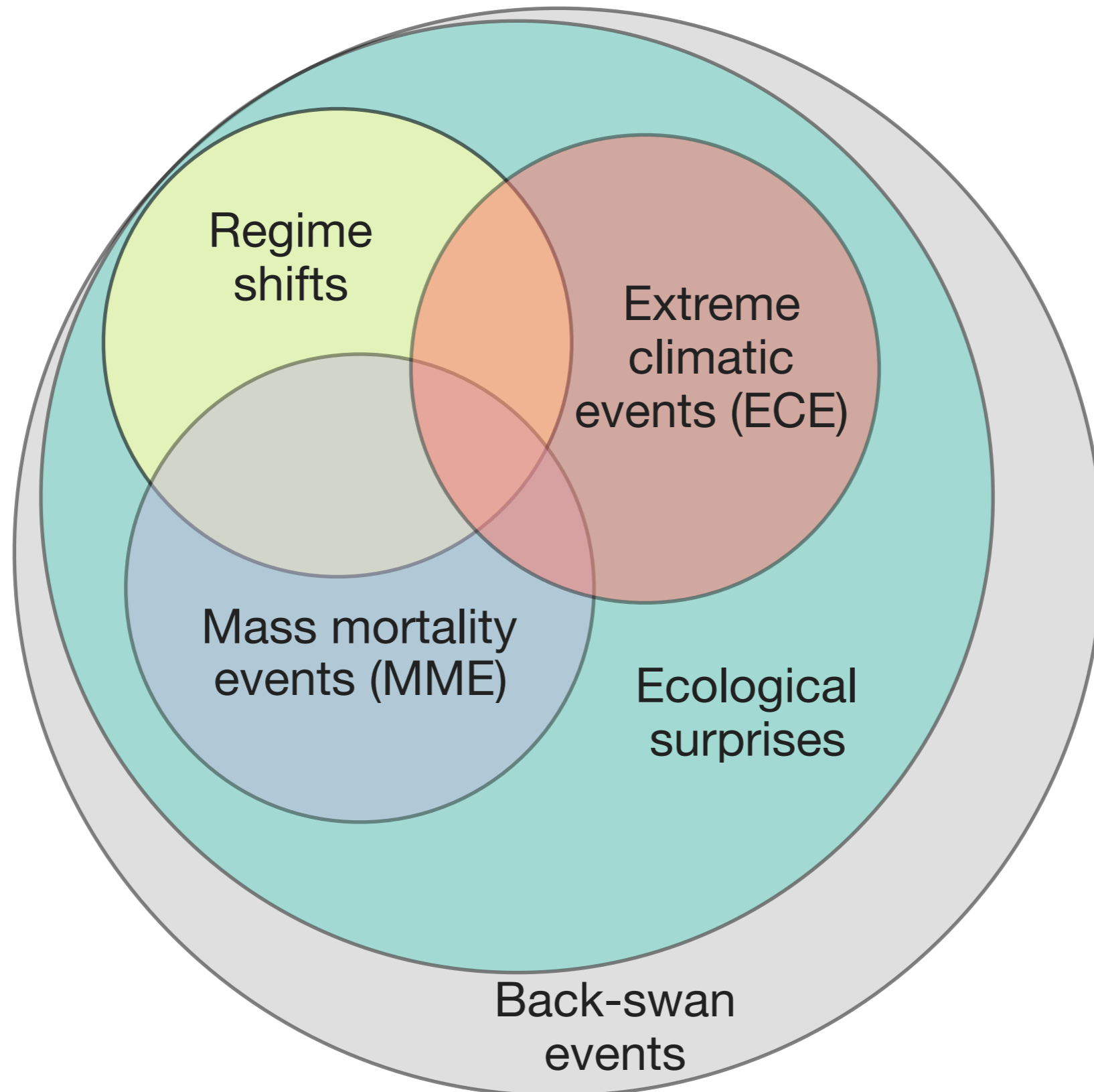
# **The black swan triplet:**

**1. Rarity**

**2. Extreme impact**

**3. Retrospective predictability**

# Black swans and surprise unite many fields



**\$#!+ HAPPENS**

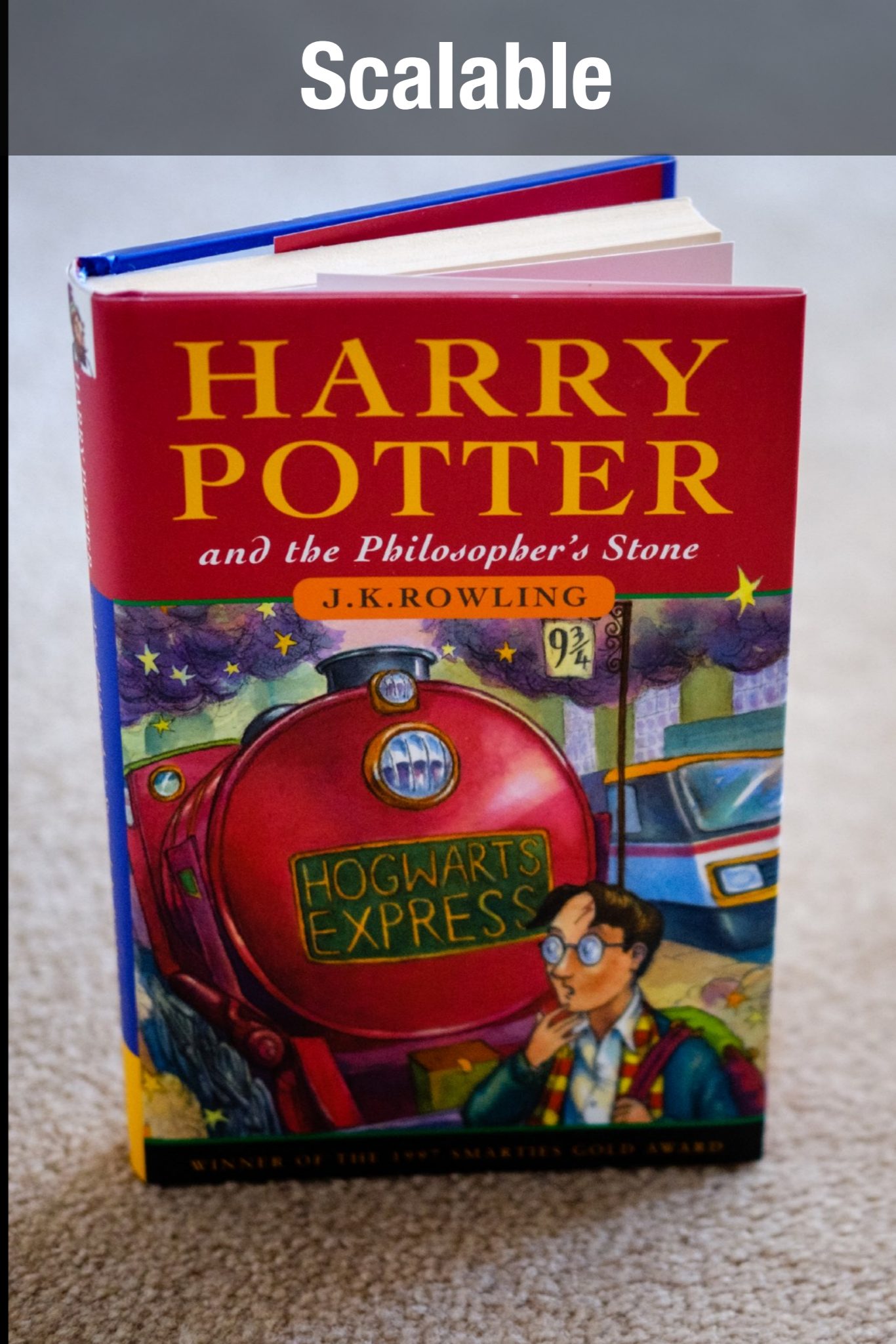
**where**

**\$#!+ HAPPENS**

**Non-scalable**



**Scalable**



**Salmon size**



**Salmon returns**



**Population growth**



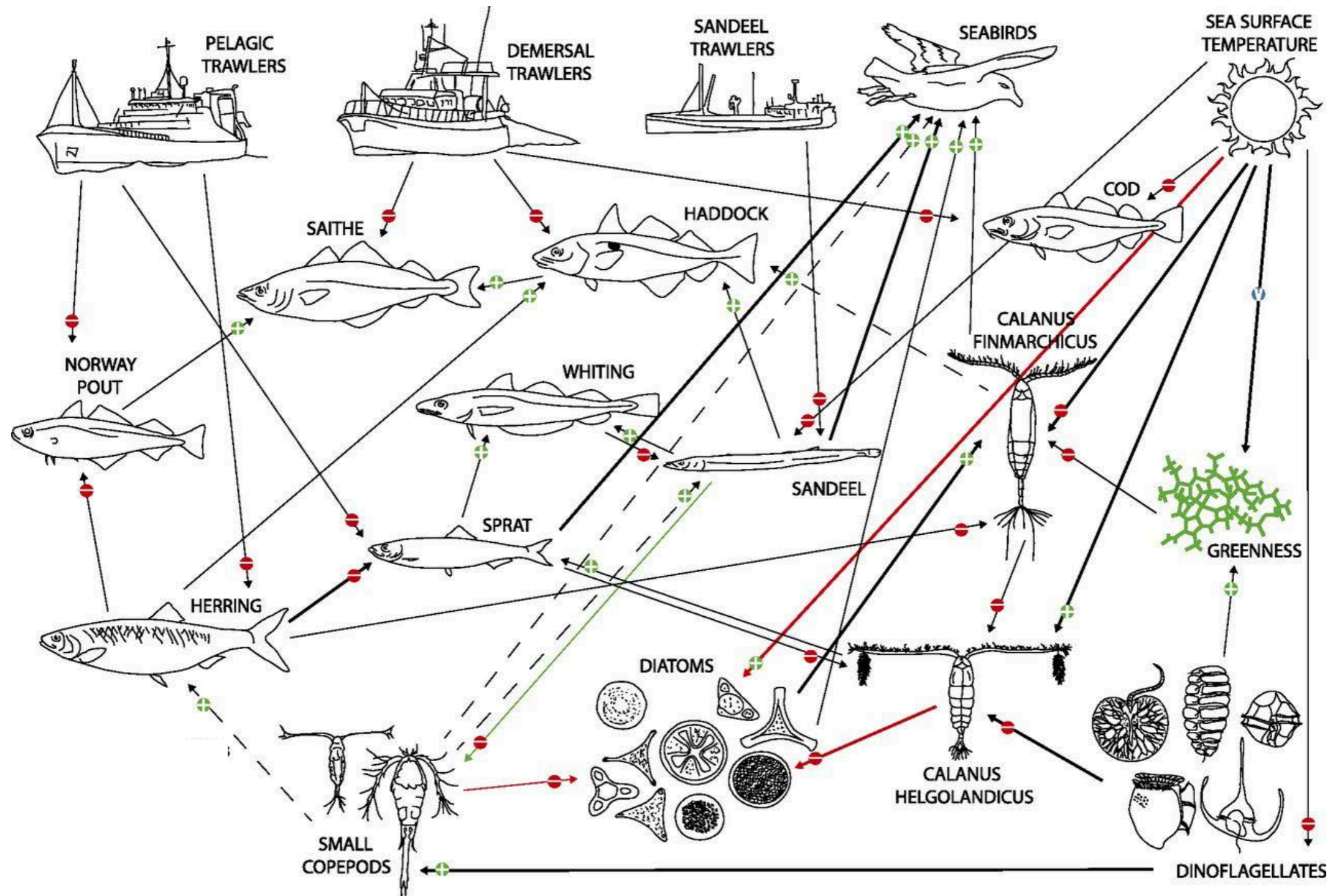
**Population mortality**





**Why should we  
expect black swans  
for fish and fisheries?**

# Marine ecosystems contain an enormous number of (potentially) non-linear interactions



**Humans magnify the consequences**

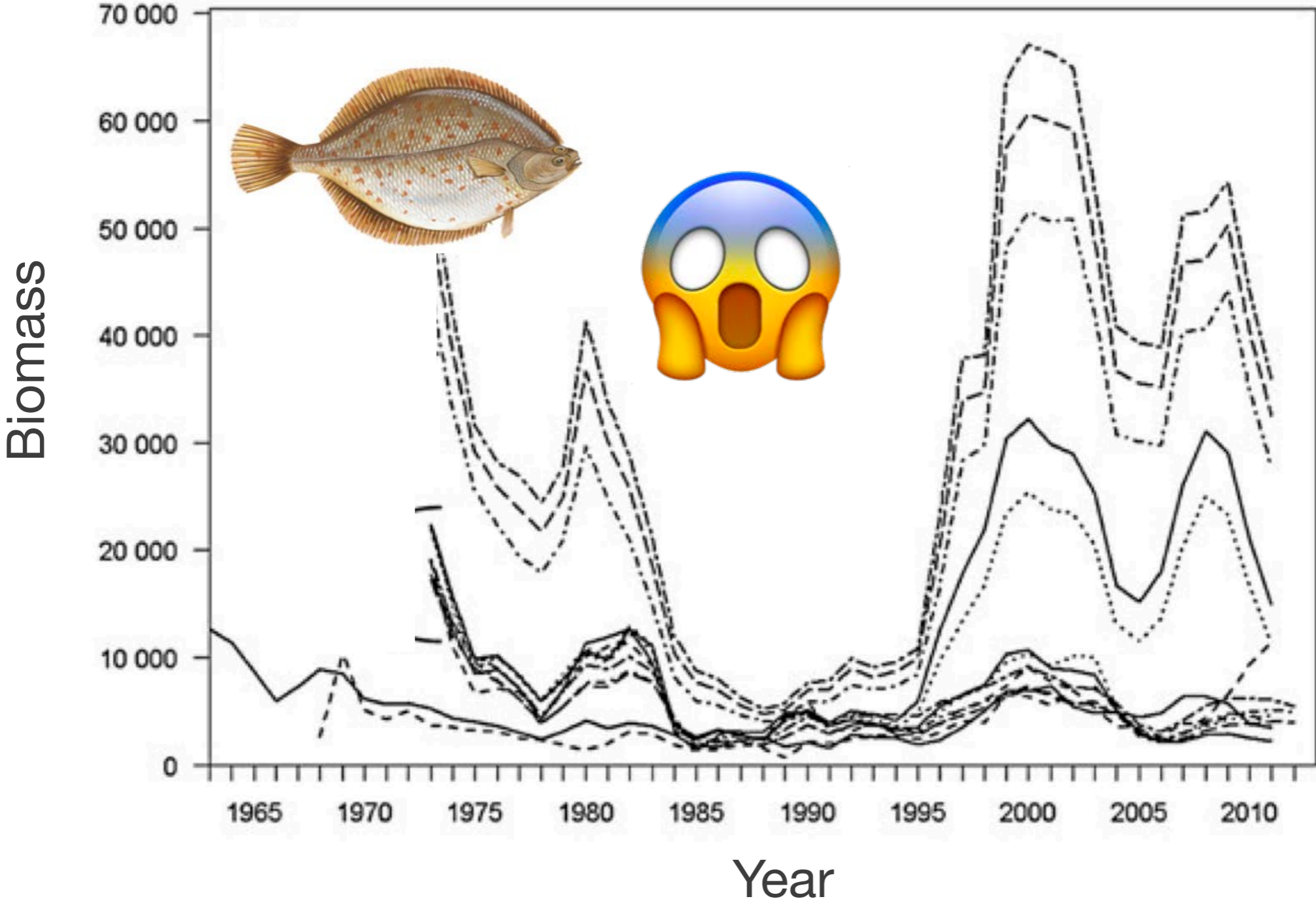


**Most fish populations are hard to count.  
And they move.**



# It's easy to place too much confidence in our models

Georges Bank Yellowtail Flounder



**"Ecologists study extraordinarily complex systems, they base their expectations on limited data that are frequently of short duration, and they are, after all, only human."**

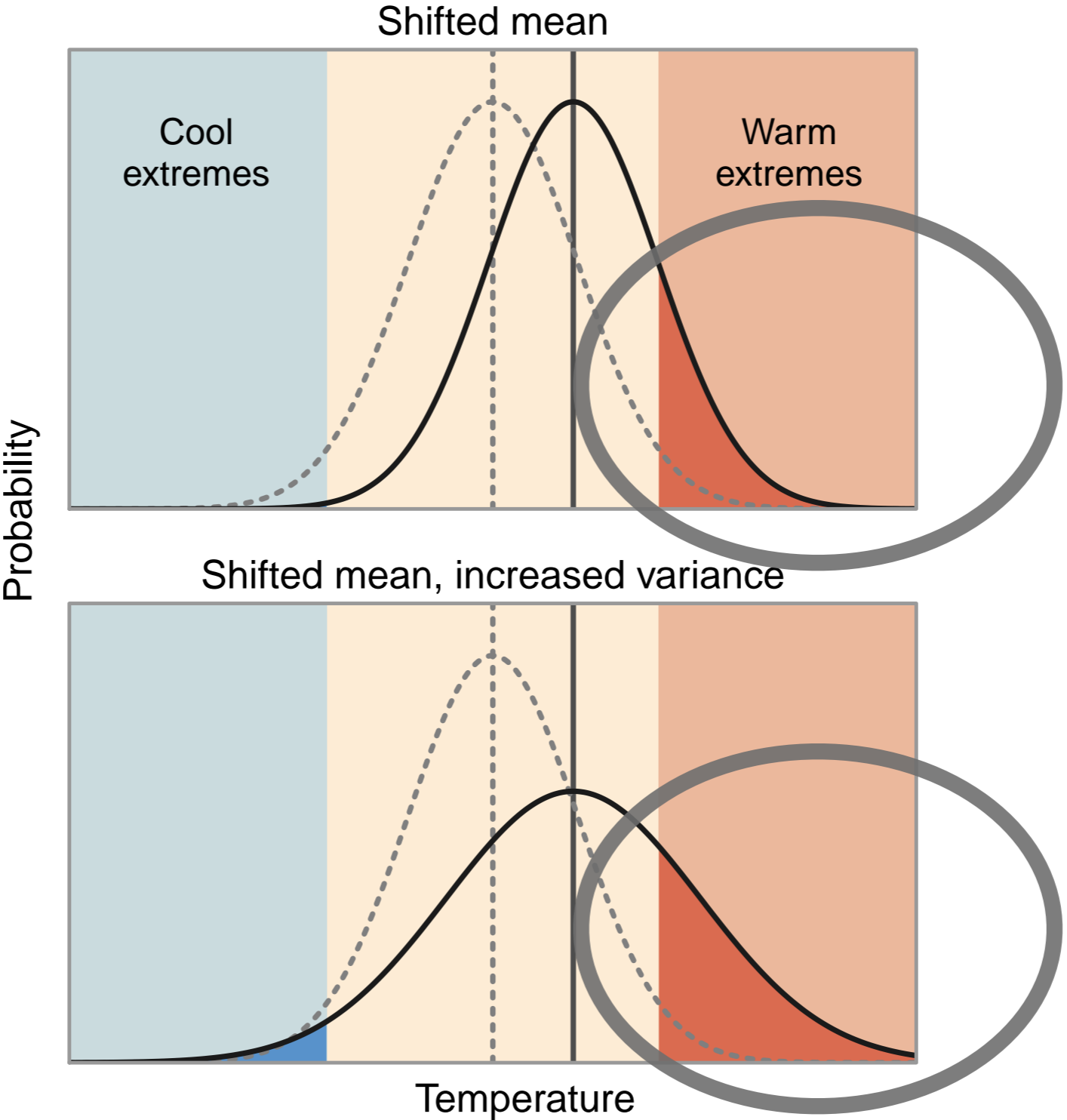
Doak et al. Ecology 2008

**Why expect**

**MORE**

**surprises?**

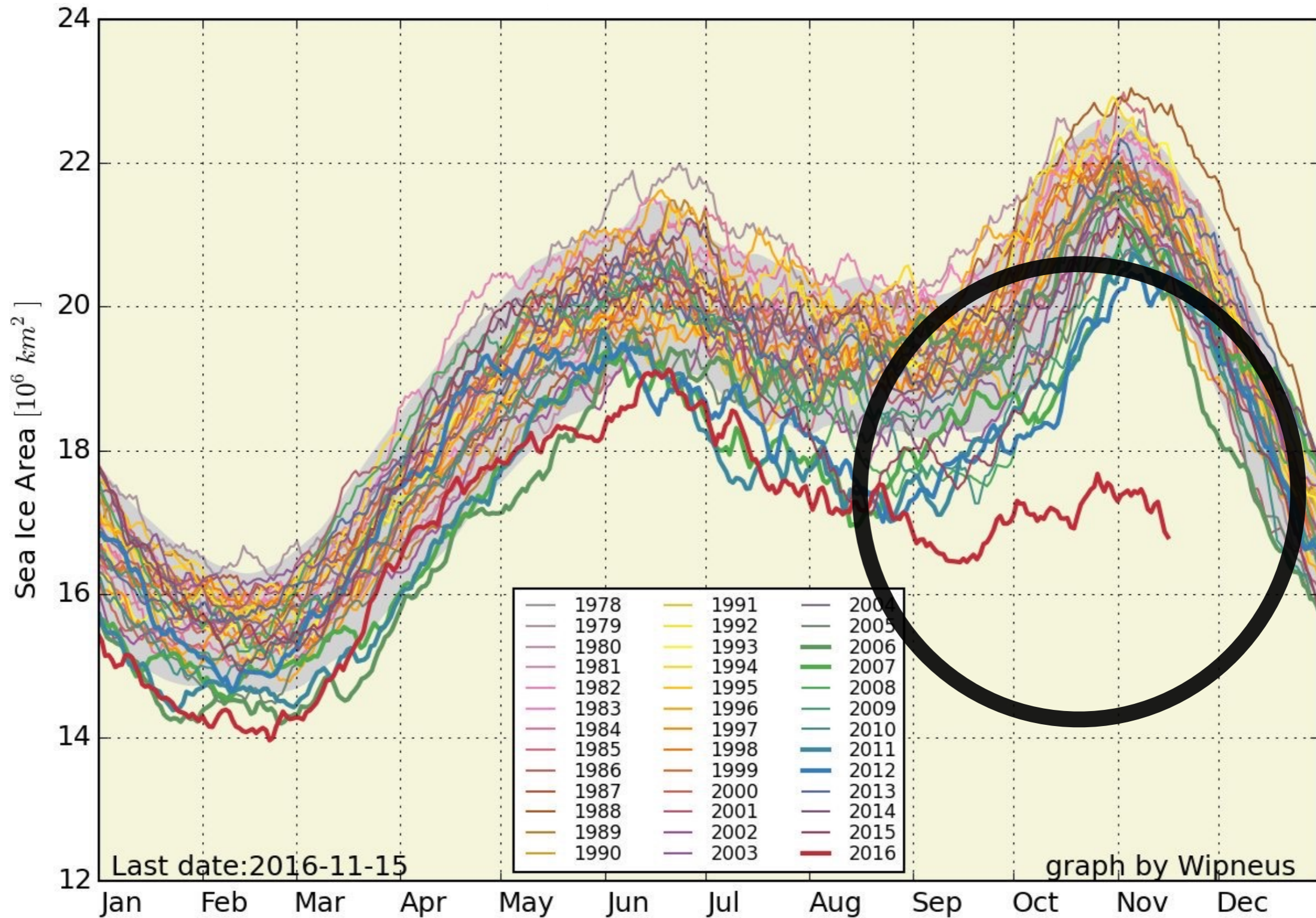
# Increased climate mean + increased variance = increased extremes



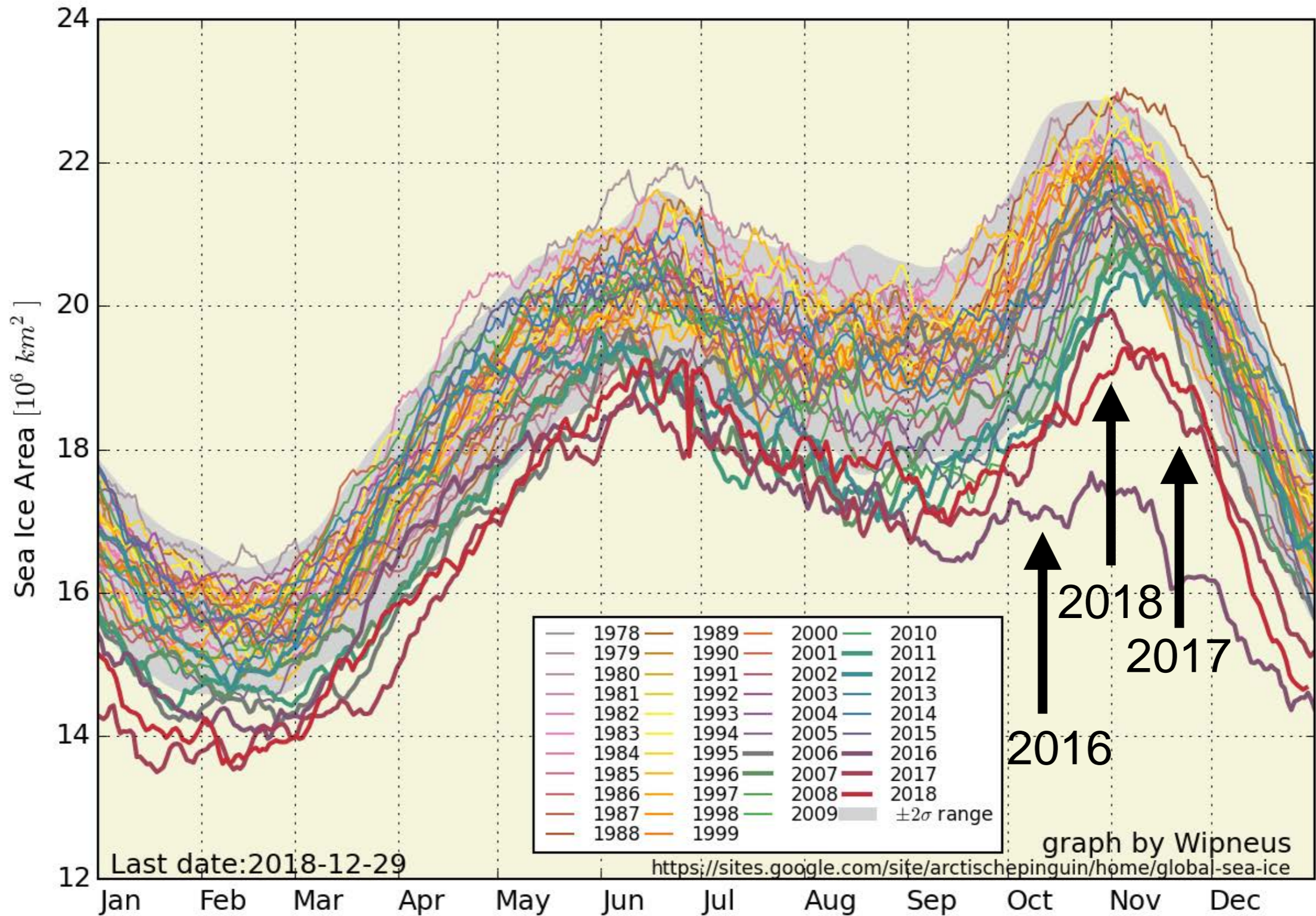
Adapted, e.g., from IPCC 2012



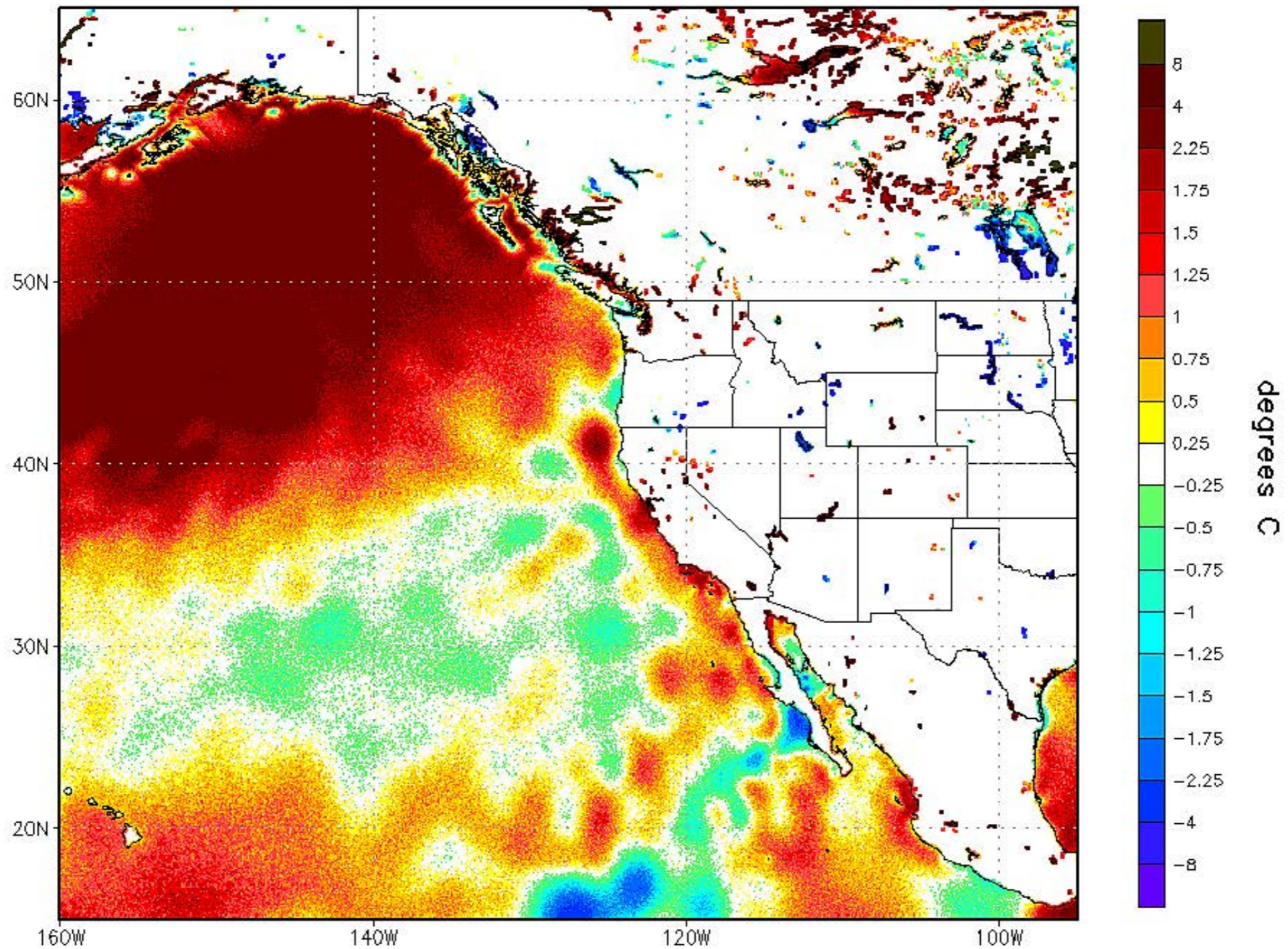
# Global sea ice area by year: 2016



# Global sea ice area by year (with 2017, 2018)



# The 'Warm Blob' and 'Blob 2.0'



22:40:15 TUE OCT 16 2018

# Blob 2.0 is bad sign for Gulf of Alaska groundfish

By AARON BOLTON • DEC 7, 2018

 Tweet

 Share

 Google+

 Email



*Pacific Cod on ice.*

CREDIT PHOTO COURTESY OF HOLLAND DOTTS & THE ALASKA MARINE CONSERVATION COUNCIL.

Fish heavily impacted by a three-year marine heatwave in the Gulf of Alaska may be headed for round two. Commonly referred to as the blob, warmer waters between 2014 and 2017 were blamed for a dramatic decline in Pacific cod and are thought to have negatively impacted other species such as pollock.

**What is the  
evidence?**

# A survey on ecological surprise

*Ecology*, 89(4), 2008, pp. 952–961  
© 2008 by the Ecological Society of America

## UNDERSTANDING AND PREDICTING ECOLOGICAL DYNAMICS: ARE MAJOR SURPRISES INEVITABLE?

DANIEL F. DOAK,<sup>1,11</sup> JAMES A. ESTES,<sup>2</sup> BENJAMIN S. HALPERN,<sup>3</sup> UTE JACOB,<sup>4</sup> DAVID R. LINDBERG,<sup>5</sup> JAMES LOVVORN,<sup>1</sup>  
DANIEL H. MONSON,<sup>6</sup> M. TIMOTHY TINKER,<sup>7</sup> TERRIE M. WILLIAMS,<sup>7</sup> J. TIMOTHY WOOTTON,<sup>8</sup> IAN CARROLL,<sup>9</sup>  
MARK EMMERSON,<sup>4</sup> FIORENZA MICHELI,<sup>10</sup> AND MARK NOVAK<sup>8</sup>

1. Have you encountered one or more “surprises” in the course of your field studies? We are defining a “surprise” as a substantial [...] change in the abundance of one or more species resulting from a previously unknown or unanticipated process of any kind...

**52/58: Yes**

2. If so, were you able to make a [...] determination of (or at least formulate a compelling hypothesis for) the cause of the surprise?

**46/58: Yes**



**"This suggests that the factors responsible for surprises are easy to see but seldom anticipated."**

Doak et al. Ecology 2008

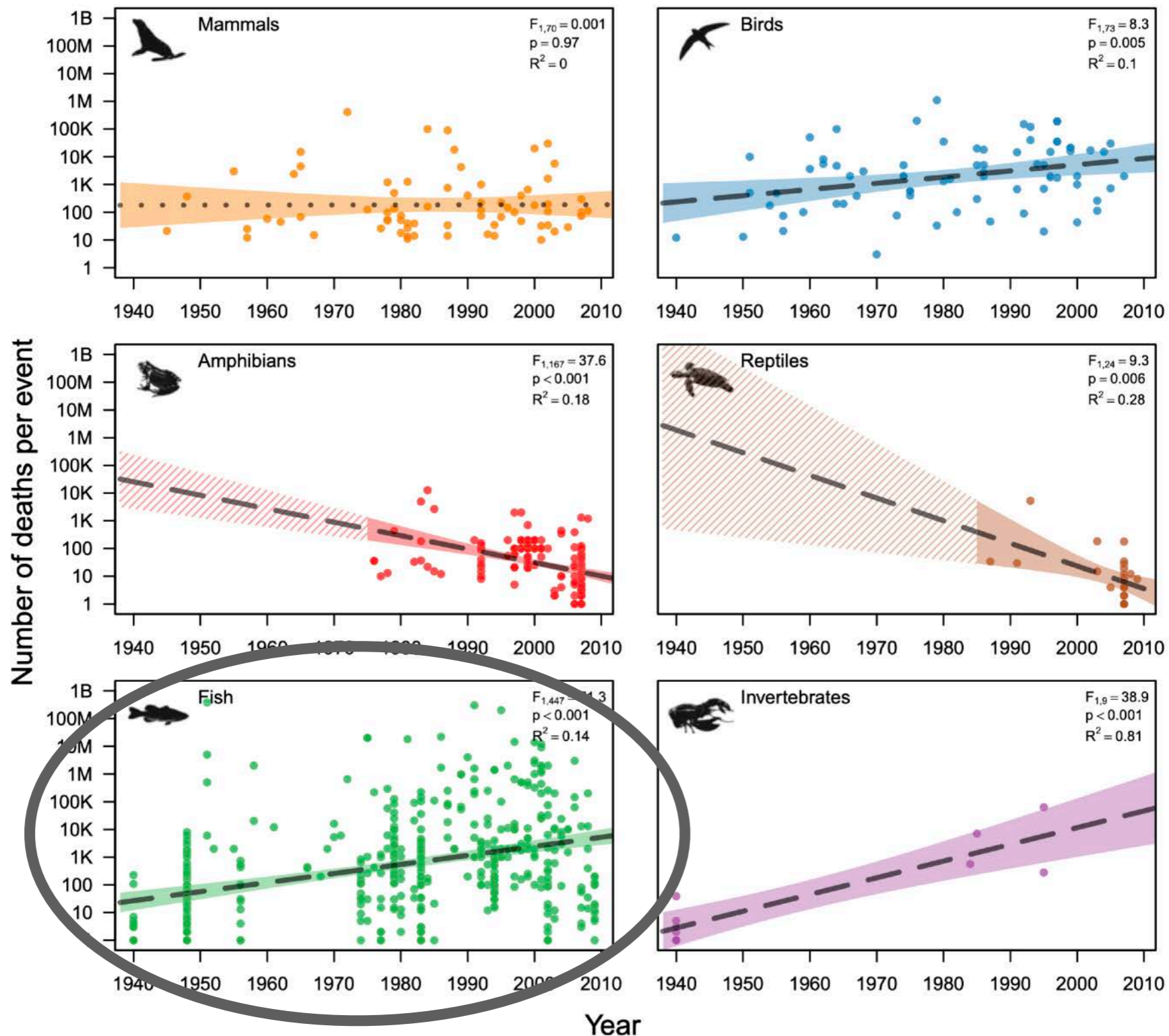
# 727 published accounts of mass mortality events



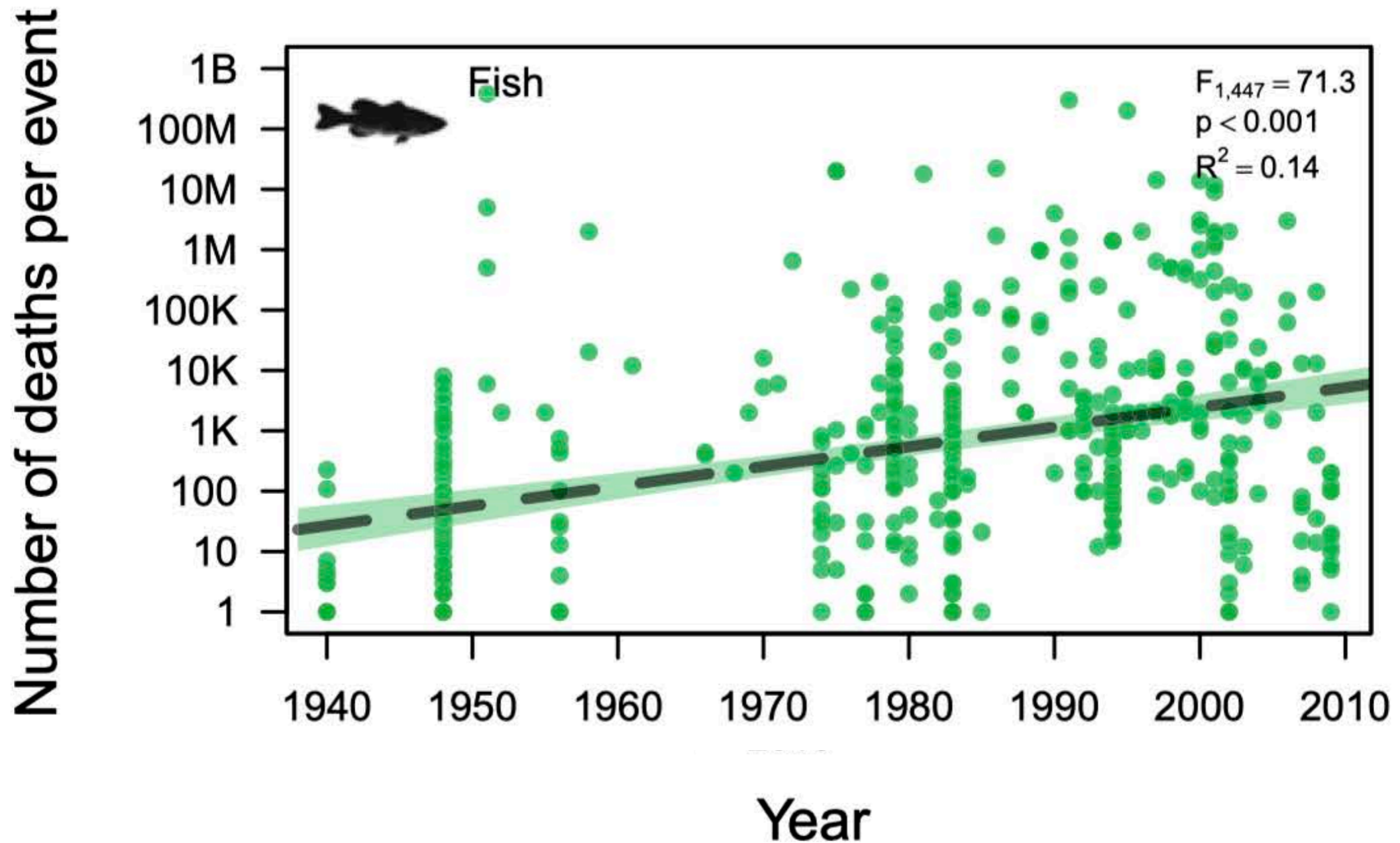
## Recent shifts in the occurrence, cause, and magnitude of animal mass mortality events

Samuel B. Fey<sup>a,b,1,2</sup>, Adam M. Siepielski<sup>c,1</sup>, Sébastien Nusslé<sup>d</sup>, Kristina Cervantes-Yoshida<sup>d</sup>, Jason L. Hwan<sup>d</sup>, Eric R. Huber<sup>d</sup>, Maxfield J. Fey<sup>b</sup>, Alessandro Catenazzi<sup>e</sup>, and Stephanie M. Carlson<sup>d</sup>

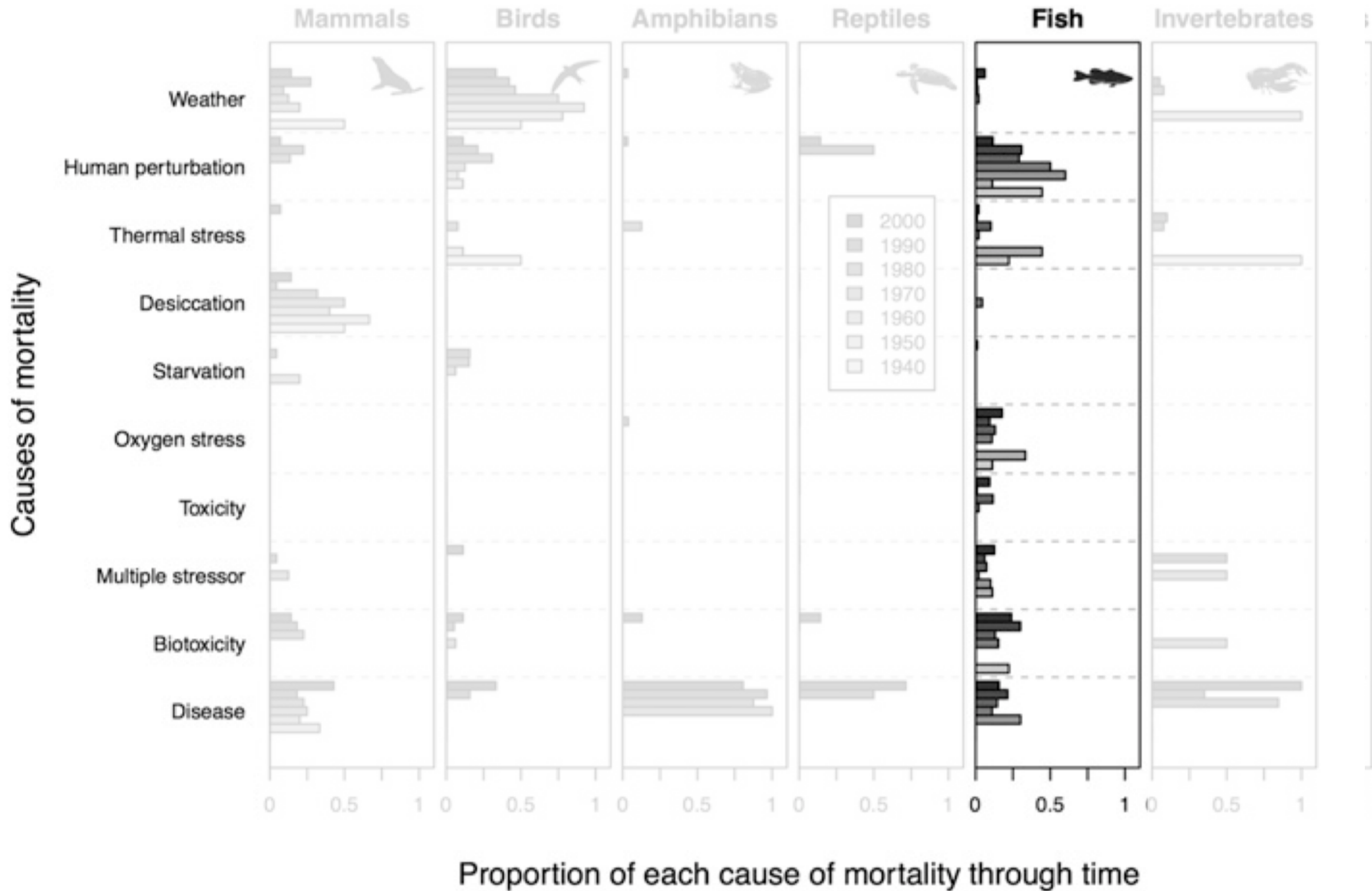
# There are more published fish mass mortality events than for other taxa



# The severity of fish mass mortality events may be increasing



# And they have many causes



# Searching for black swans in 609 animal abundance time series

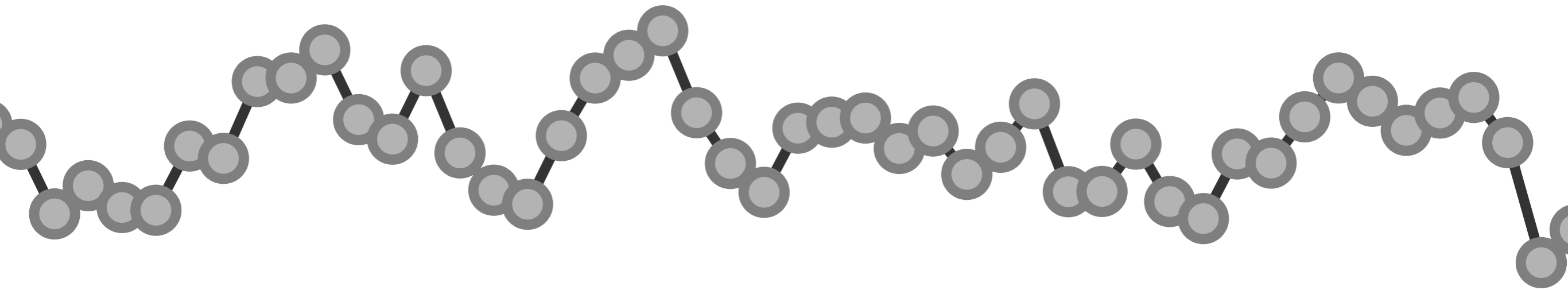


## Black-swan events in animal populations

Sean C. Anderson<sup>a,b,1</sup>, Trevor A. Branch<sup>b</sup>, Andrew B. Cooper<sup>c</sup>, and Nicholas K. Dulvy<sup>a</sup>

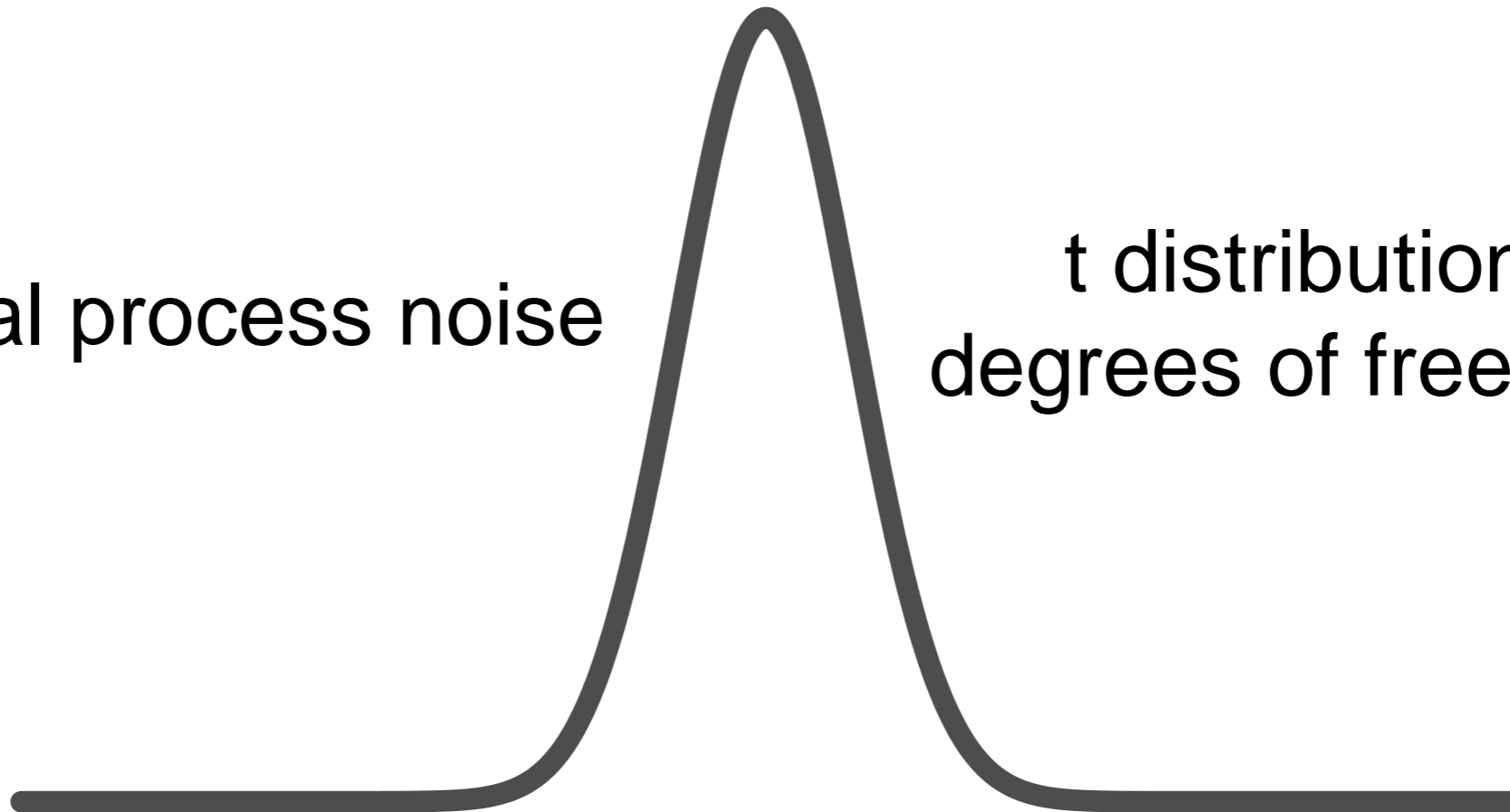
<sup>a</sup>Earth to Ocean Research Group, Department of Biological Sciences, Simon Fraser University, Burnaby, BC V5A 1S6, Canada; <sup>b</sup>School of Aquatic and Fishery Sciences, University of Washington, Seattle, WA 98195; and <sup>c</sup>School of Resource and Environmental Management, Simon Fraser University, Burnaby, BC V5A 1S6, Canada

# Simulated population dynamics

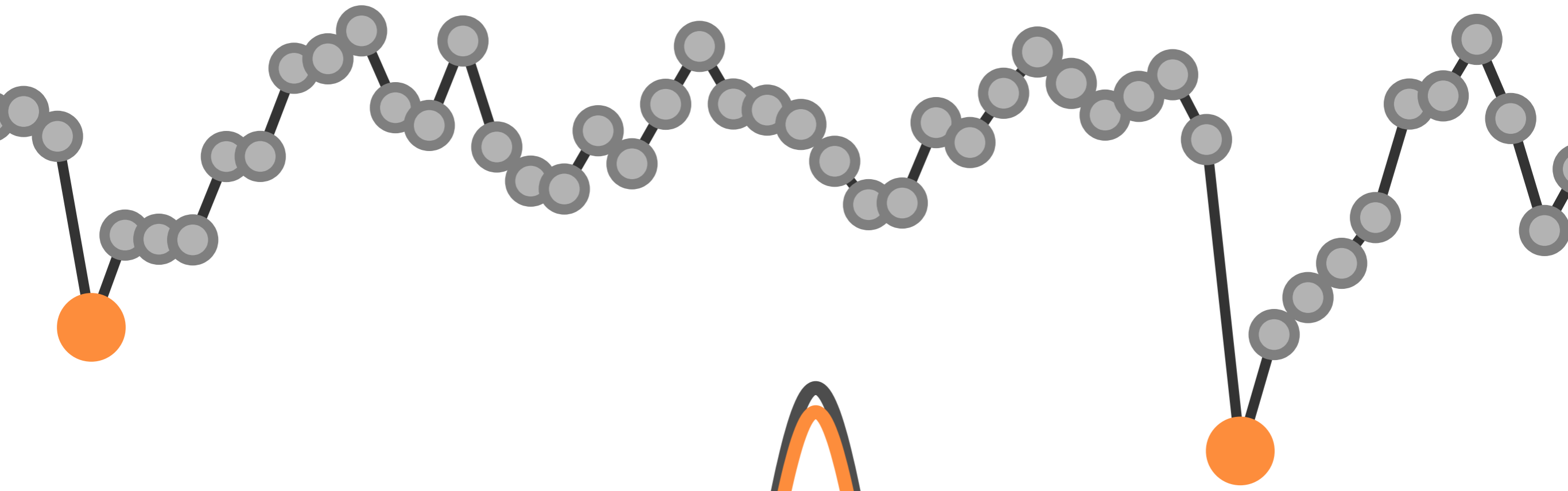


Normal process noise

t distribution with  
degrees of freedom =  $\infty$

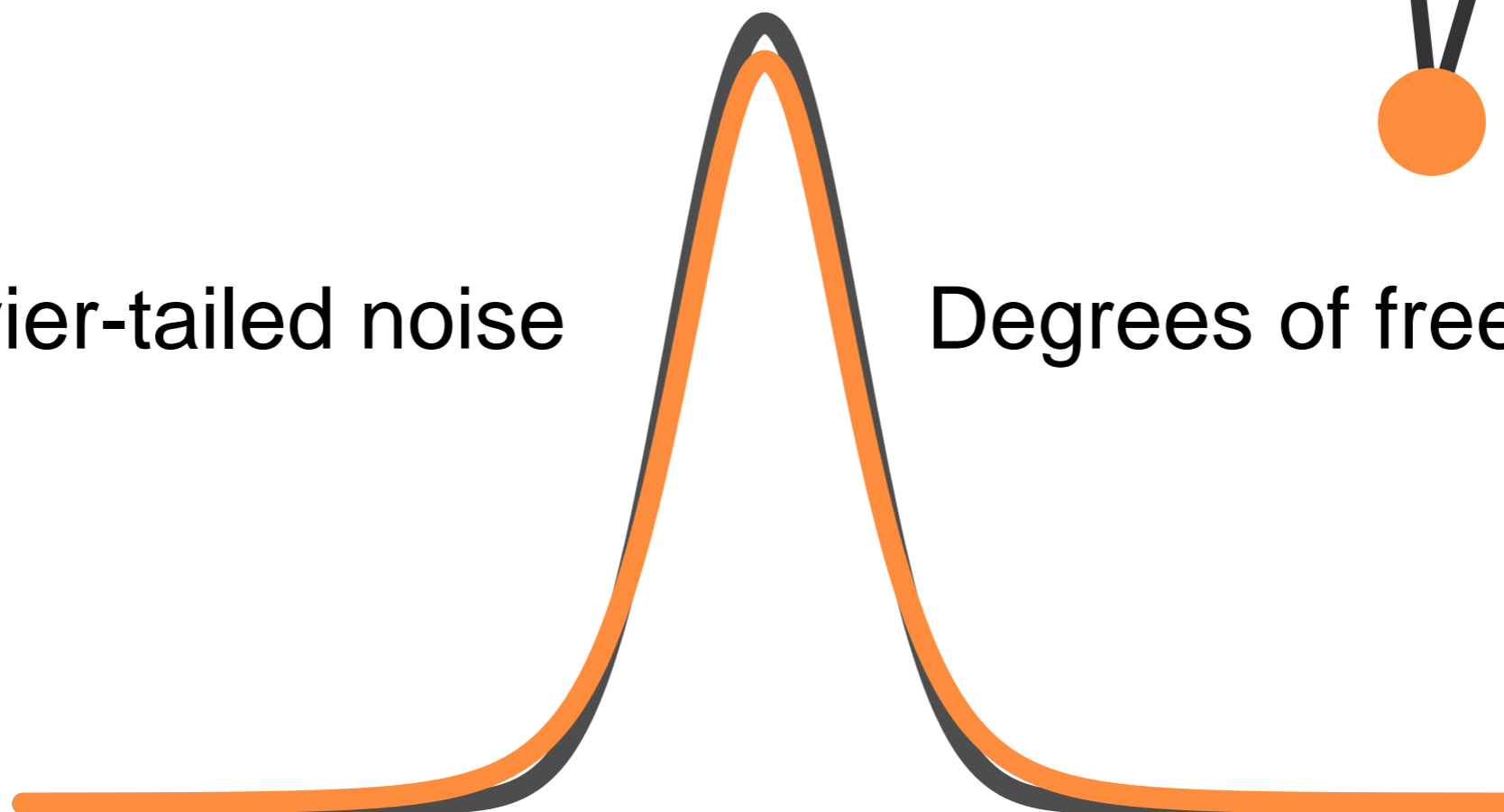


# Simulated population dynamics



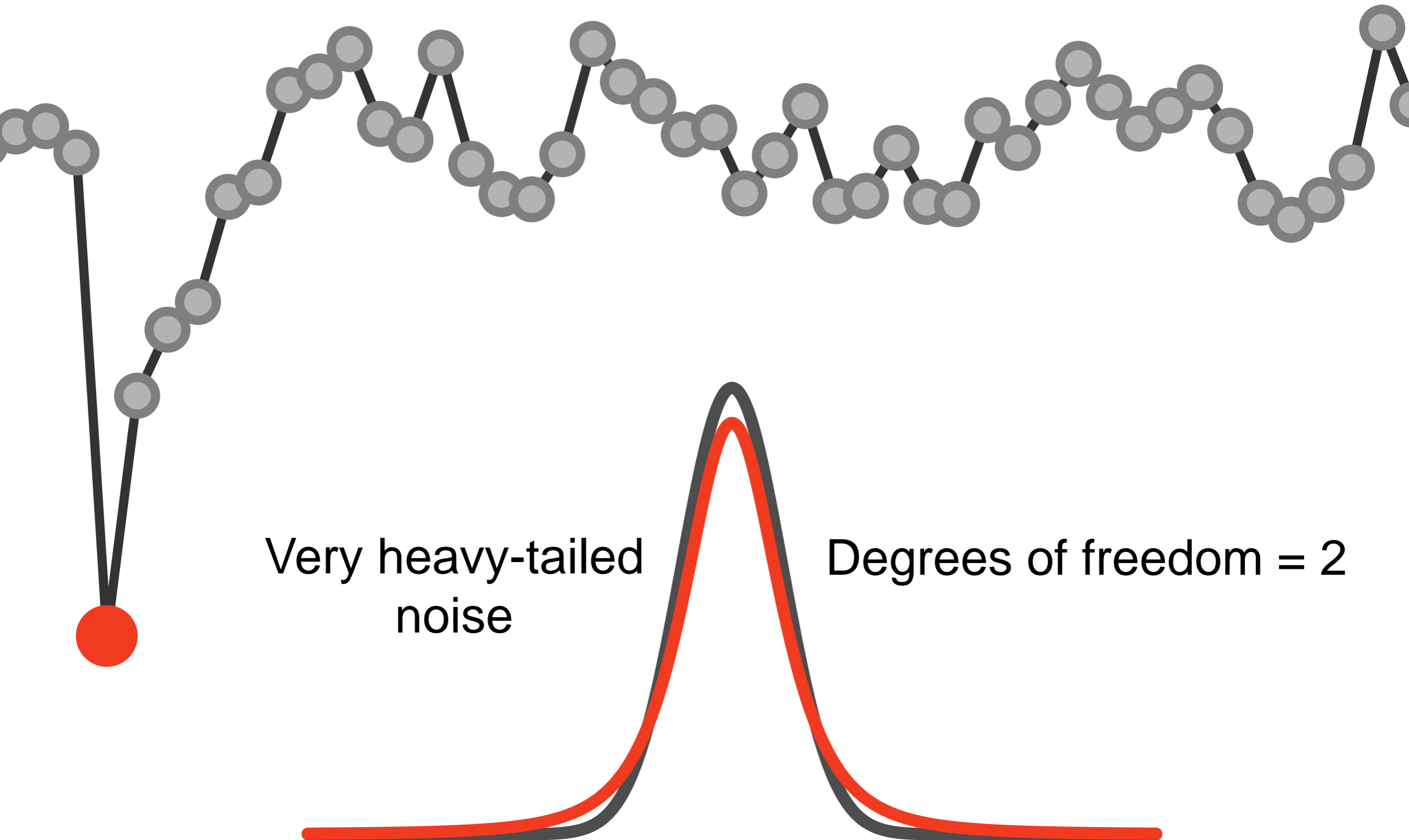
Heavier-tailed noise

Degrees of freedom = 5





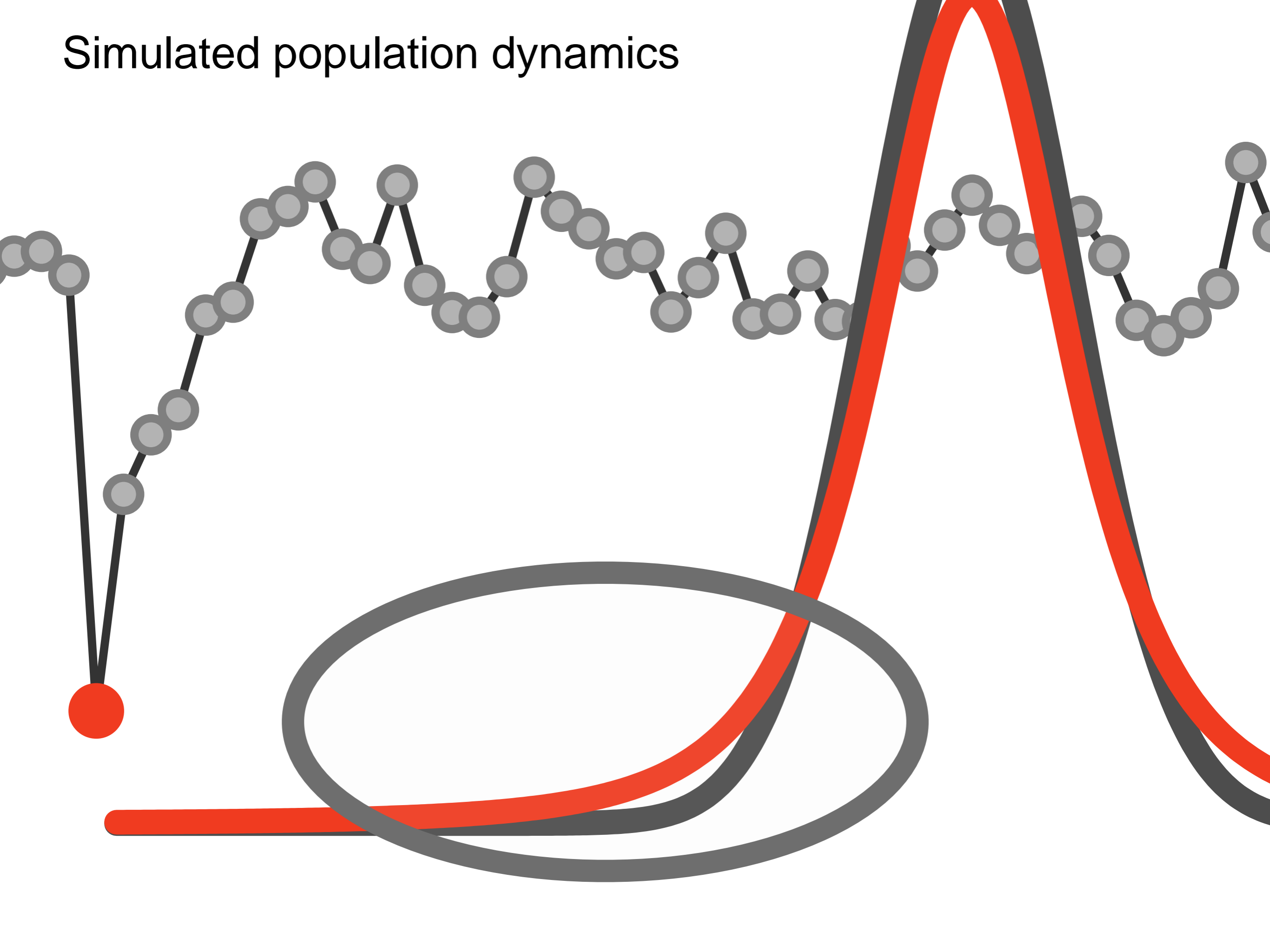
# Simulated population dynamics



Very heavy-tailed  
noise

Degrees of freedom = 2

# Simulated population dynamics



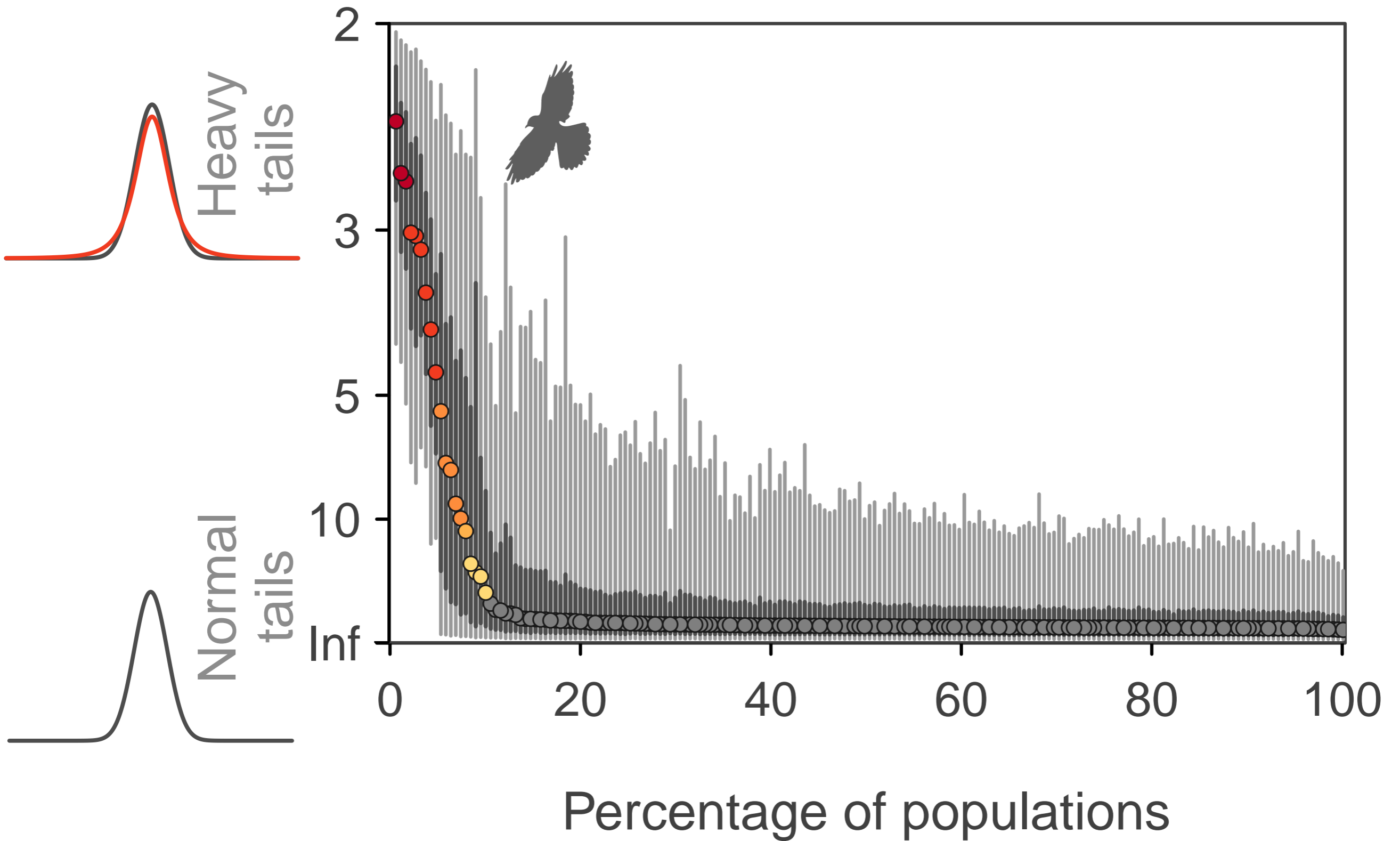
**1 in 30 million years**

**1 in 30 million years**

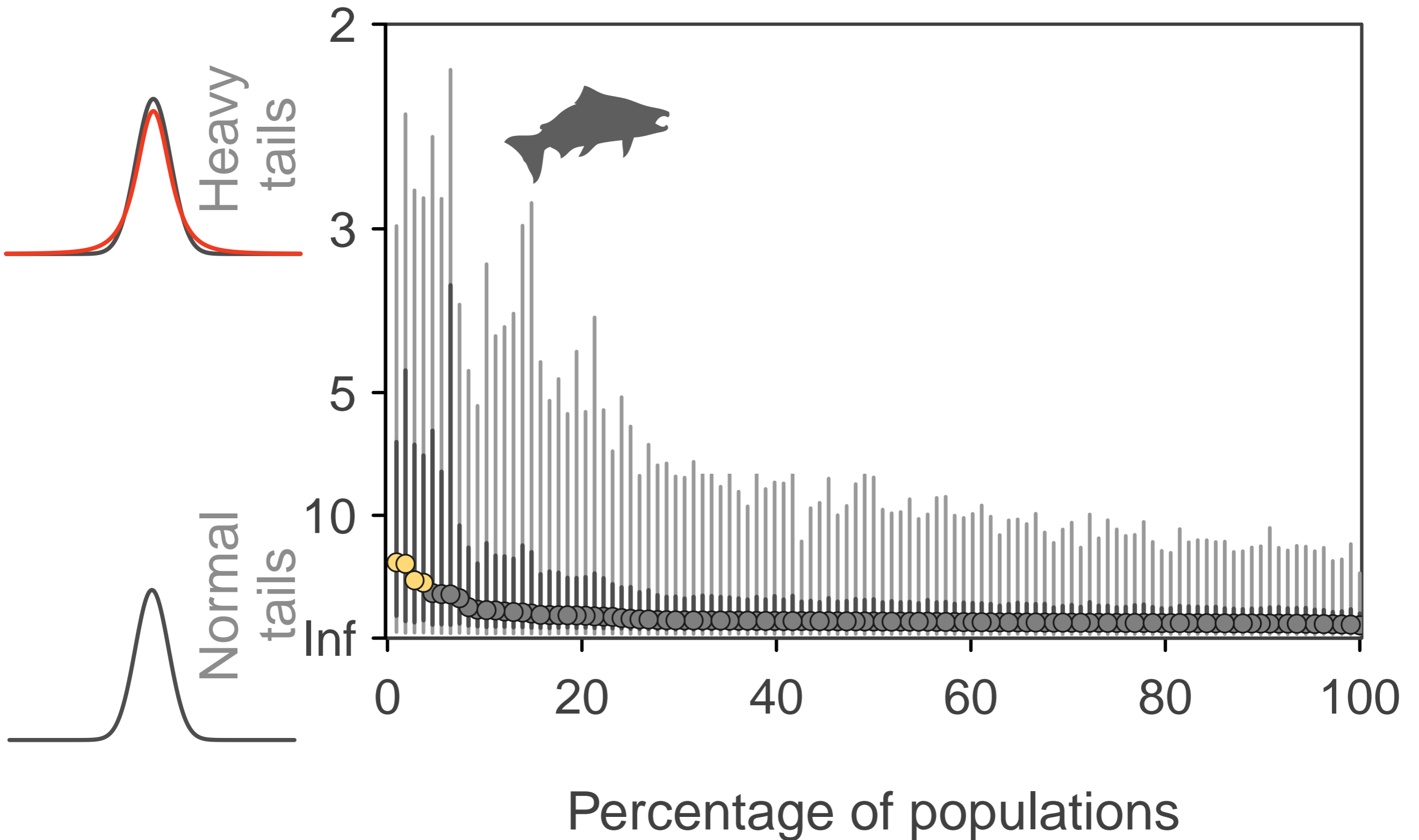
**vs.**

**1 in 50 years**

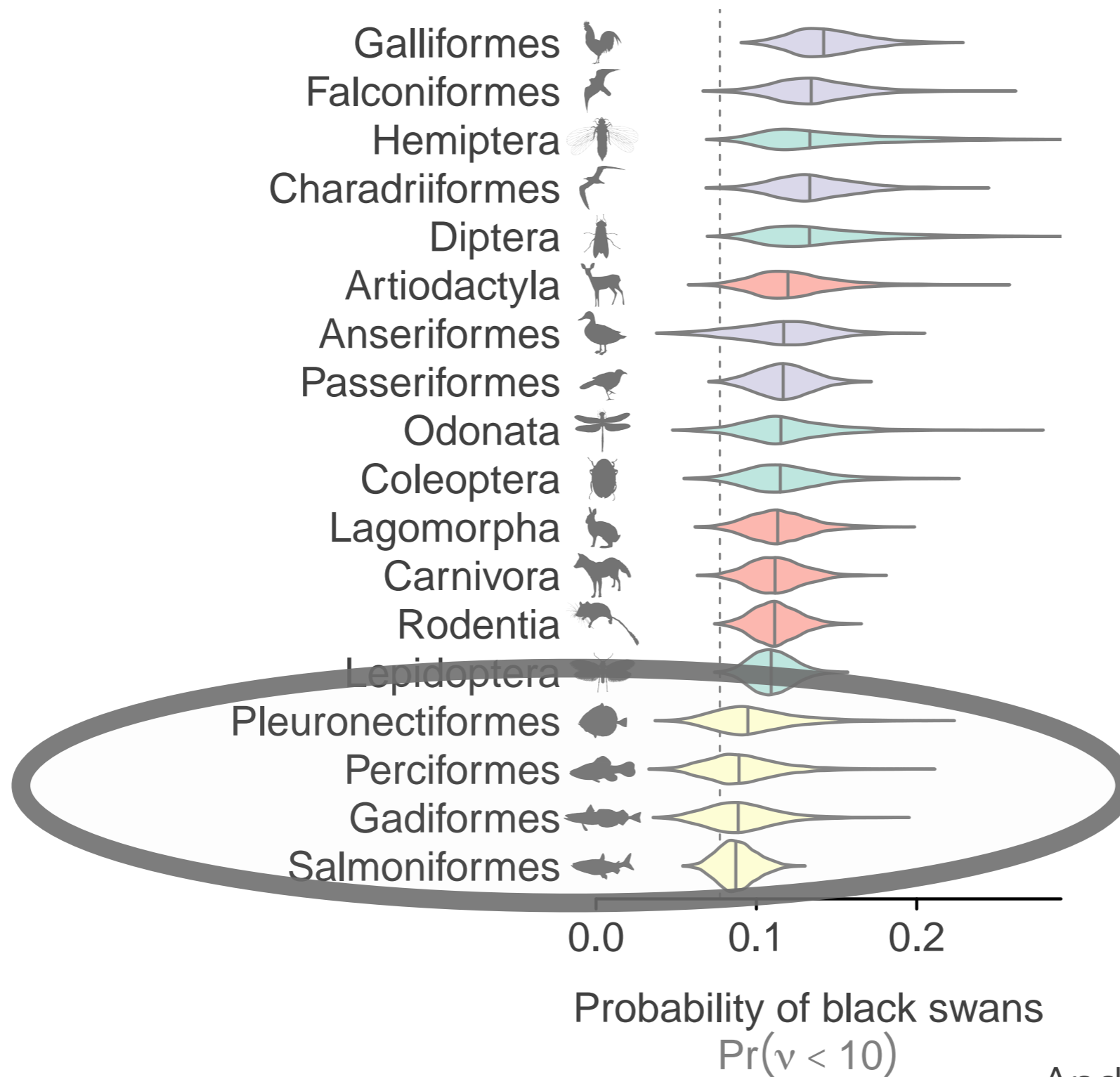
# Evidence of black swans by population



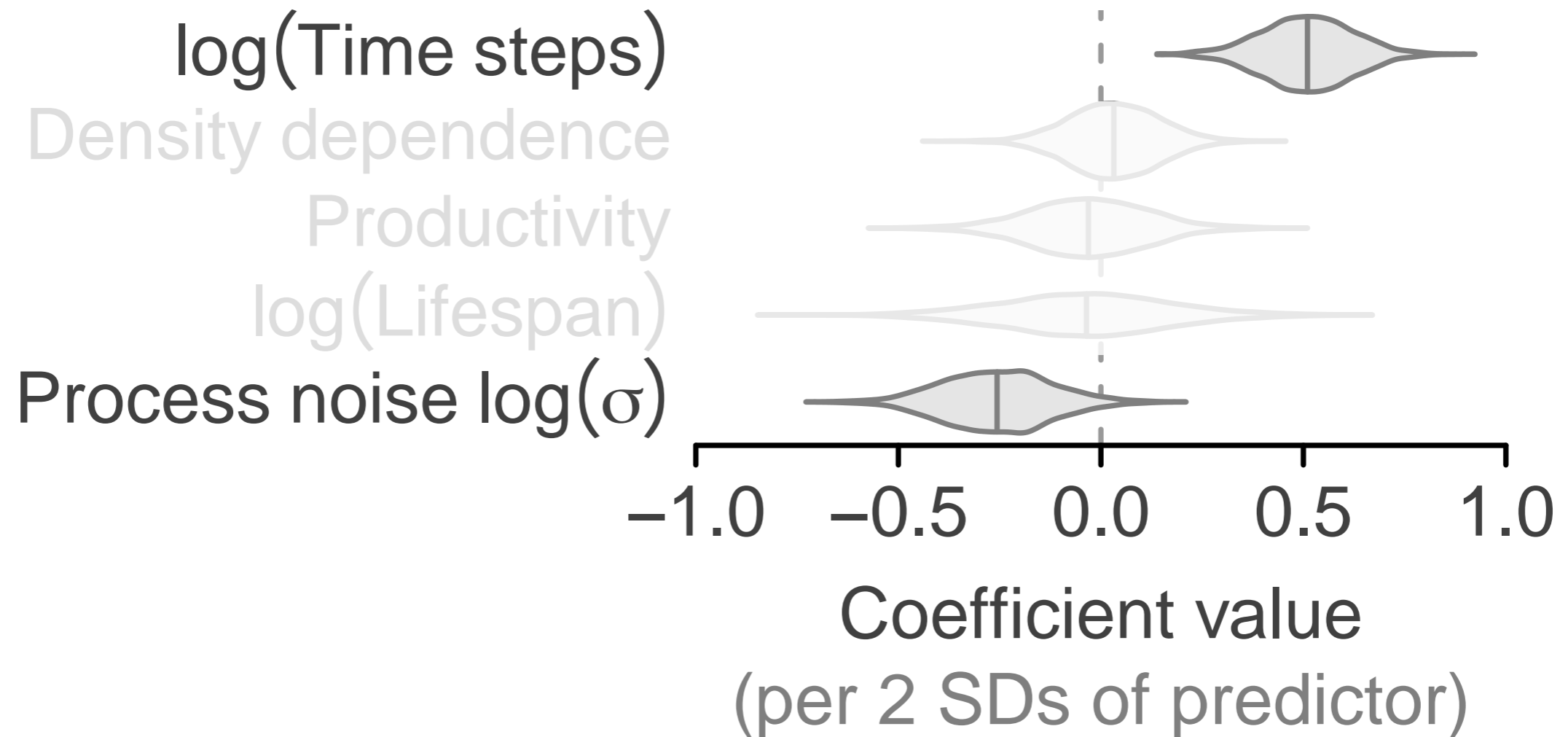
# Evidence of black swans by population



# Black swans are widespread... but not for fish?



# Noise and short time series = Challenging hunt for the black swan





**The hunt for the black swan in many common fisheries time series is unlikely to bare fruit.**

**Instead, we should aim to  
be robust to surprises.**

# Challenges and solutions

# Challenges:

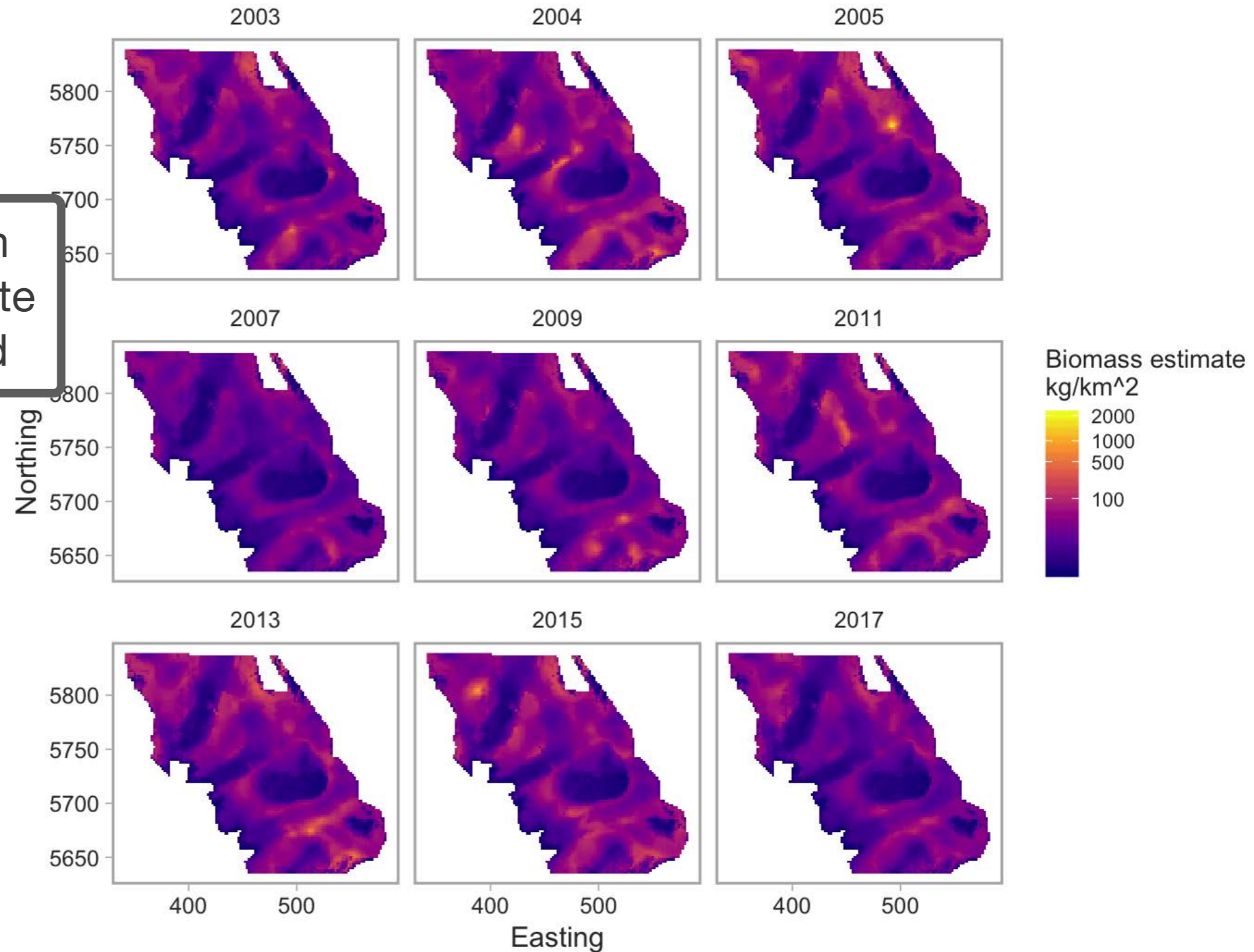
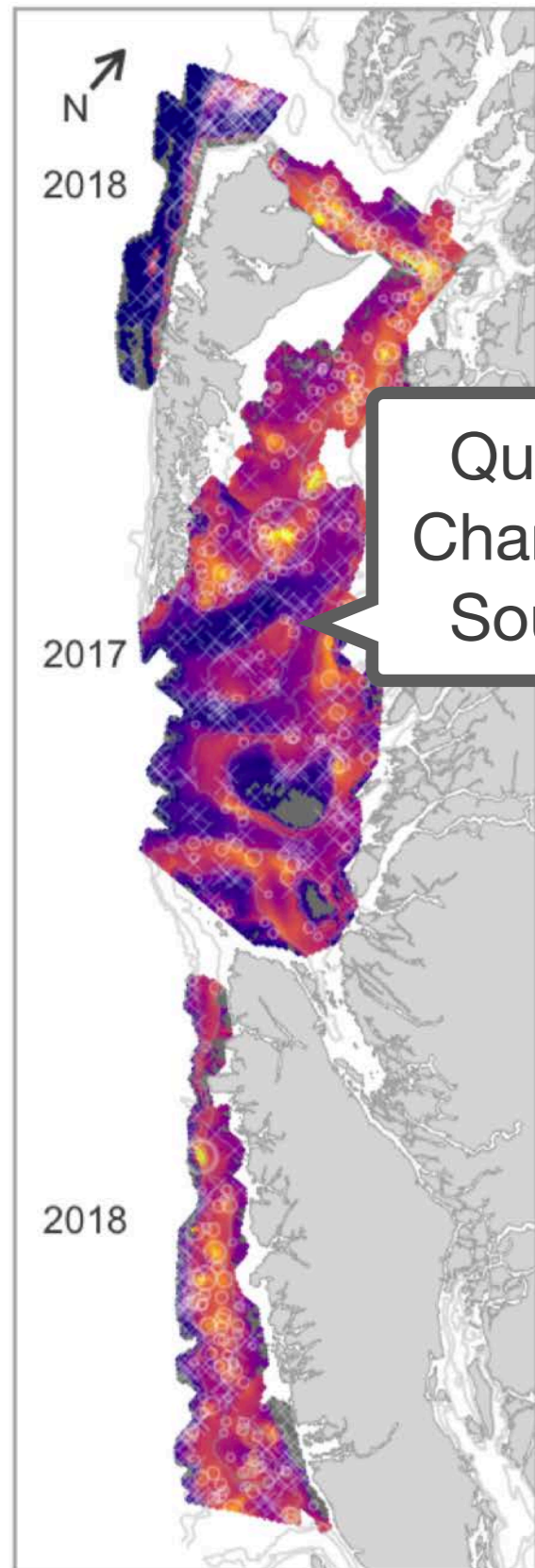
- 0. (Try to) avoid surprise**
- 1. Embed surprise into models**
- 2. Make systems robust to surprise**
- 3. Detect and react quickly to surprise**

**Challenge 0:**

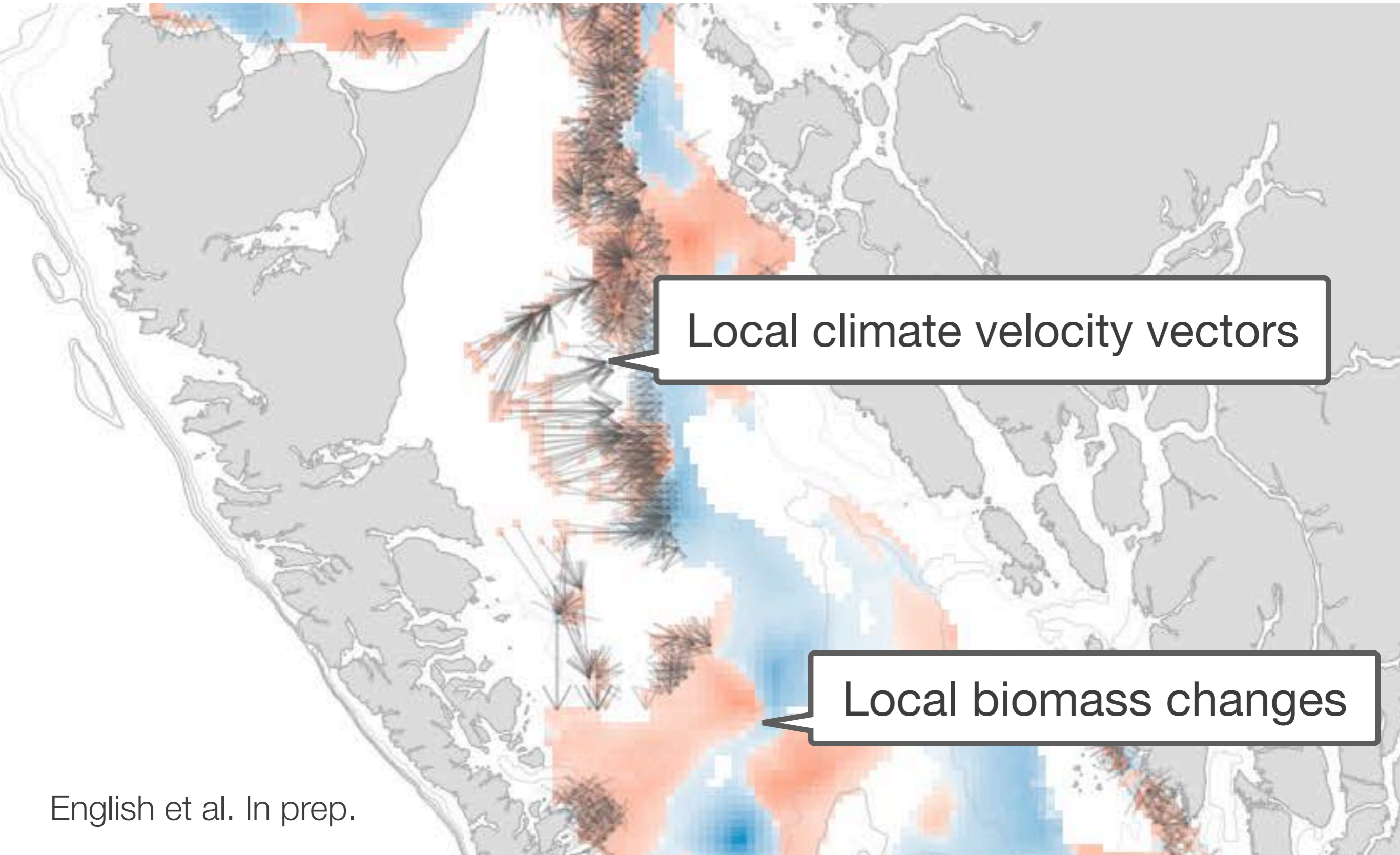
**(Try to) avoid  
surprise.**

# Are Pacific groundfish tracking local climate velocities?

Synoptic survey biomass



# Are Pacific groundfish tracking local climate velocities?



Local climate velocity vectors

Local biomass changes

**Challenge 1:**

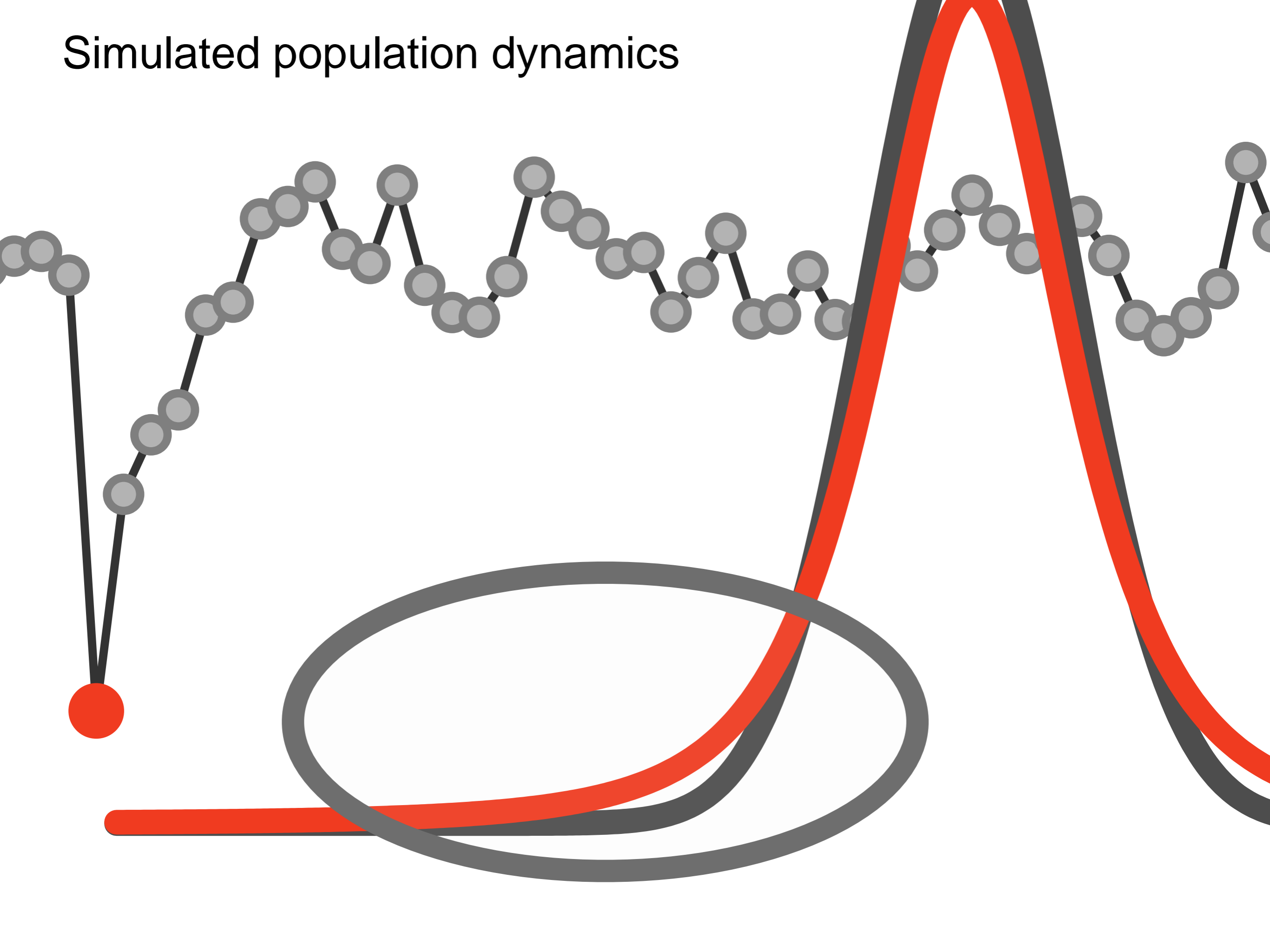
**Embed surprise  
into models**



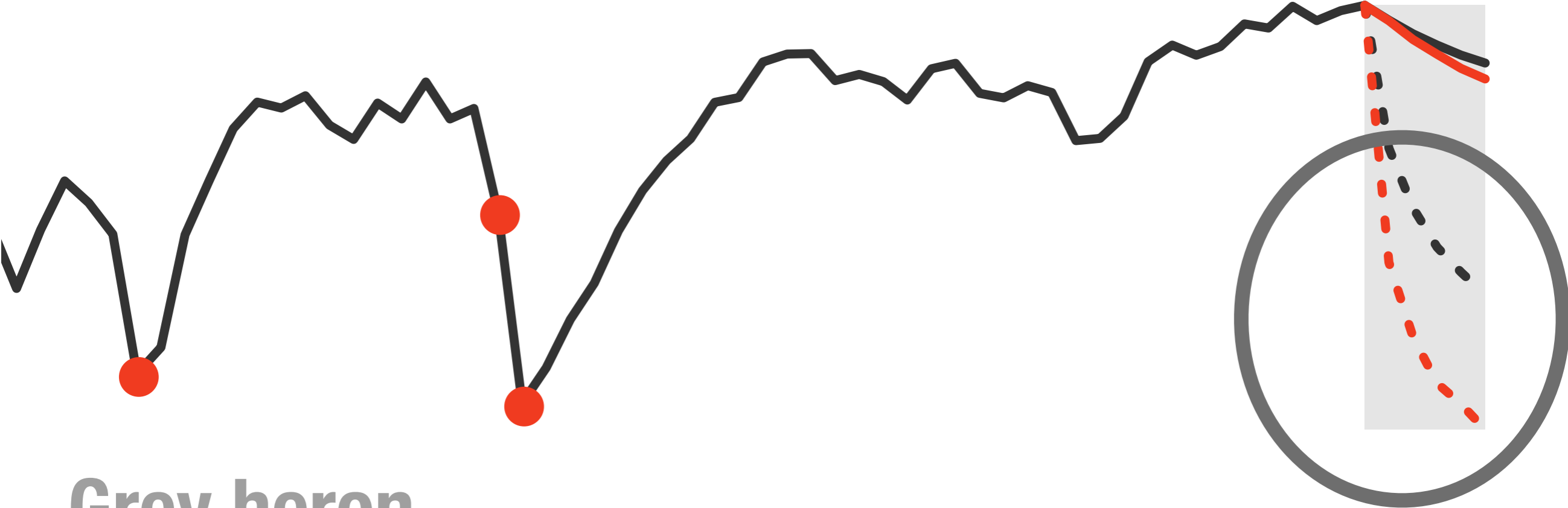
**1. Embed surprise into models**

**Heavy tails**

# Simulated population dynamics



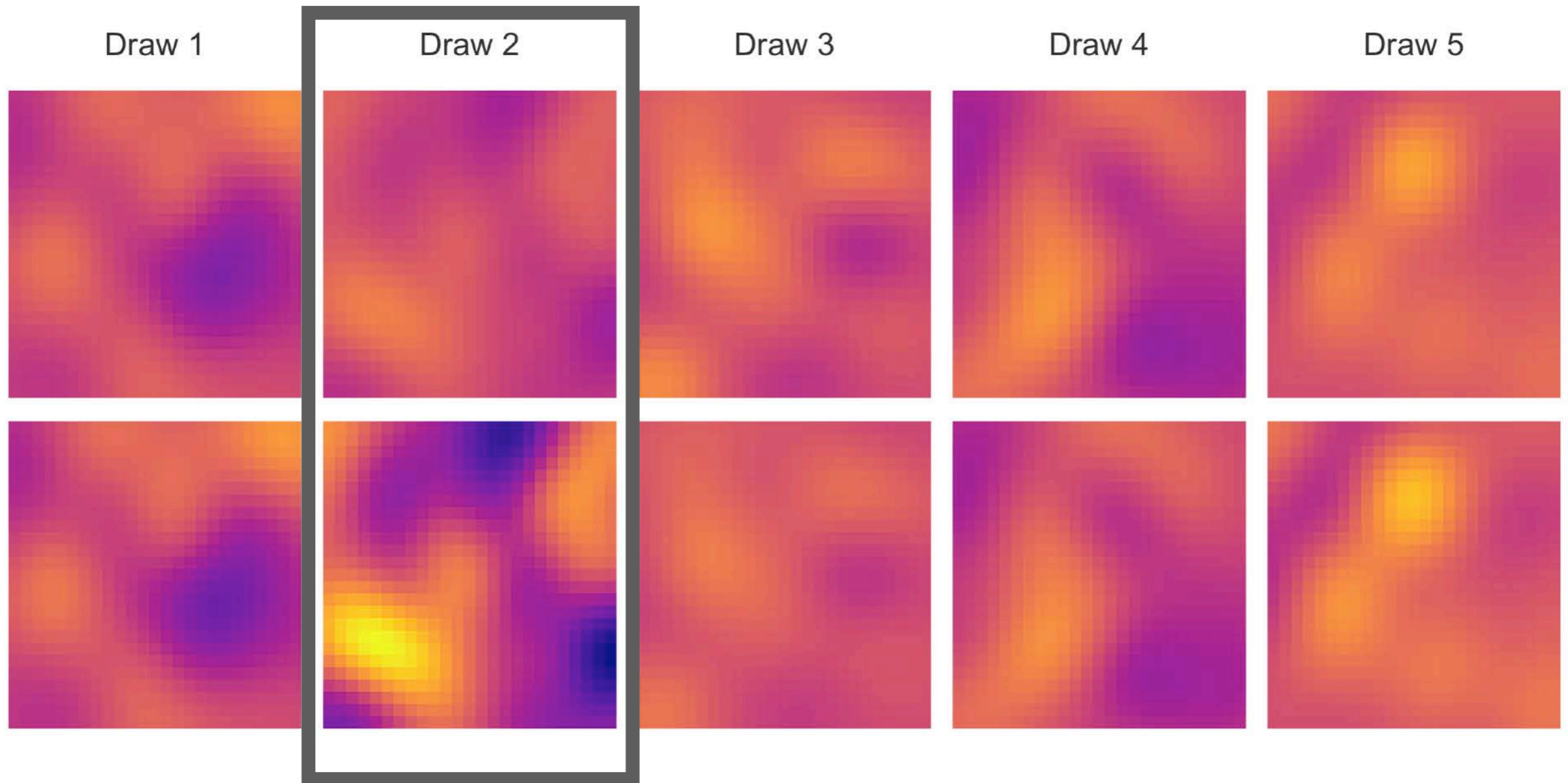
# Ignoring black swans underestimates risk



Grey heron

Lower 99%  
credible interval

# The multivariate t distribution for spatiotemporal extremes



A spatial extreme in time

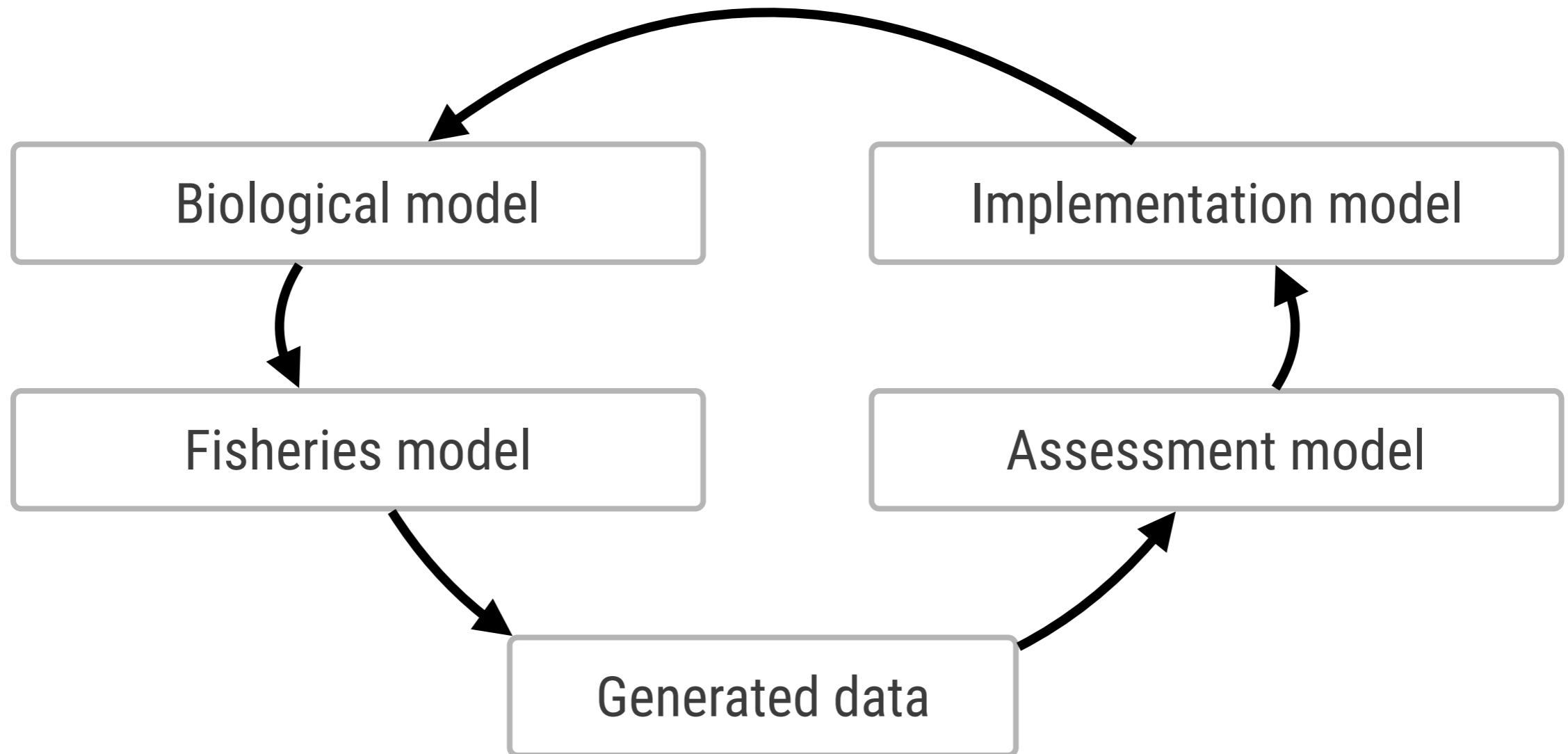
**Challenge 2:**

**Make the system  
robust to surprise**

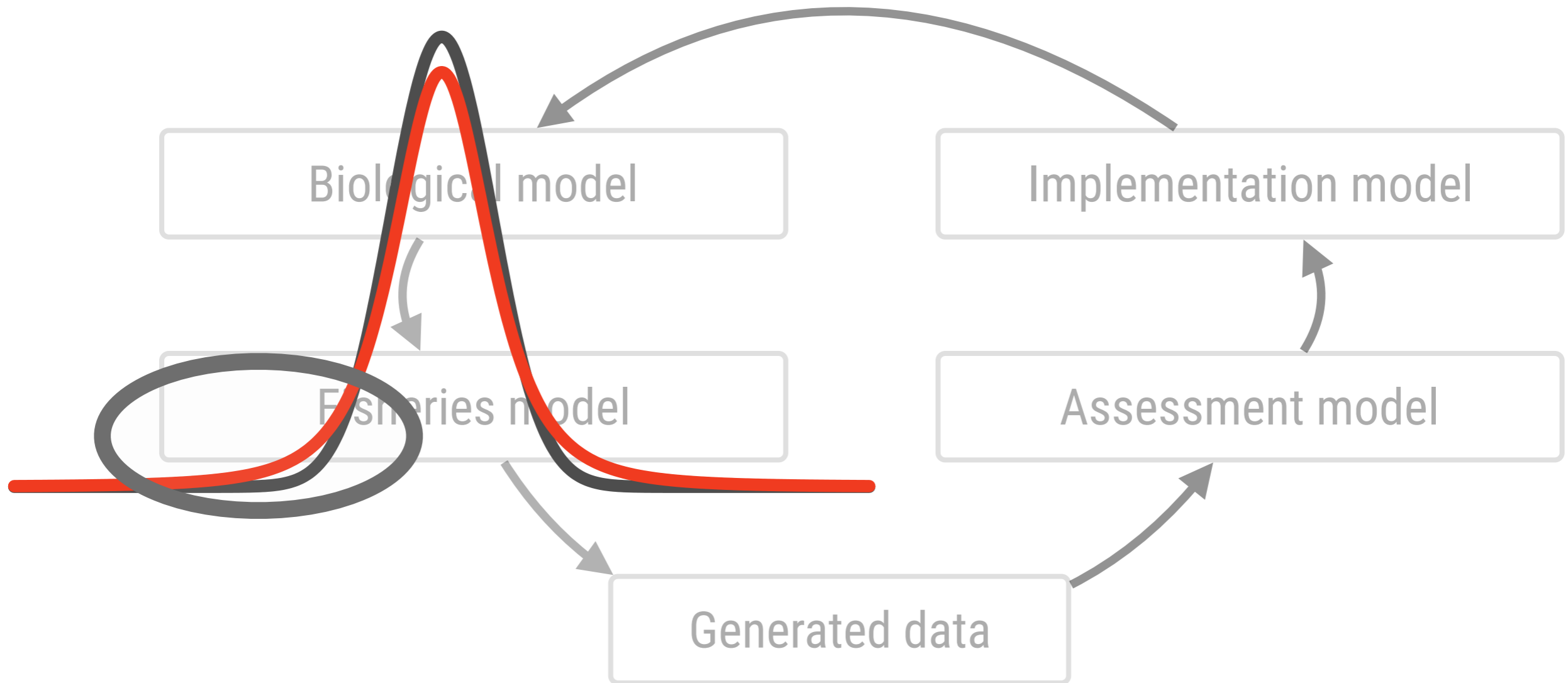
**2. Make the system robust to surprise**

# **Closed-loop simulation**

# Closed-loop simulation can help find management approaches that are robust to extremes



# Closed-loop simulation can help find management approaches that are robust to extremes



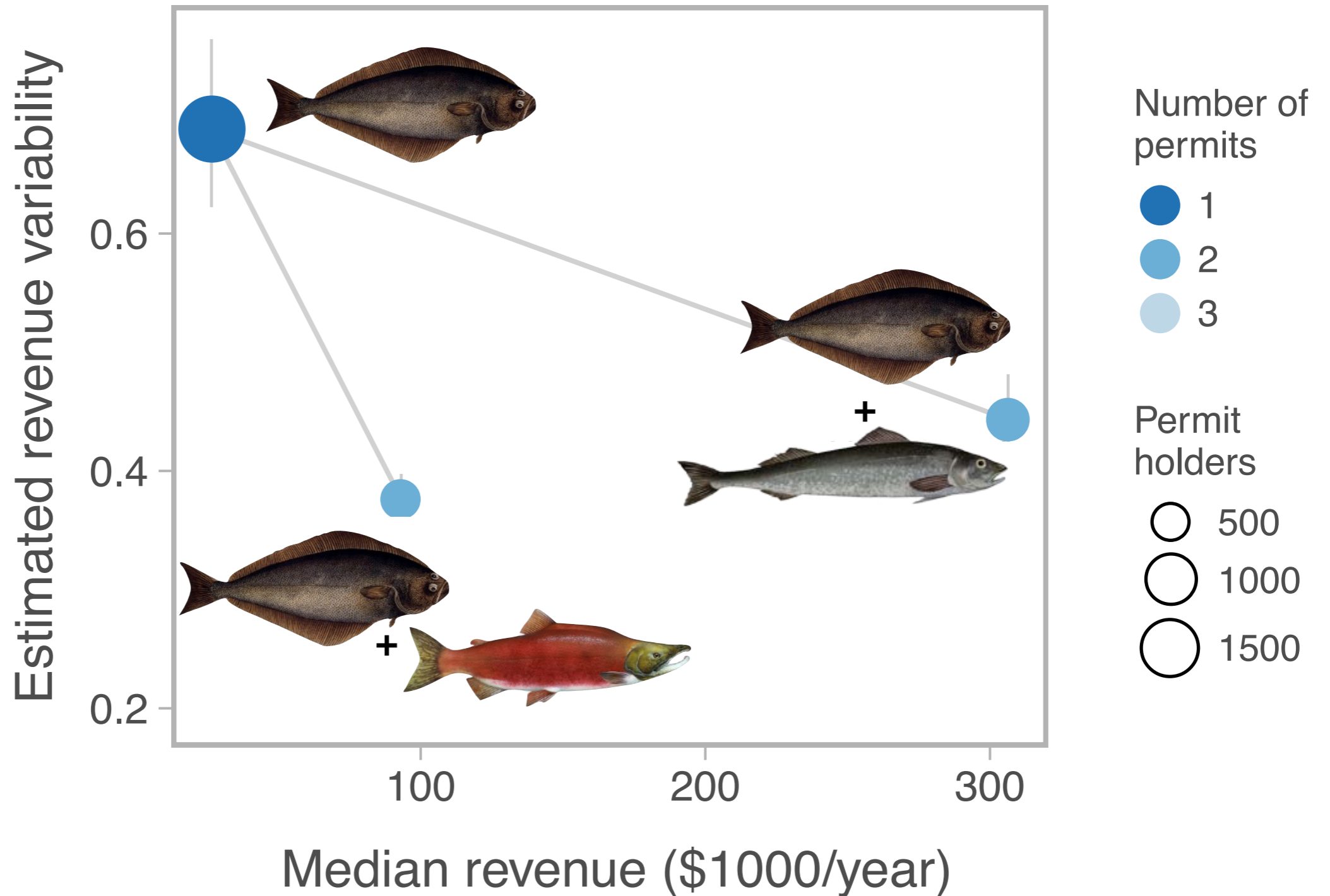


**2. Make the system robust to surprise**

**Promote  
diversification**

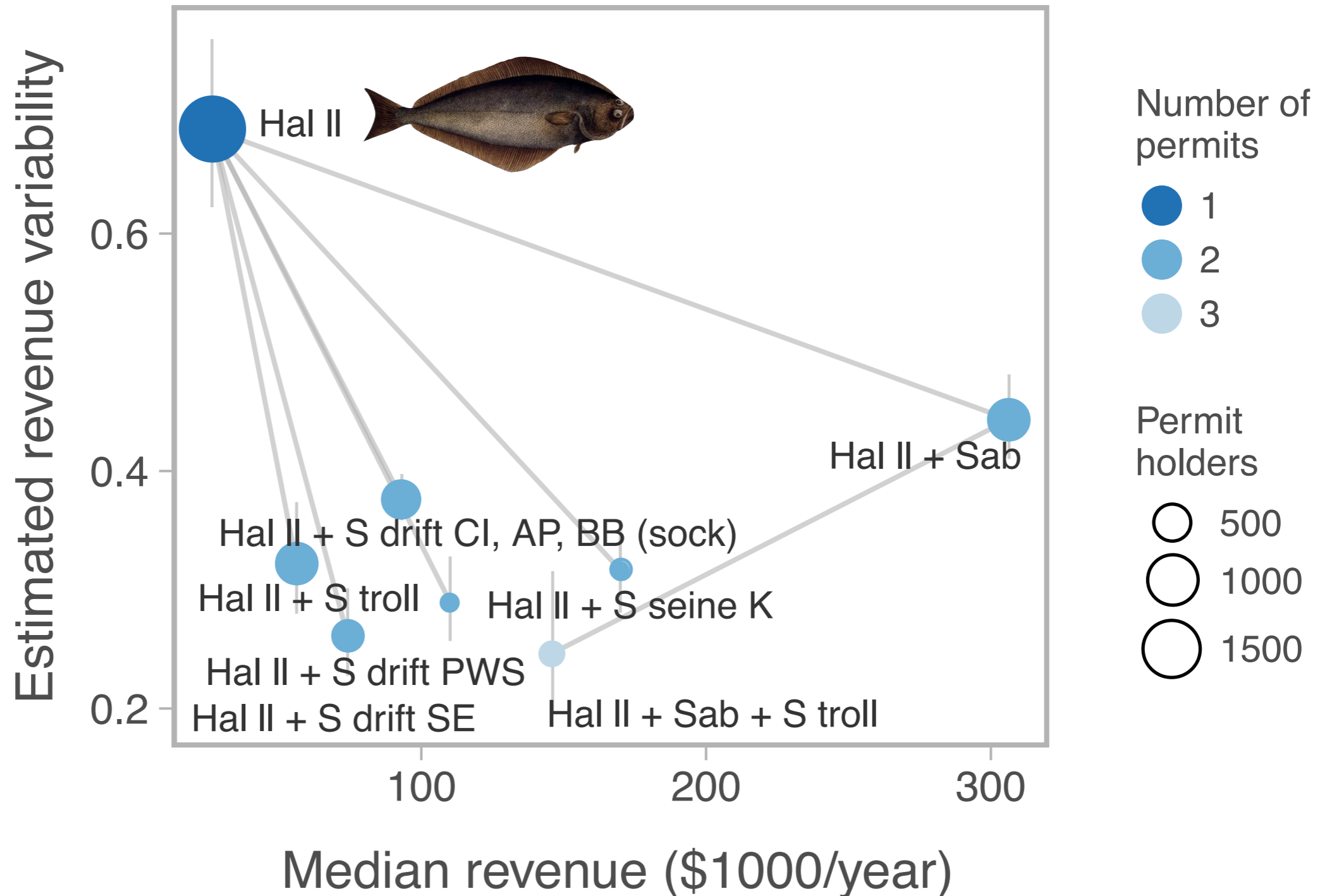
# Adding a permit reduces revenue variability

A Halibut



# Adding a permit reduces revenue variability

A Halibut



## Challenge 3:

**Detect and react  
quickly to surprise**

**3. React quickly to surprise**

# **Adaptive management**

# For British Columbia Groundfish:

~100 species

~4-6 stock assessment scientists

6-12 months for an assessment

2-4 months to plan review meeting

1-2 years for formatting + translation



Bill Ricker

3. React quickly to surprise

**Automated  
reporting +  
visualization**

**Visualization can surprise you, but it doesn't scale.**

**Modelling scales, but it can't surprise you.**

-Hadley Wickham (paraphrased)



**Plot the data.**

**Plot the data.**

**Plot the data.**

**-Ransom Myers**

**Could we:**

**Plot all the data.**

**For every species.**

**On 2 pages per species.**

**Automated.**

**Every year?**

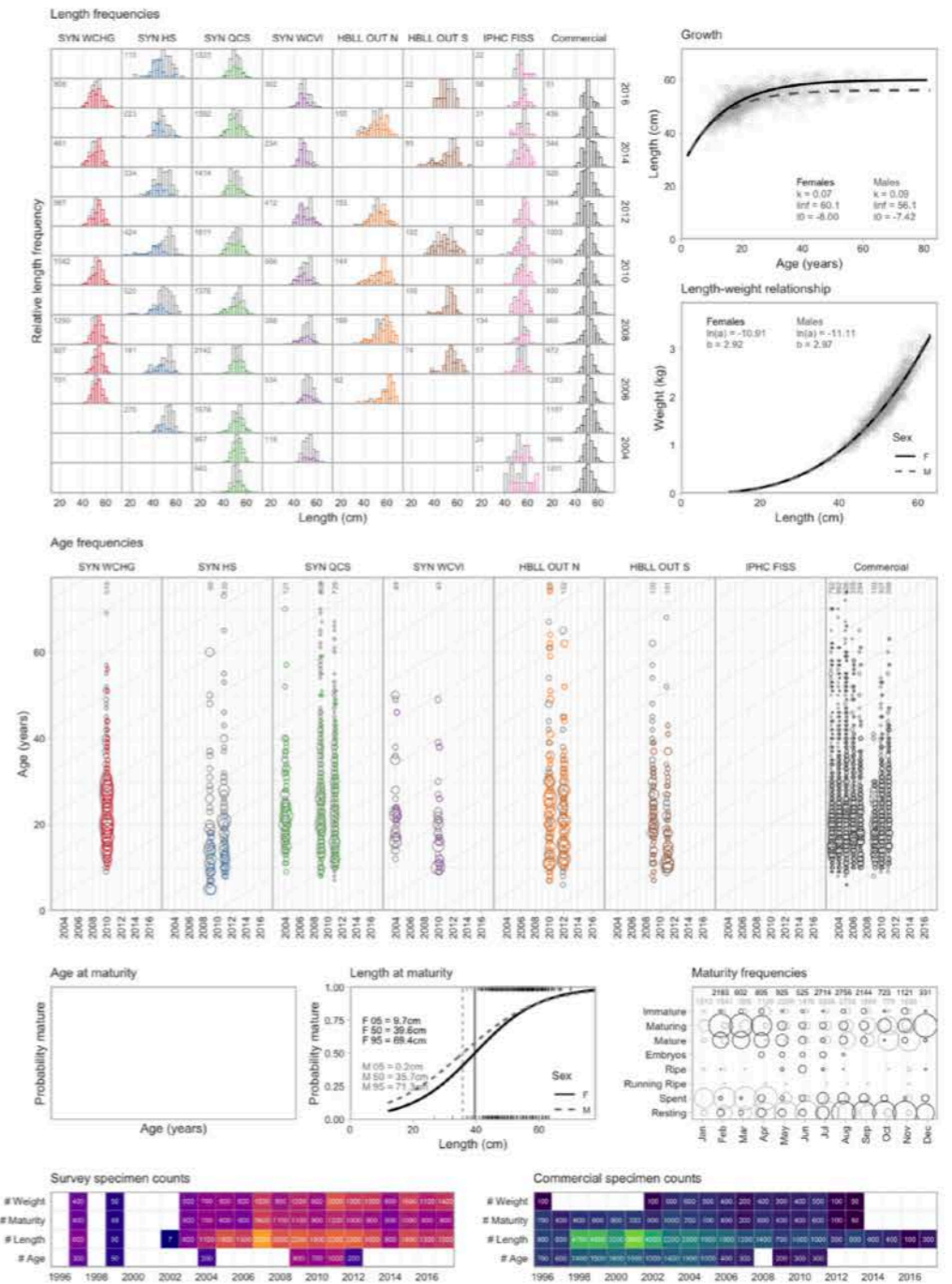
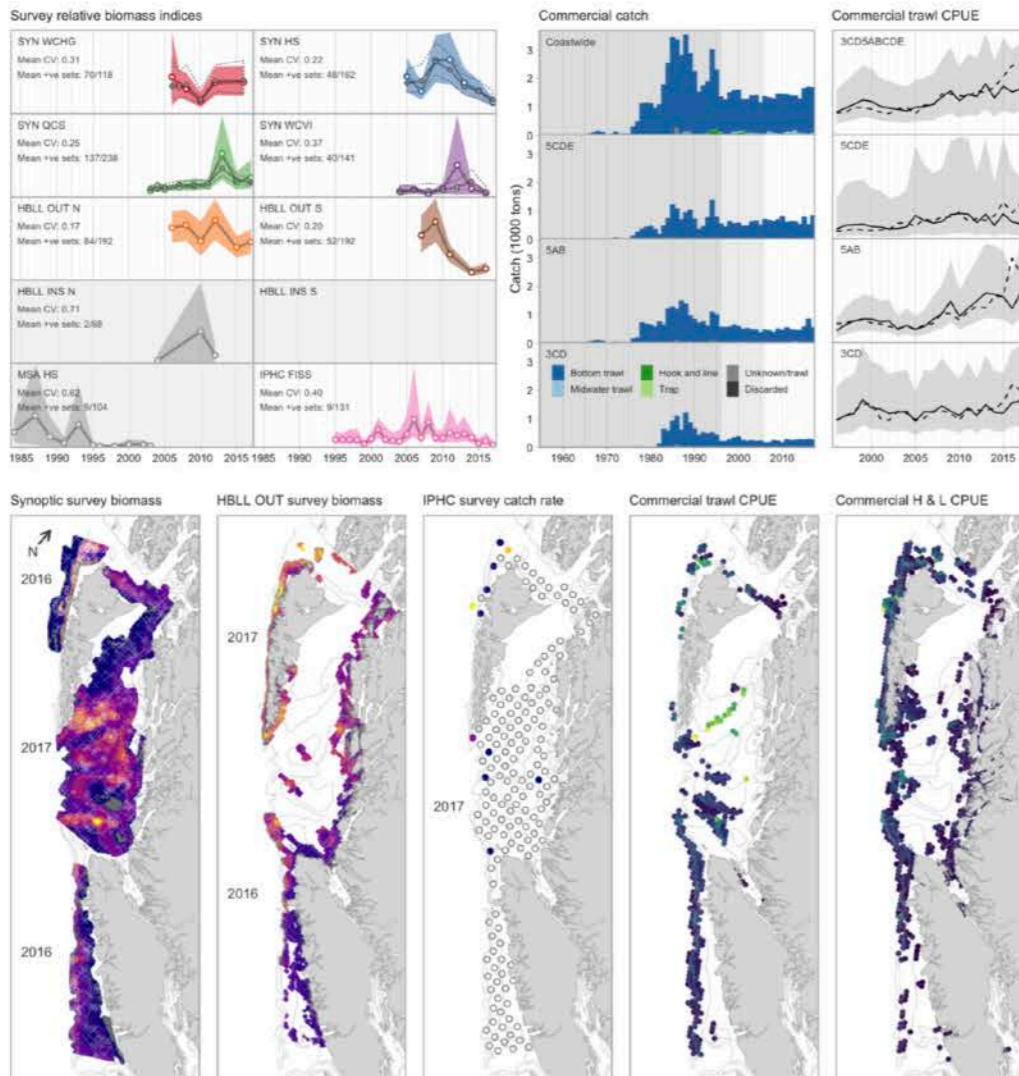
### 5.10 SILVERGRAY ROCKFISH

*Sebastes brevispinis* (405)

Order: Scorpaeniformes, Family: Scorpaenidae, [FishBase link](#)

Last Research Document: Starr et al. (2016)

Last Science Advisory Report: Fisheries and Oceans Canada (2014b)



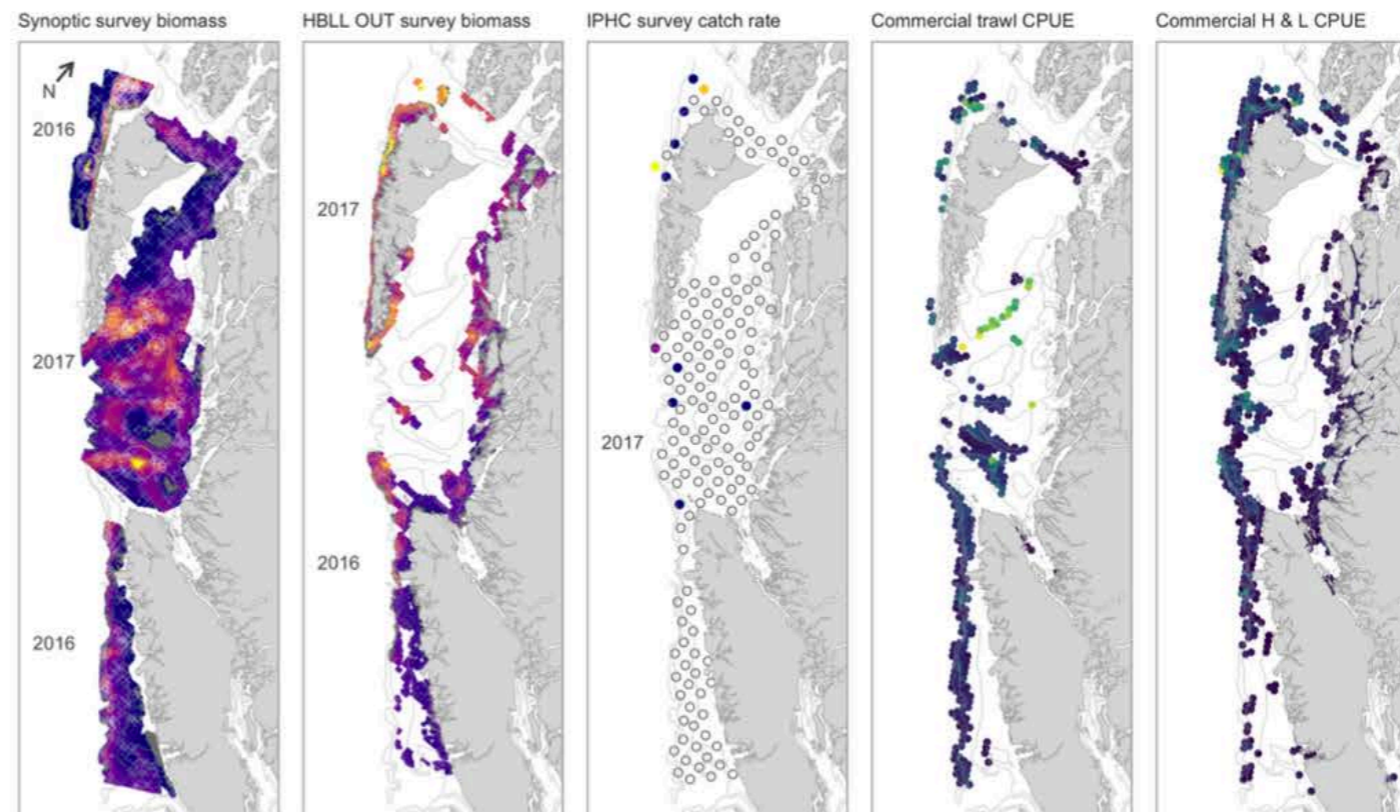
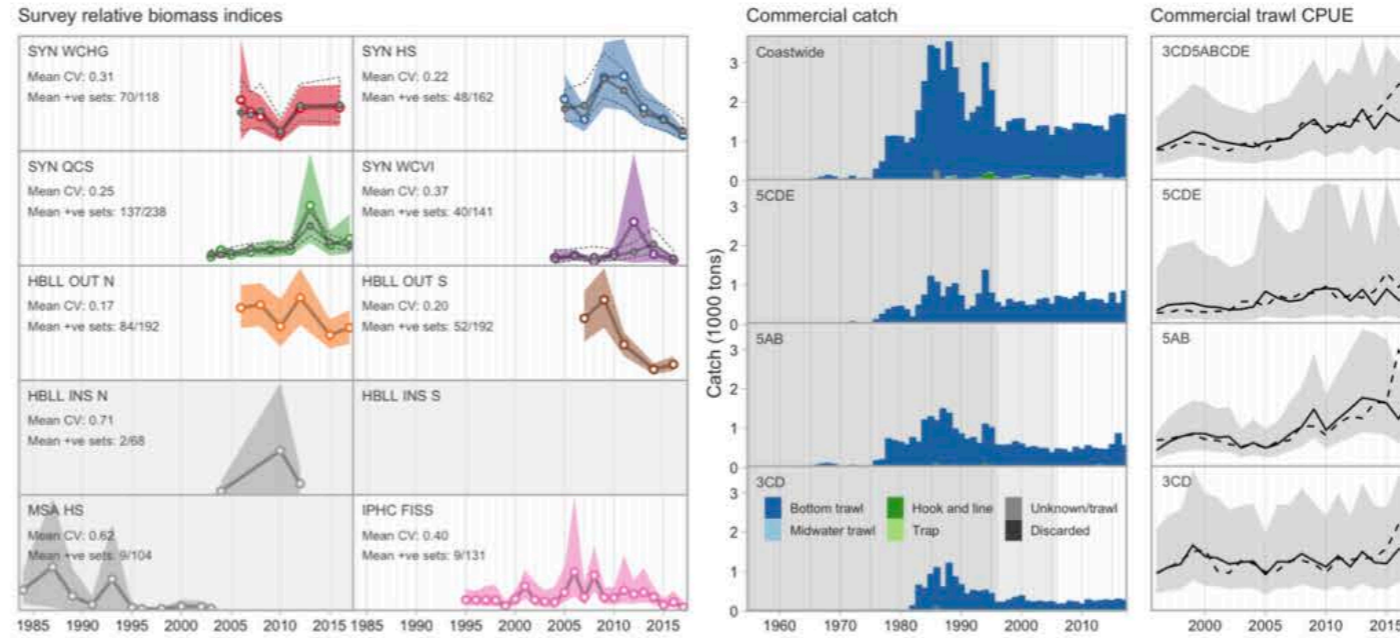
## 5.10 SILVERGRAY ROCKFISH

*Sebastes brevispinis* (405)

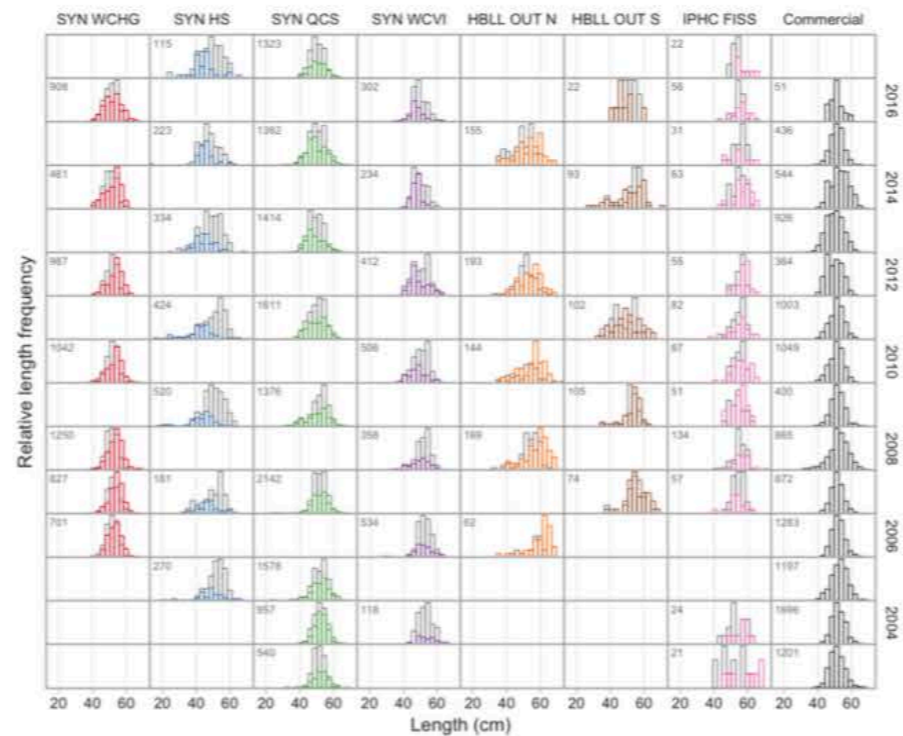
Order: Scorpaeniformes, Family: Scorpaenidae, [FishBase link](#)

Last Research Document: Starr et al. (2016)

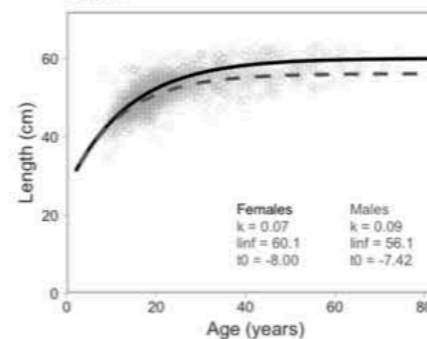
Last Science Advisory Report: Fisheries and Oceans Canada (2014b)



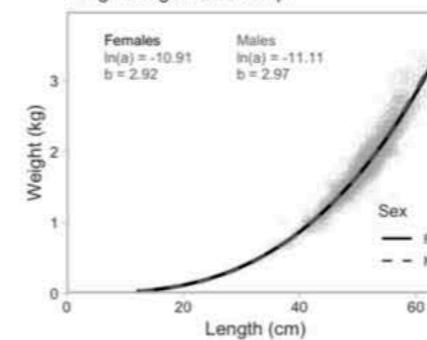
Length frequencies



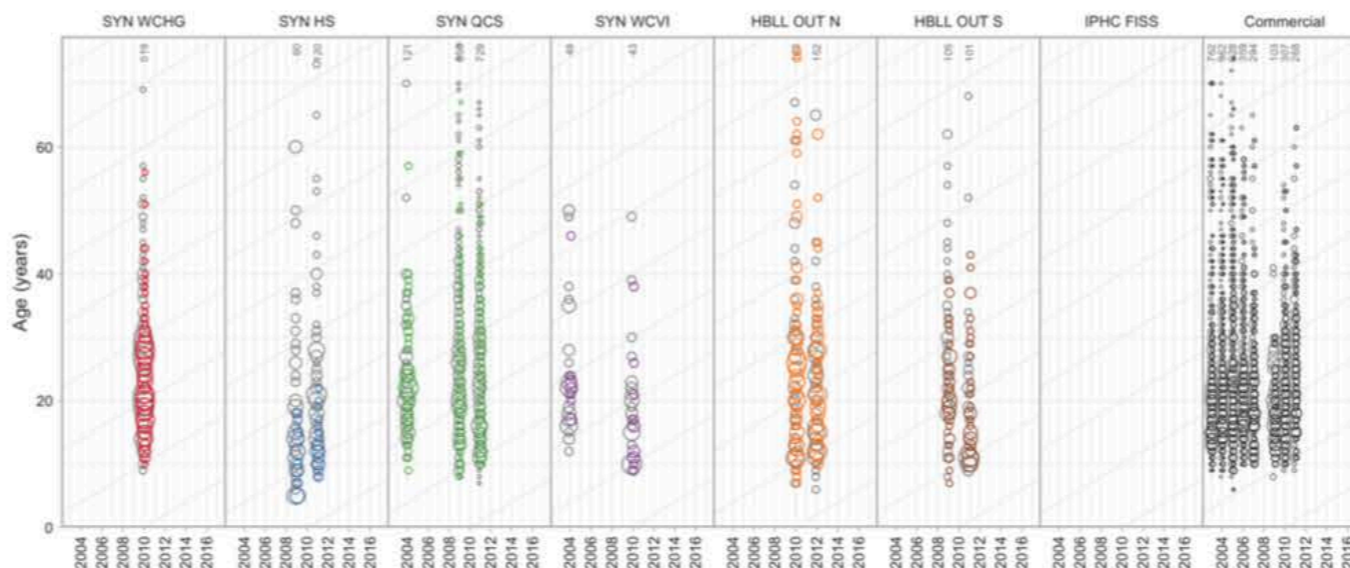
Growth



Length-weight relationship



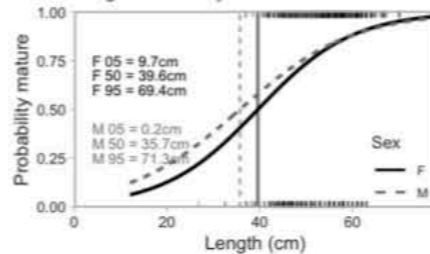
Age frequencies



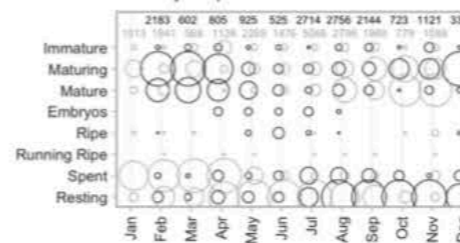
Age at maturity



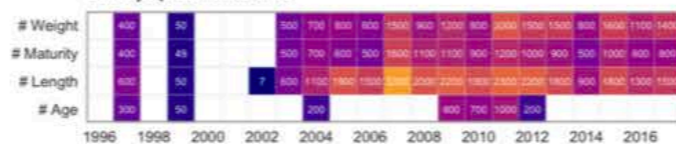
Length at maturity



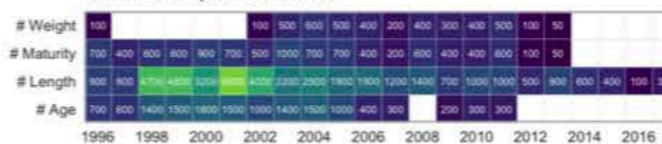
Maturity frequencies

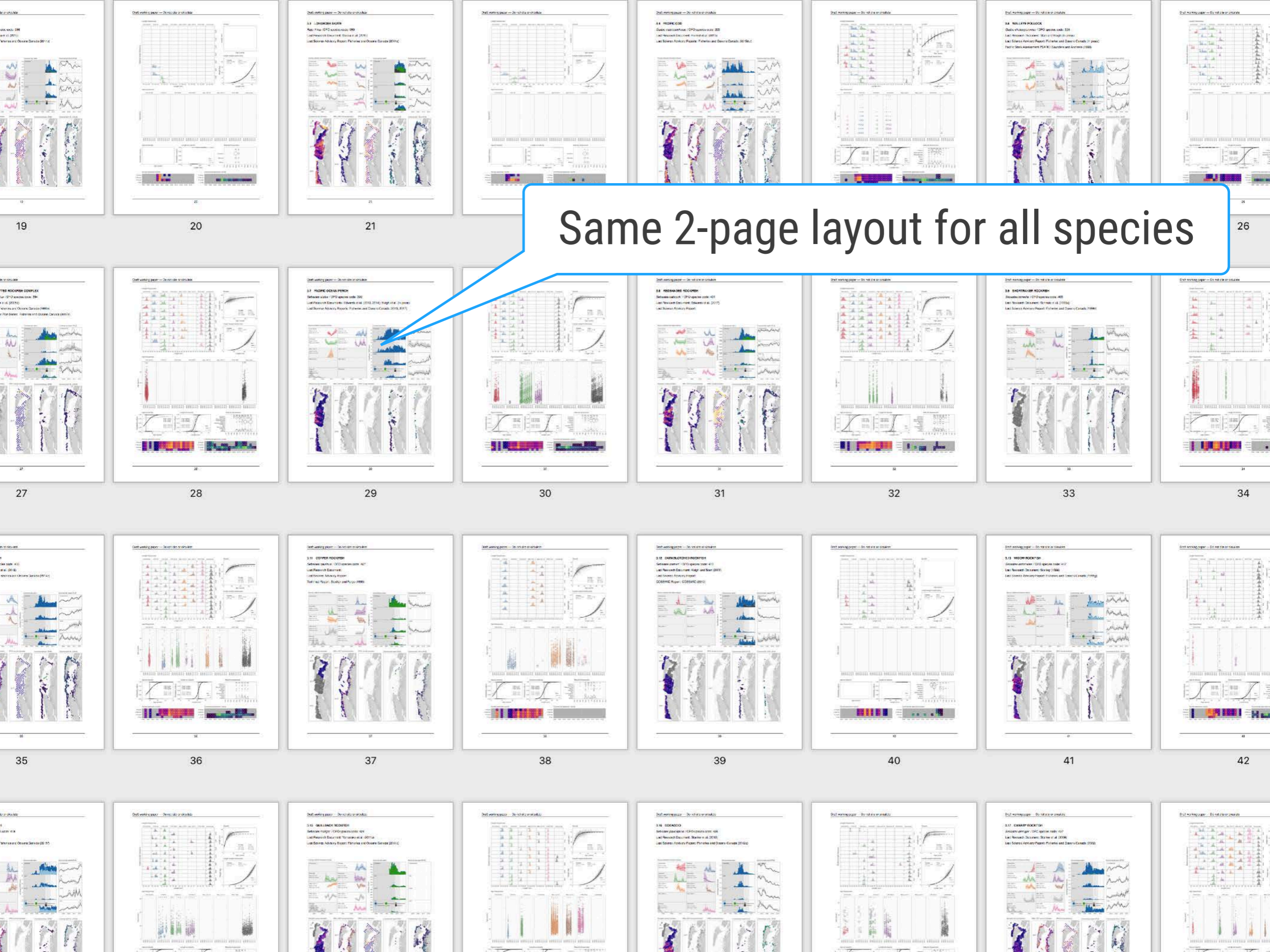


Survey specimen counts



Commercial specimen counts





Same 2-page layout for all species

**Draft available at:**

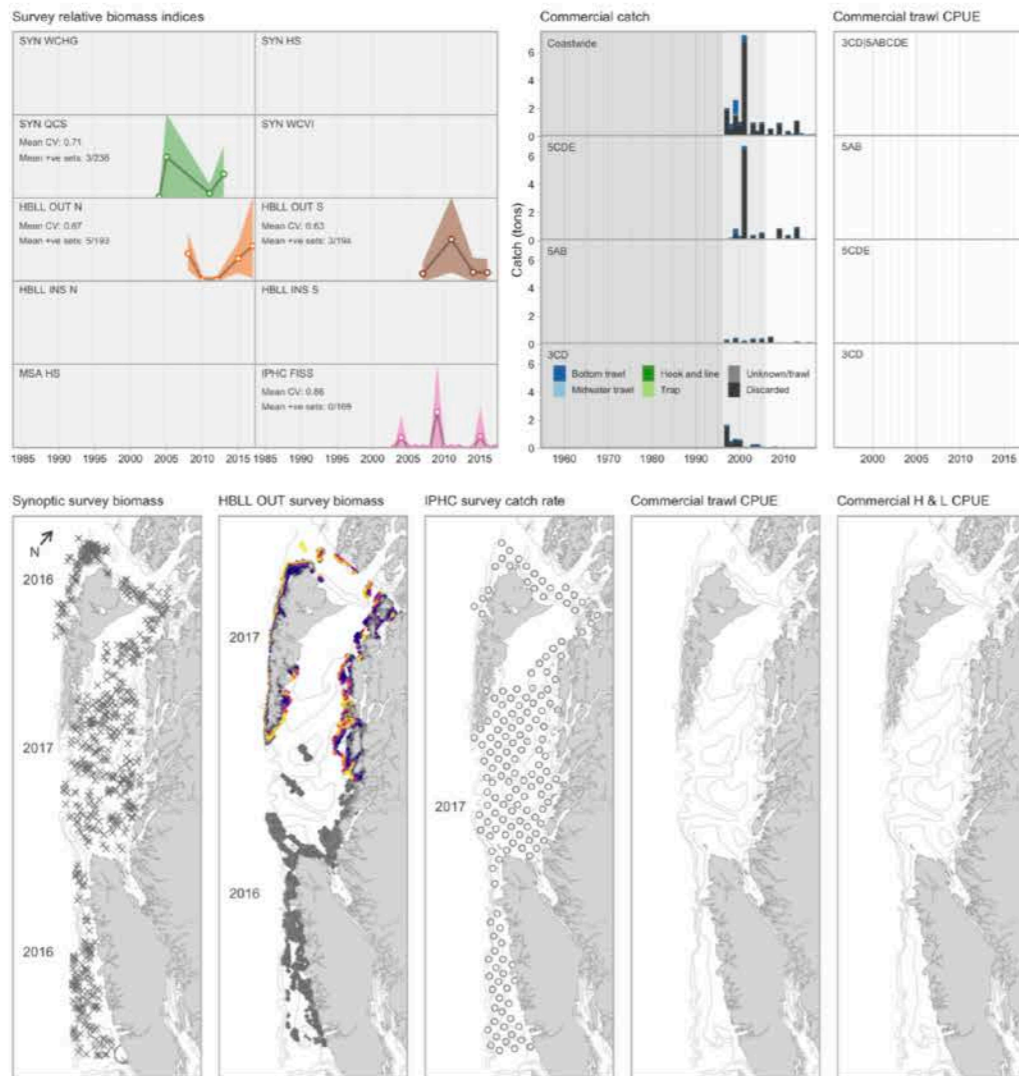
**[github.com/pbs-assess/gfsynopsis](https://github.com/pbs-assess/gfsynopsis)**

Draft working paper — Do not cite or circulate

## 6.12 ALASKA SKATE

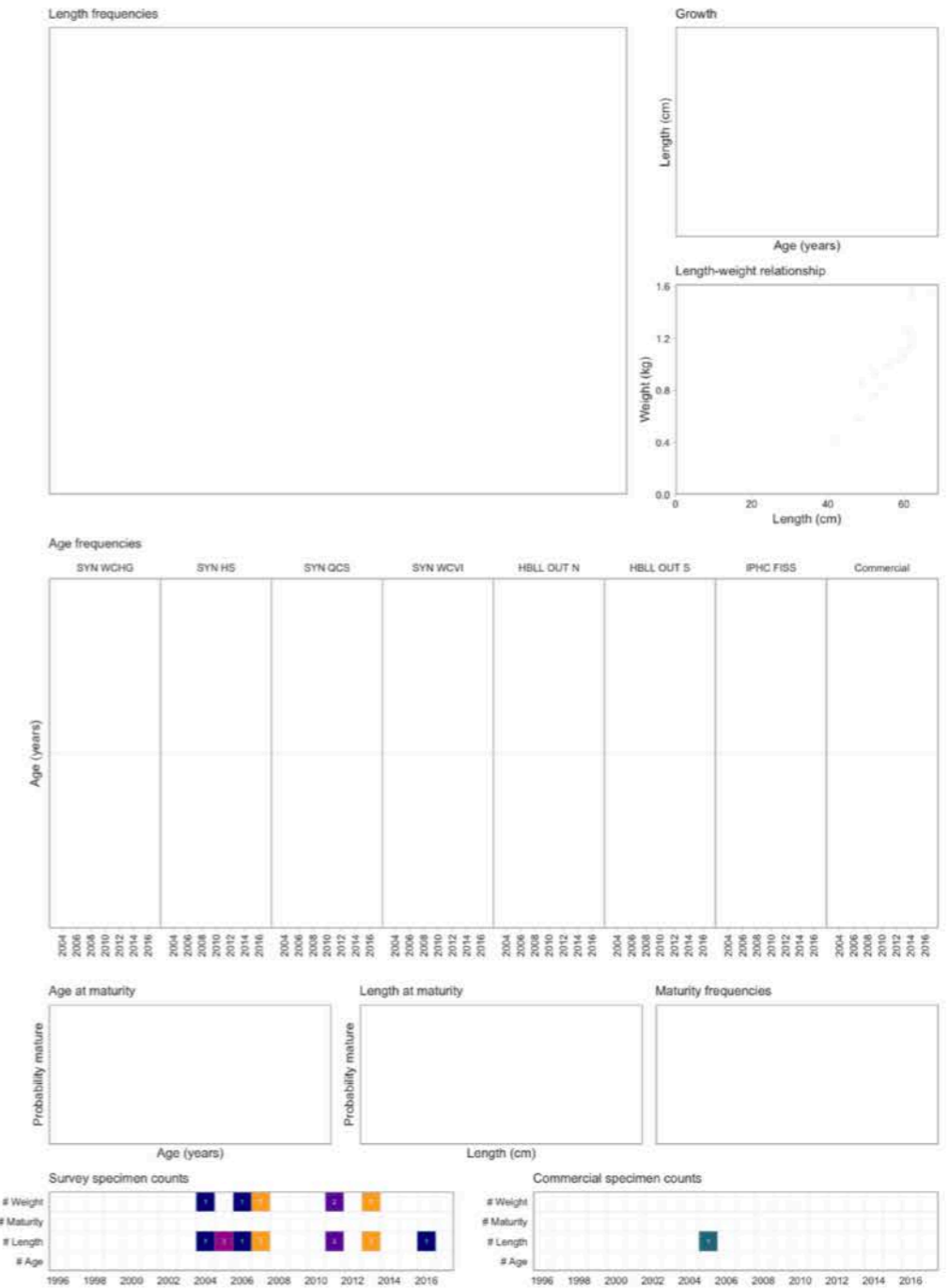
*Bathyrja parmifera* (061)

Order: Rajiformes, Family: Arhynchobatidae, [FishBase link](#)



105

Draft working paper — Do not cite or circulate



106



Draft working paper — Do not cite or circulate

## 5.20 YELLOWEYE ROCKFISH

*Sebastes ruberrimus* (442)

Order: Scorpaeniformes, Family: Scorpaenidae, [FishBase link](#)

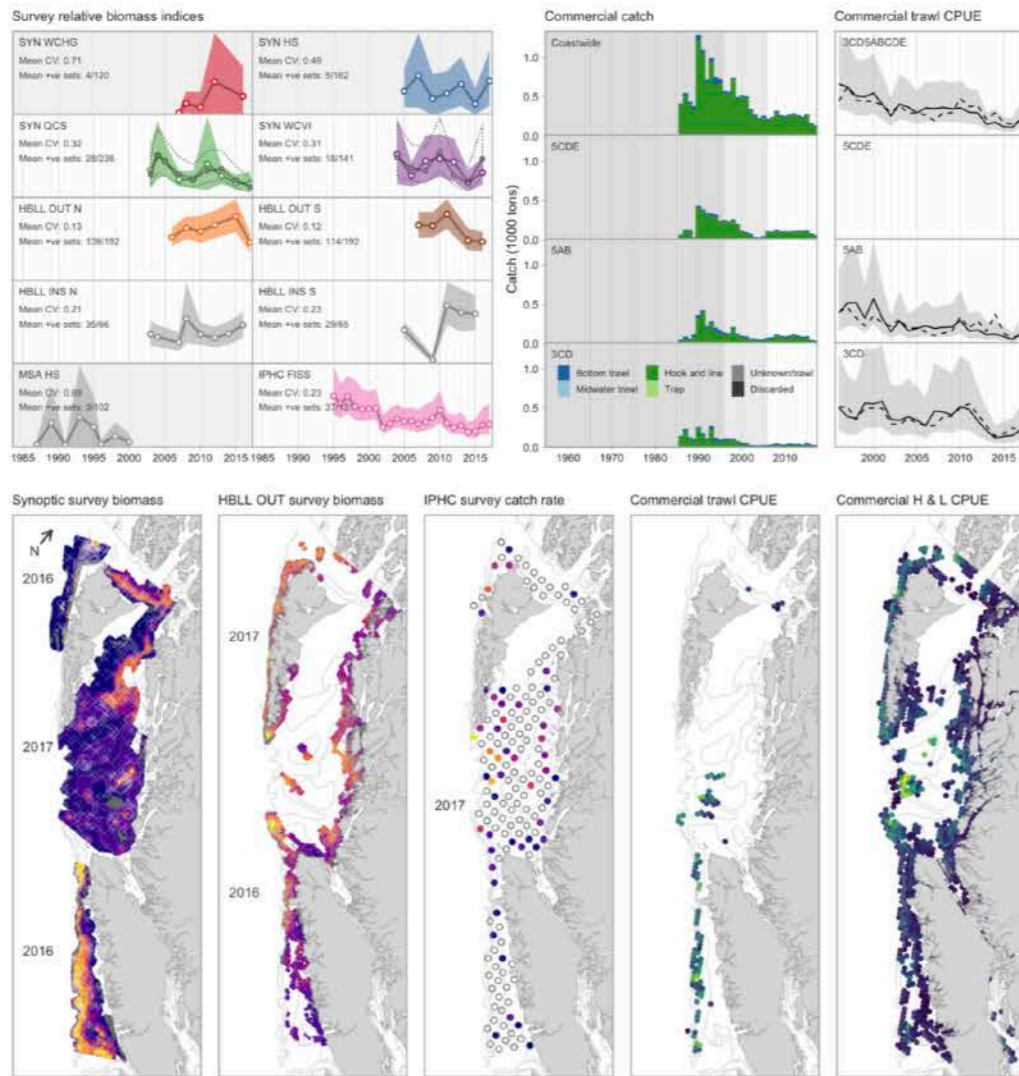
Last Research Documents: Yamanaka et al. (2011b), Yamanaka et al. (2018)

Last Science Advisory Reports: Fisheries and Oceans Canada (2011c), Fisheries and Oceans Canada (2015d)

Pre-COSEWIC Review: Keppel and Olsen (In press)

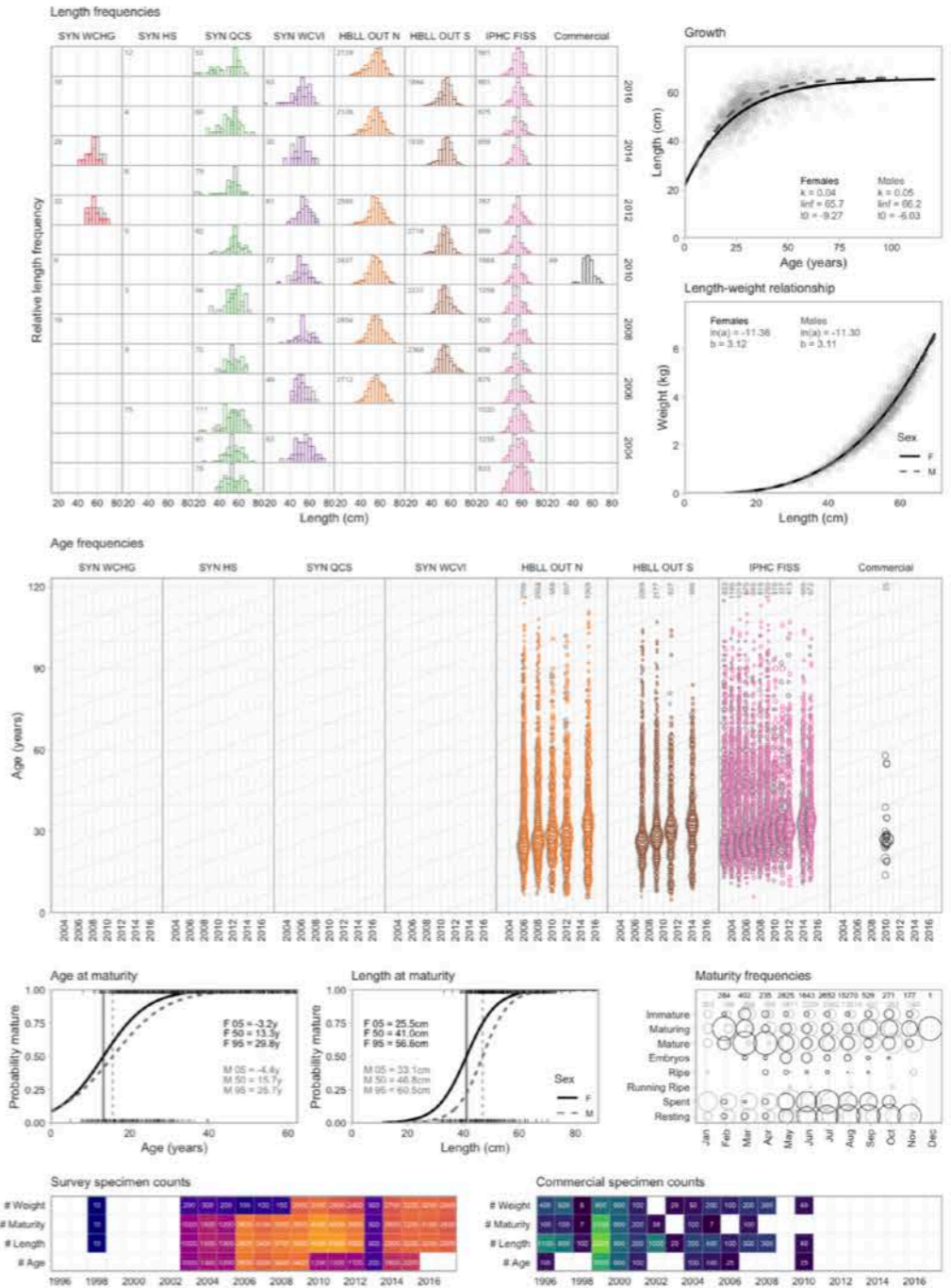
COSEWIC status report: COSEWIC (2008)

COSEWIC status: Special Concern, SARA status: Special Concern



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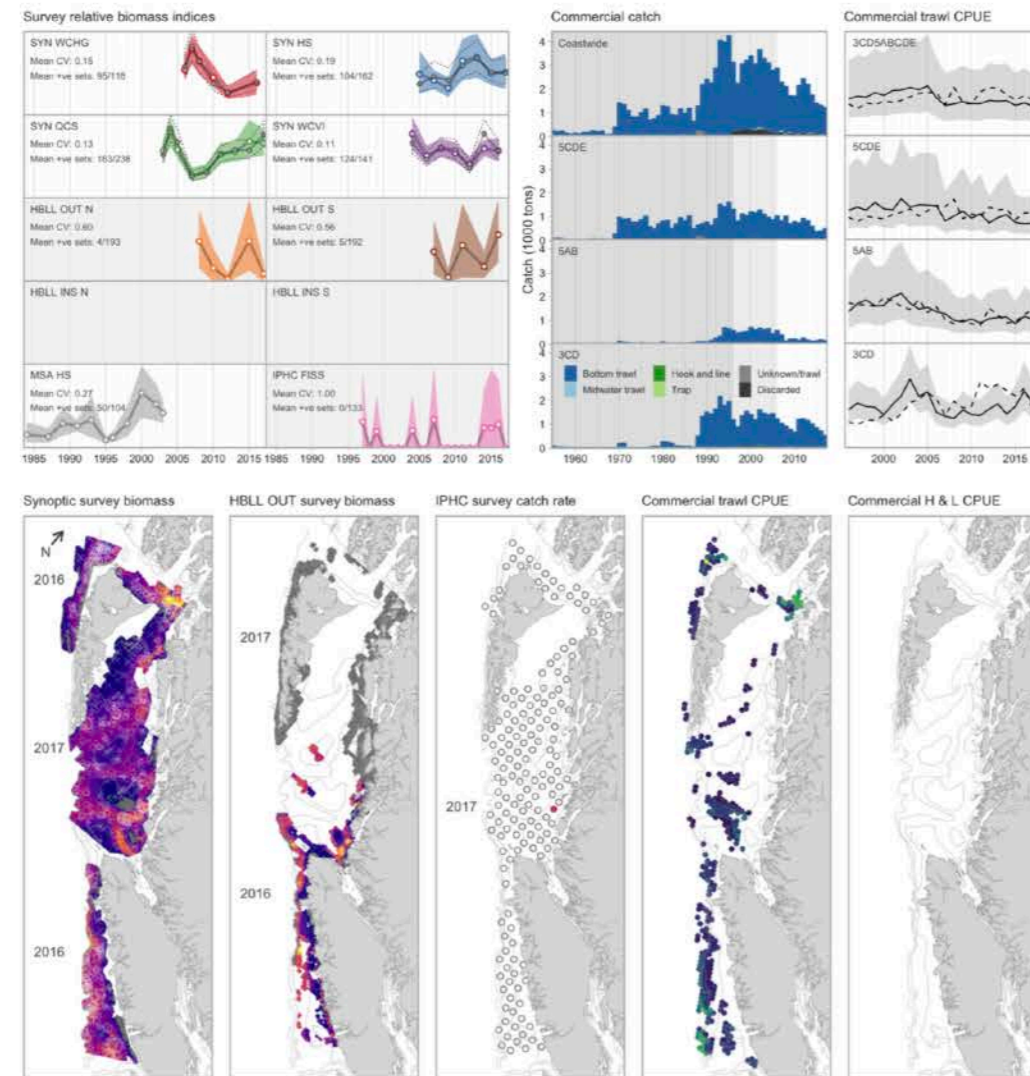
Draft working paper — Do not cite or circulate

## 5.29 DOVER SOLE

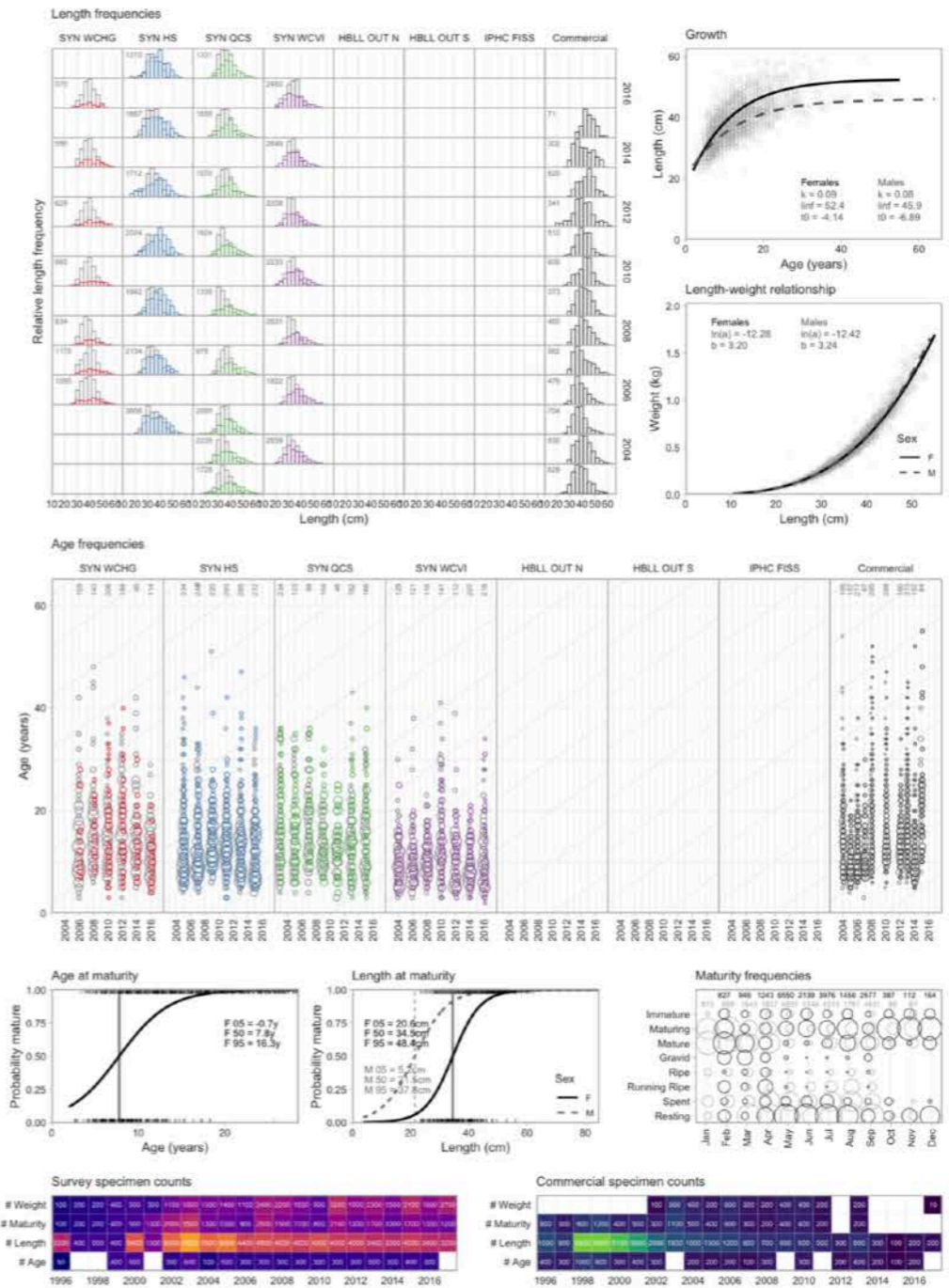
*Microstomus pacificus* (626)

Order: Pleuronectiformes, Family: Pleuronectidae, [FishBase link](#)

Last Science Advisory Report: Fisheries and Oceans Canada (1999f)



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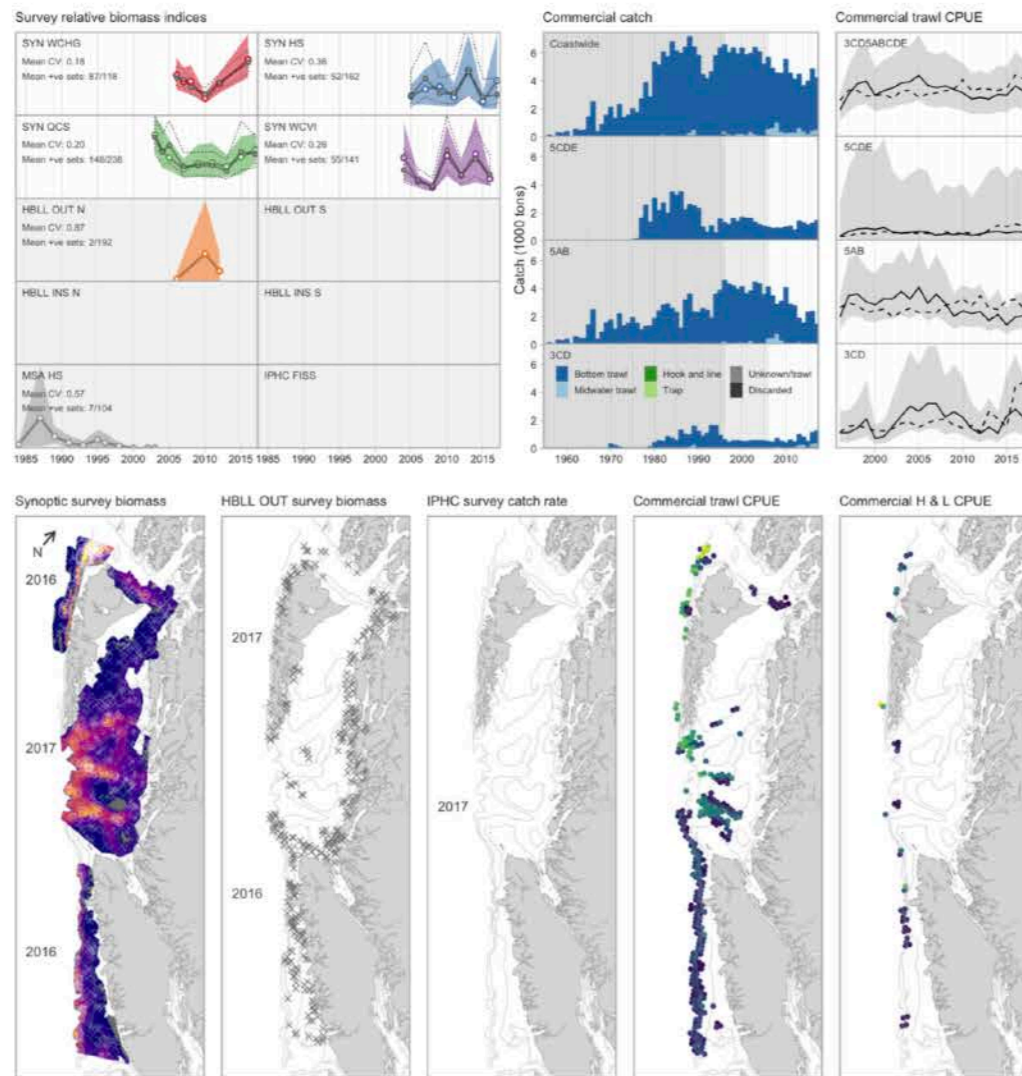
## 5.7 PACIFIC OCEAN PERCH

*Sebastes alutus* (396)

Order: Scorpaeniformes, Family: Scorpaenidae, [FishBase link](#)

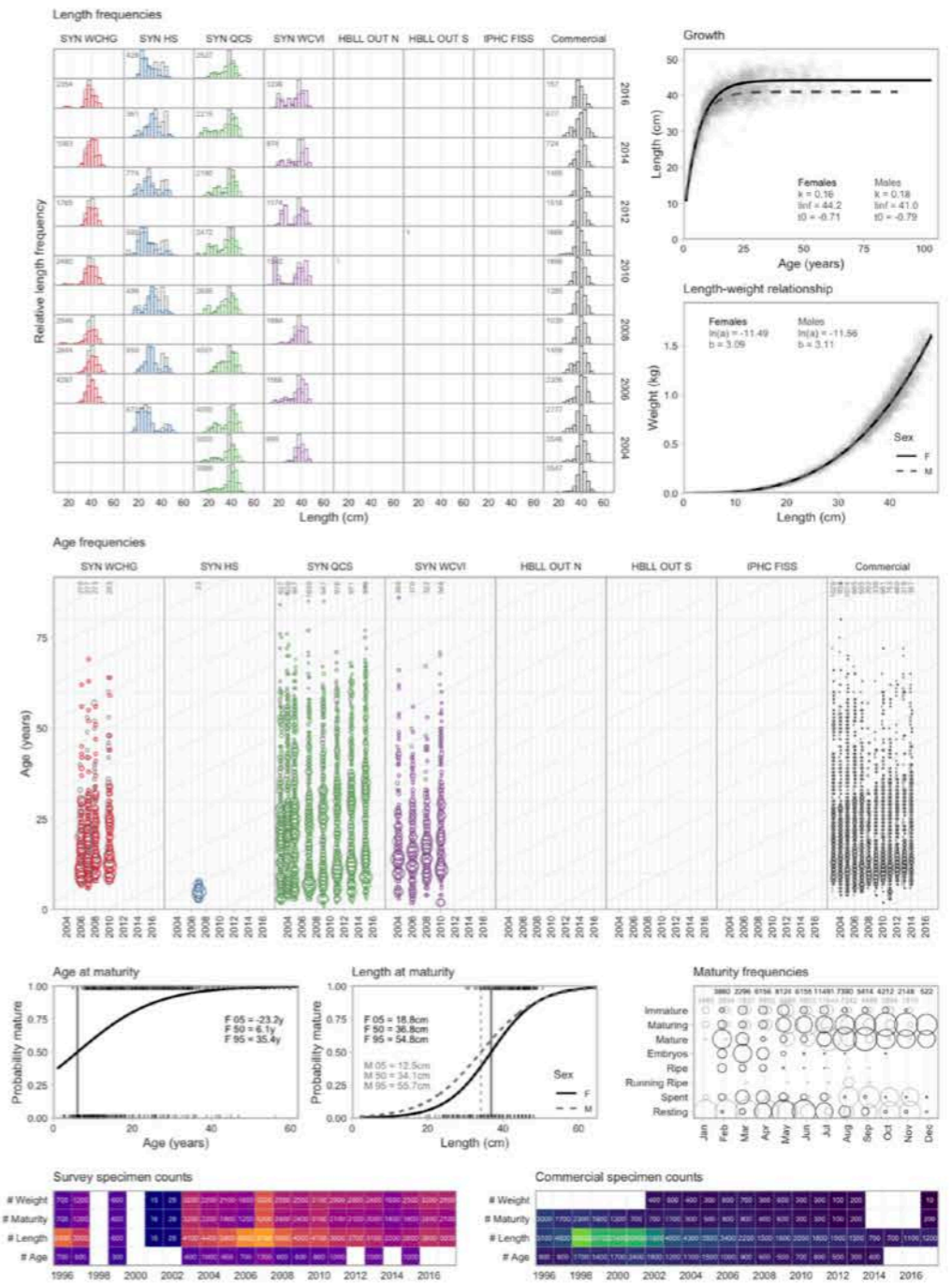
Last Research Documents: Edwards et al. (2013), Edwards et al. (2014), Haigh et al. (In press)

Last Science Advisory Reports: Fisheries and Oceans Canada (2013), Fisheries and Oceans Canada (2017a)



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**Communication**

**Prioritization**

**Faster assessments**

**Broad monitoring**

**In conclusion**

"Individual surprise events are, by definition, very rare, but it is quite possible that **surprise itself** [...] is common enough to be an **important factor** in the daily lives of managers."

Hilborn 1987 North Am. J. Fish. Manag.



**Surprises happen.**

**Surprises with fish  
and fisheries  
happen.**

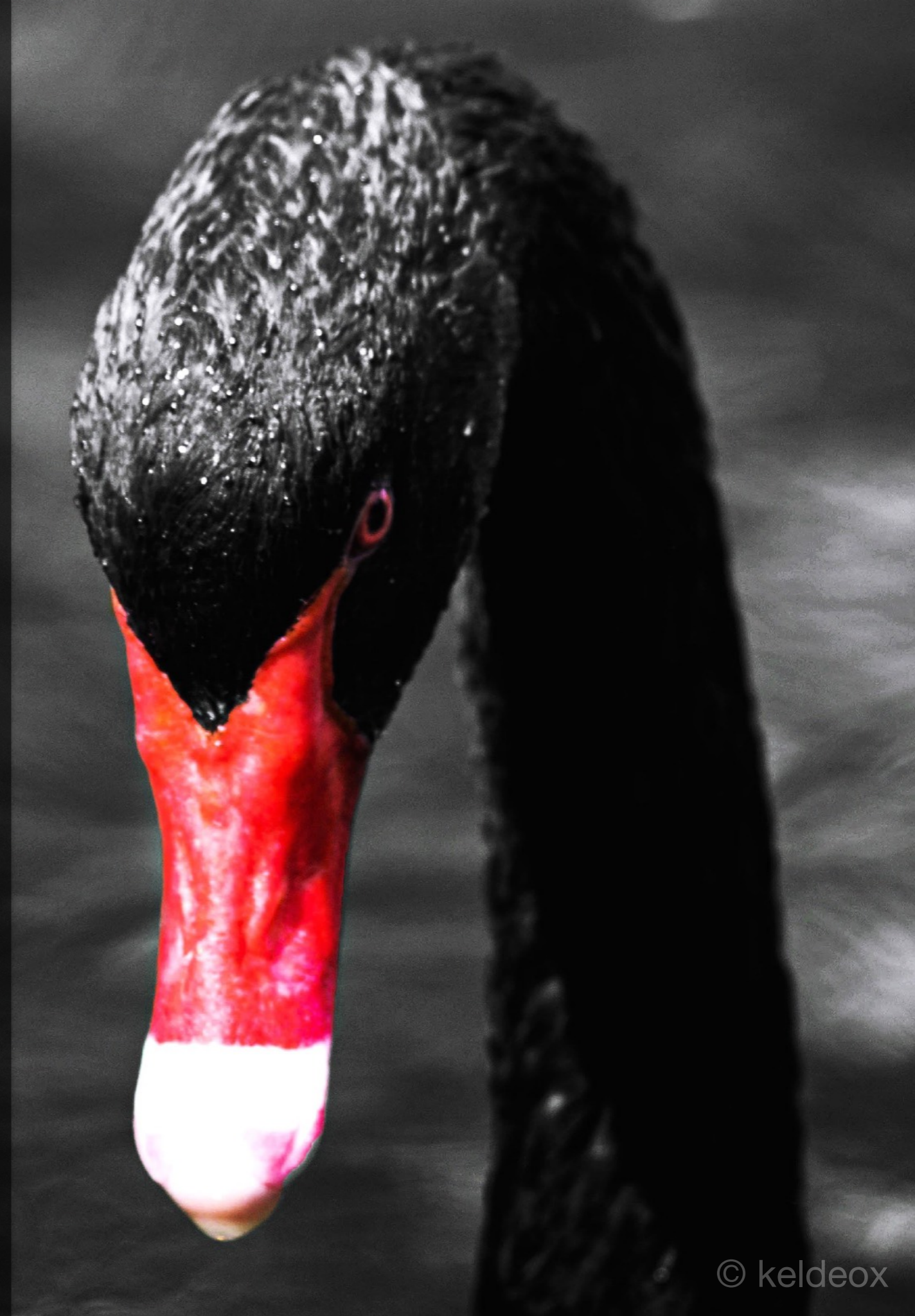
**Surprises with fish  
and fisheries will  
probably become  
more common.**

**Black swans and surprise:**

**We can integrate them into our models.**

**We can make our fisheries management systems robust to them.**

**We can work to detect and react to them quickly.**





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[github.com/pbs-assess/gfsynopsis](https://github.com/pbs-assess/gfsynopsis)