



# Evaluating benchmarks of biological status for Pacific salmon under climate-driven changes in stock productivity and limited data

Carrie Holt and Michael Folkes

Fisheries and Oceans Canada

Pacific Biological Station

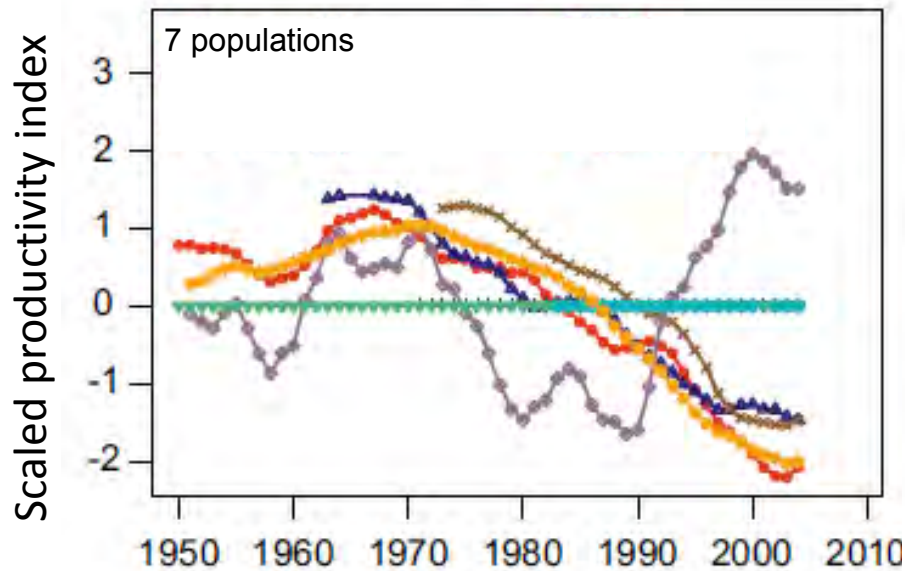


# Recent declines in productivity

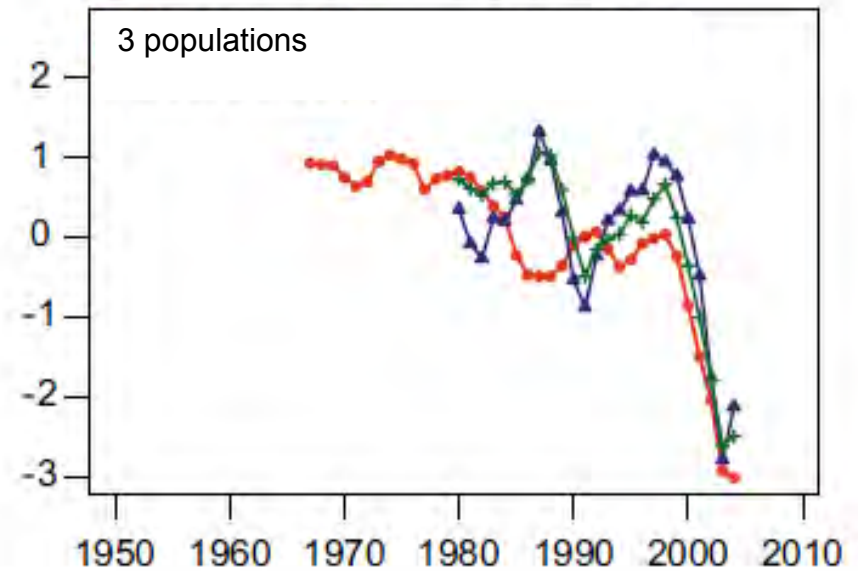


Sockeye salmon

Fraser River, BC



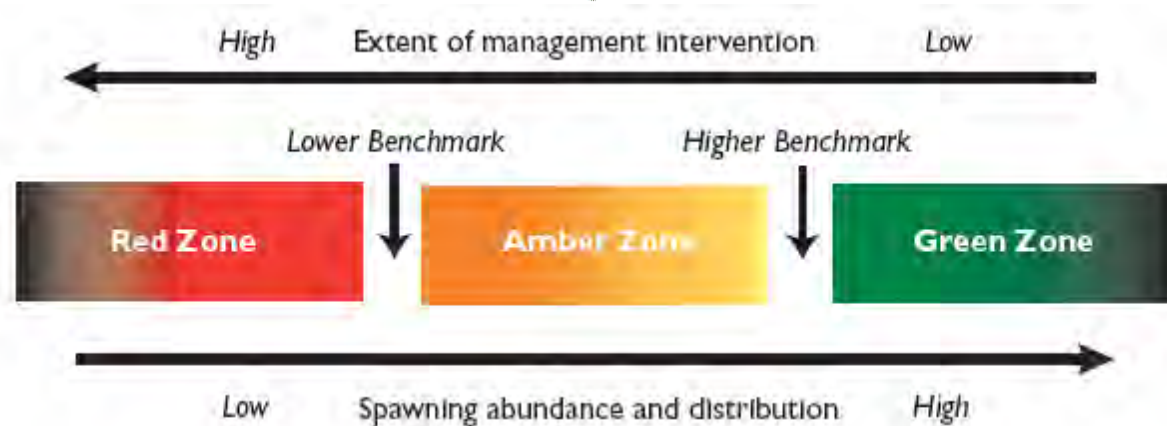
Puget Sound, WA and Vancouver Island, BC



Given large-scale declines in productivity and no signs of recovery despite reductions in harvest pressure,

Are current assessment methods and management strategies robust to these persistent changes?

# Benchmarks of biological status



## Lower benchmark

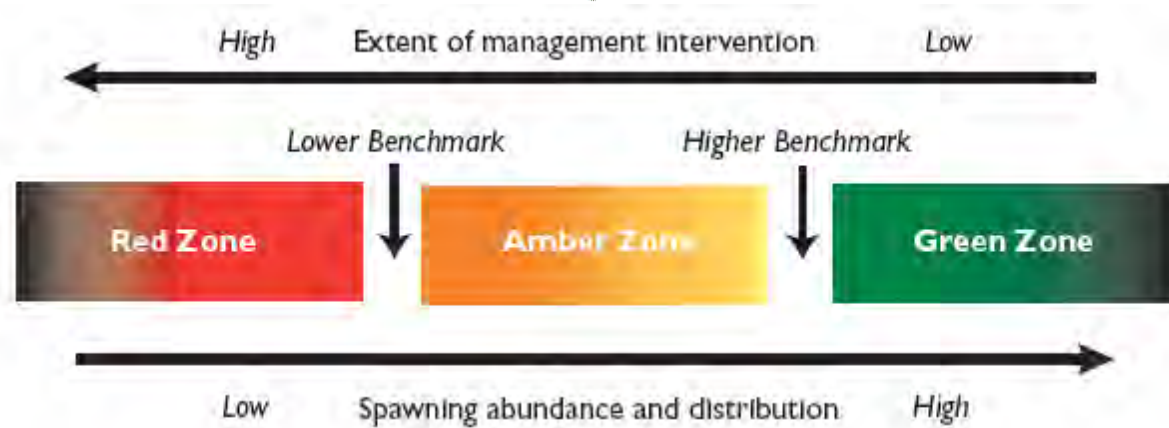
$S_{gen}$ : spawner abundances that will result in recovery to  $S_{MSY}$  within 1 generation

40% of  $S_{MSY}$

Canada's Wild Salmon Policy (Holt et al. 2009):

Fisheries and Ocean's Canada Precautionary Approach (2009):

# Benchmarks of biological status



## Lower benchmark

$S_{gen}$ : spawner abundances that will result in recovery to  $S_{MSY}$  within 1 generation

40% of  $S_{MSY}$

Relatively low probability of extirpation and high probability of recovery compared with other candidate lower benchmarks under scenarios of reduced productivity

Canada's Wild Salmon Policy (Holt et al. 2009):

Fisheries and Ocean's Canada Precautionary Approach (2009):

# Data-limited populations

## Pervasive

- In Canada, **~75%** assessment units of Pacific salmon have insufficient data to estimate stock-recruitment relationships and associated benchmarks

## Limitations increasing

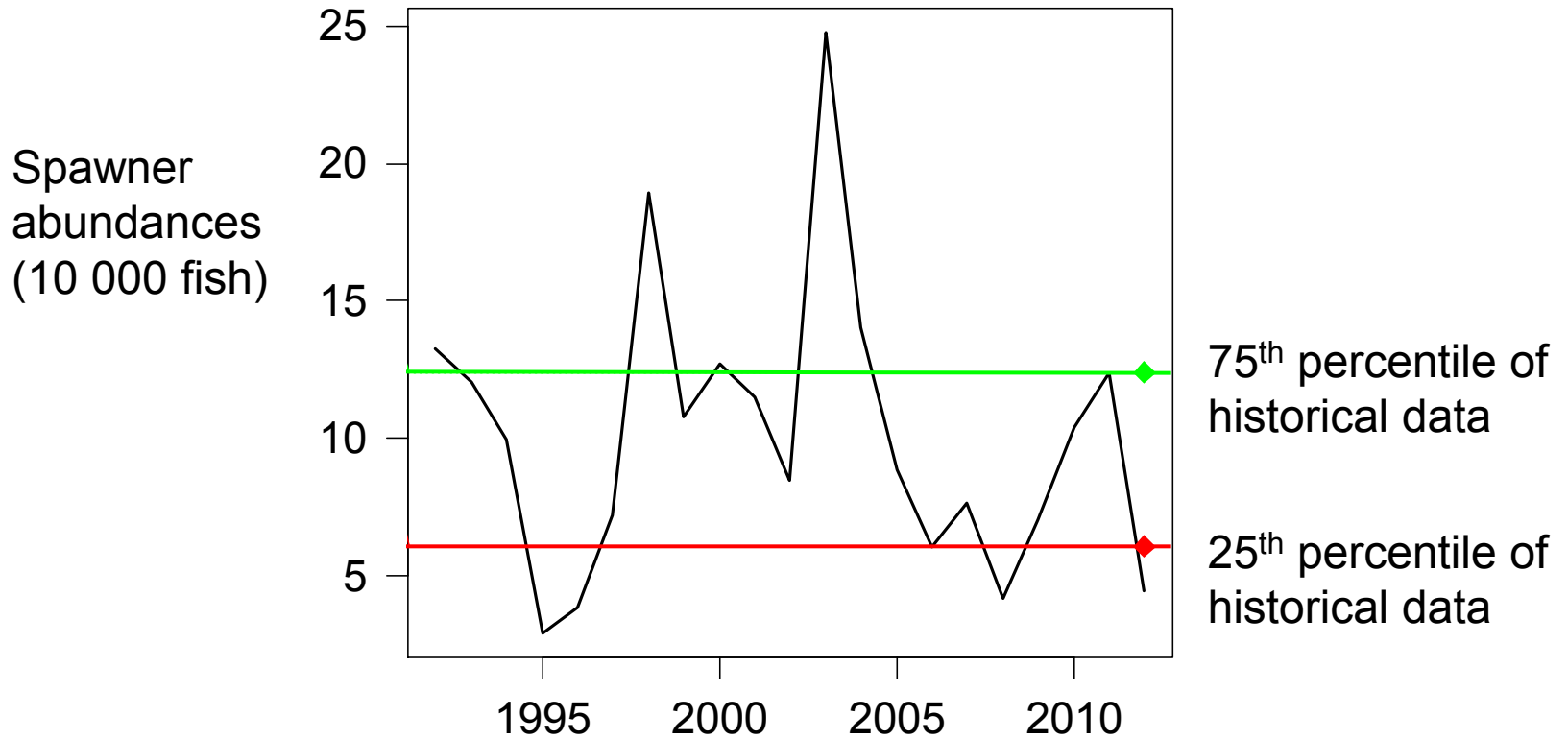
- Reductions in fishing pressure will result in loss of fishery-derived data

## Alternatives

- Benchmarks derived from time-series of spawner abundances have been proposed, but have not been rigorously evaluated

# Data-limited population

## Percentile Benchmarks



# Objectives

1. Evaluate the performance of lower benchmarks derived for **data-limited** populations of Pacific salmon based on spawner time-series alone against those derived from data-intensive methods, given changes in stock **productivity**

## Two approaches:

- Status against benchmarks used to inform annual harvest decisions
- Benchmarks inform biological or conservation status independent of harvest decisions



# Objectives

1. Evaluate the performance of lower benchmarks derived for **data-limited** populations of Pacific salmon based on spawner time-series alone against those derived from data-intensive methods, given changes in stock **productivity**
2. Evaluate effects of **outcome uncertainty** (from implementing management actions) on relative performance
3. Evaluate effects **reductions in survey coverage** on relative performance
4. Identify if results are robust to assumptions about straying among sub-populations and **meta-population stock structure**

Simulation model developed for a hypothetical population of chum salmon

Initialization: 20%  
carrying capacity  
25 years

## Population dynamics sub-model

- 5 sub-populations within an assessment unit
- random variability in recruitment residuals and age-structure
- includes straying

## Harvest sub-model

- random variability in outcomes of implementing harvest rule

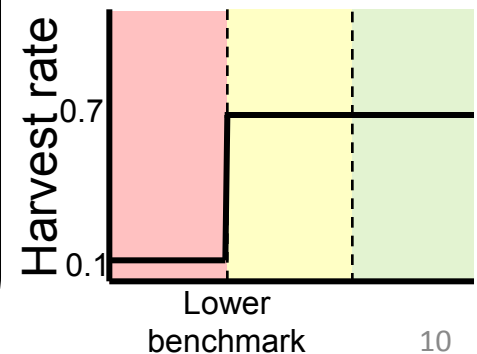


## Observation sub-model

- random variability in observed spawner abundances and recruitment

## Management sub-model

- derivation of benchmarks from historical observations (both data-intensive and data-limited cases)
- target harvest rate chosen from harvest rule bounded by lower benchmark



Initialization: 20%  
carrying capacity  
25 years

## Population dynamics sub-model

- 5 sub-populations within an assessment unit
- random variability in recruitment residuals and age-structure
- includes straying

## Harvest sub-model

- random variability in outcomes of implementing harvest rule

100  
years and  
1000 MC  
trials

## Observation sub-model

- random variability in observed spawner abundances and recruitment

## Management sub-model

- derivation of benchmarks from historical observations (both data-intensive and data-limited cases)
- target harvest rate chosen from harvest rule bounded by lower benchmark

## Performance

Proportion of trials where pop went extinct (<100 fish over 1 generation)

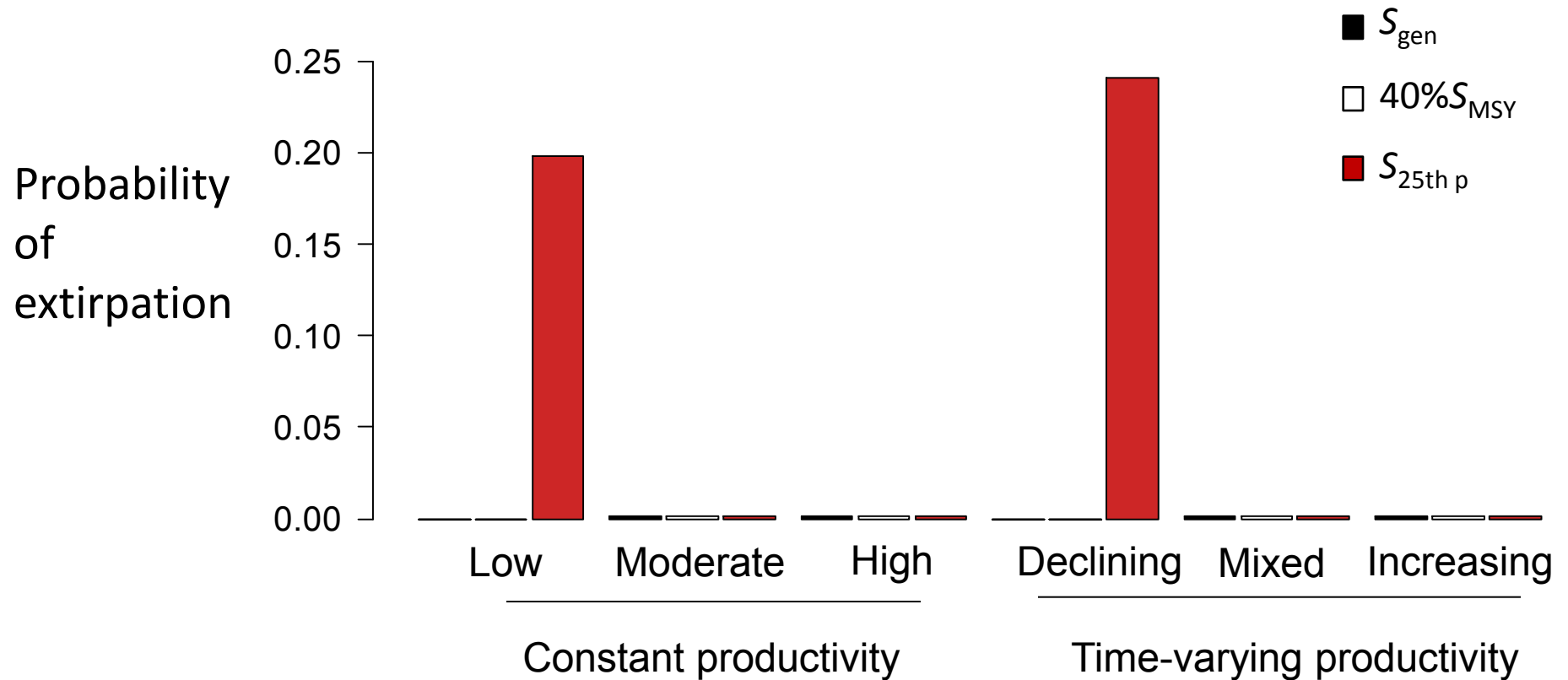
# Scenarios

- Three lower benchmarks:

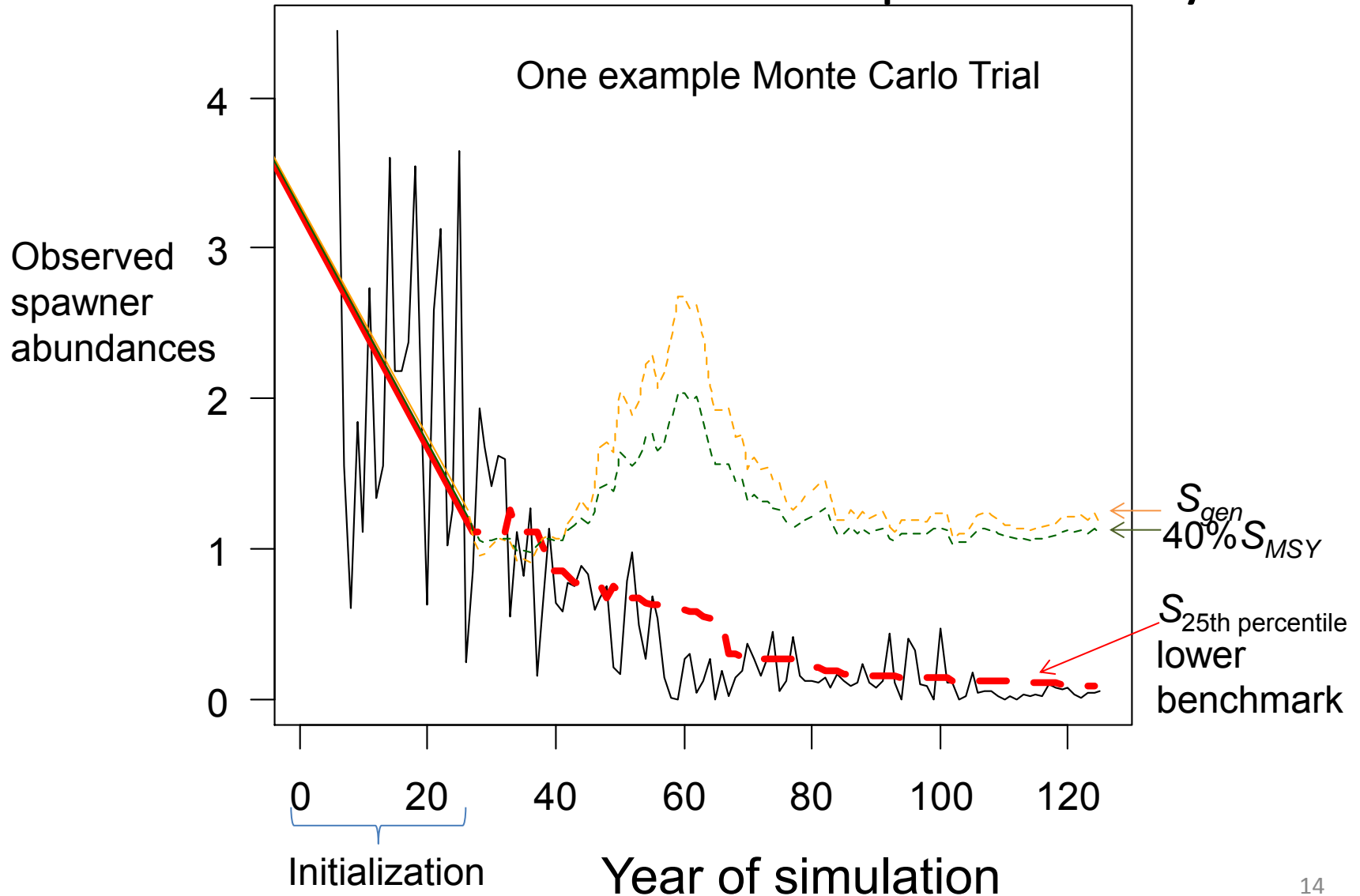
(1) $S_{gen}$	} Data-intensive
(2) 40% $S_{MSY}$	
(3) $S_{25th\ percentile}$	} Data-limited

	Variable	Base case	Scenarios
Obj.1	Productivity (recruits/spawner at low spawner abundances)	4.5	2, 7.5
	Trends in productivity	Stable	From 4.5 to 2 (7.5) over 50 years
Obj.2	Outcome uncertainty ( $\sigma_{OU}$ )	0.3	0.5
Obj.3	Survey coverage	100%	50-100%
Obj.4	Straying among sub-populations	2%	0,10%
	Autocorrelation in recruitment anomalies	0.4	0.2, 0.6, 0.8
	Covariation in recruitment anomalies between pairs of sub-populations ( $\sigma_C$ )	0.4	0, 0.6

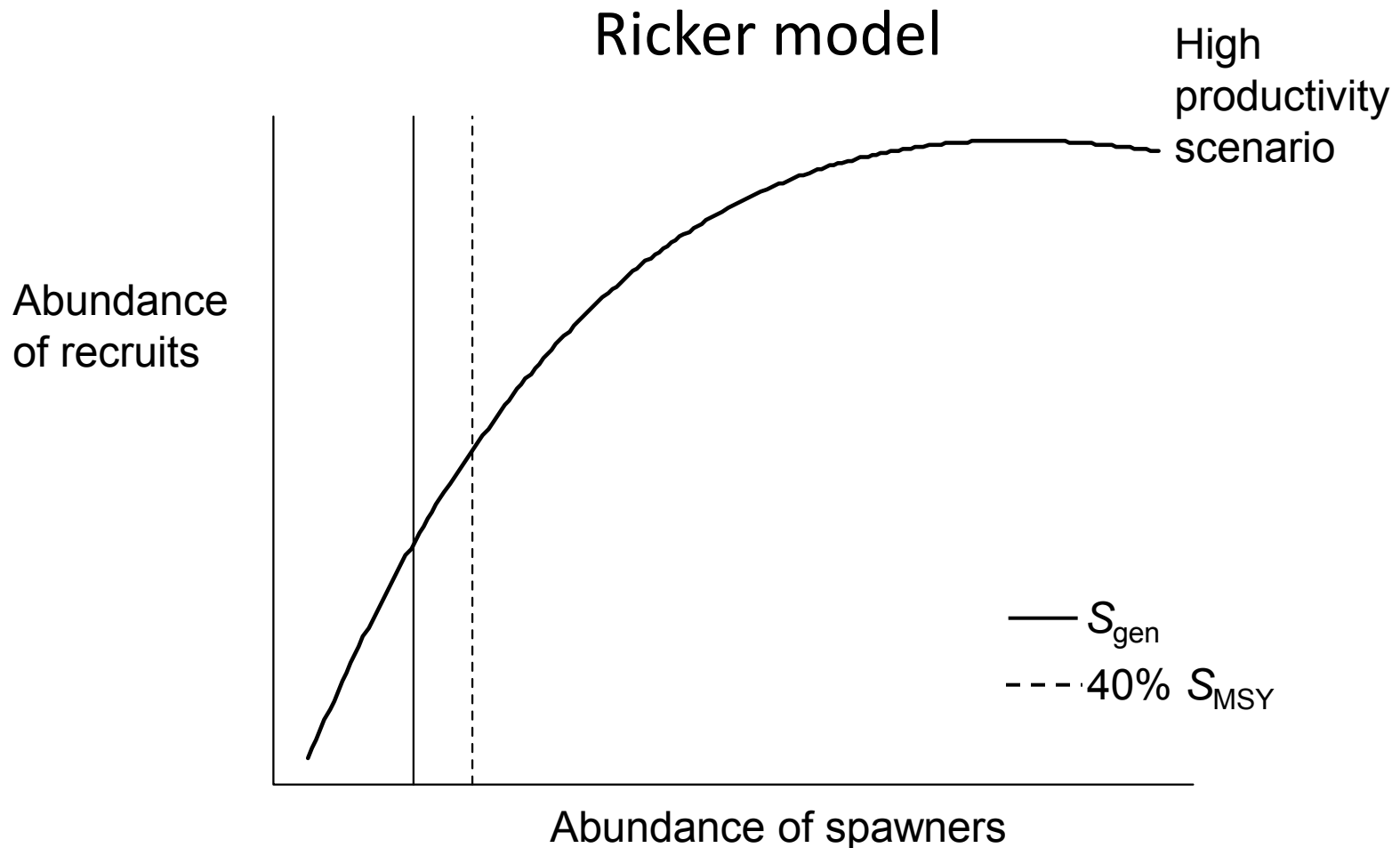
# Obj 1: Performance of percentile benchmarks sensitive to reductions in productivity



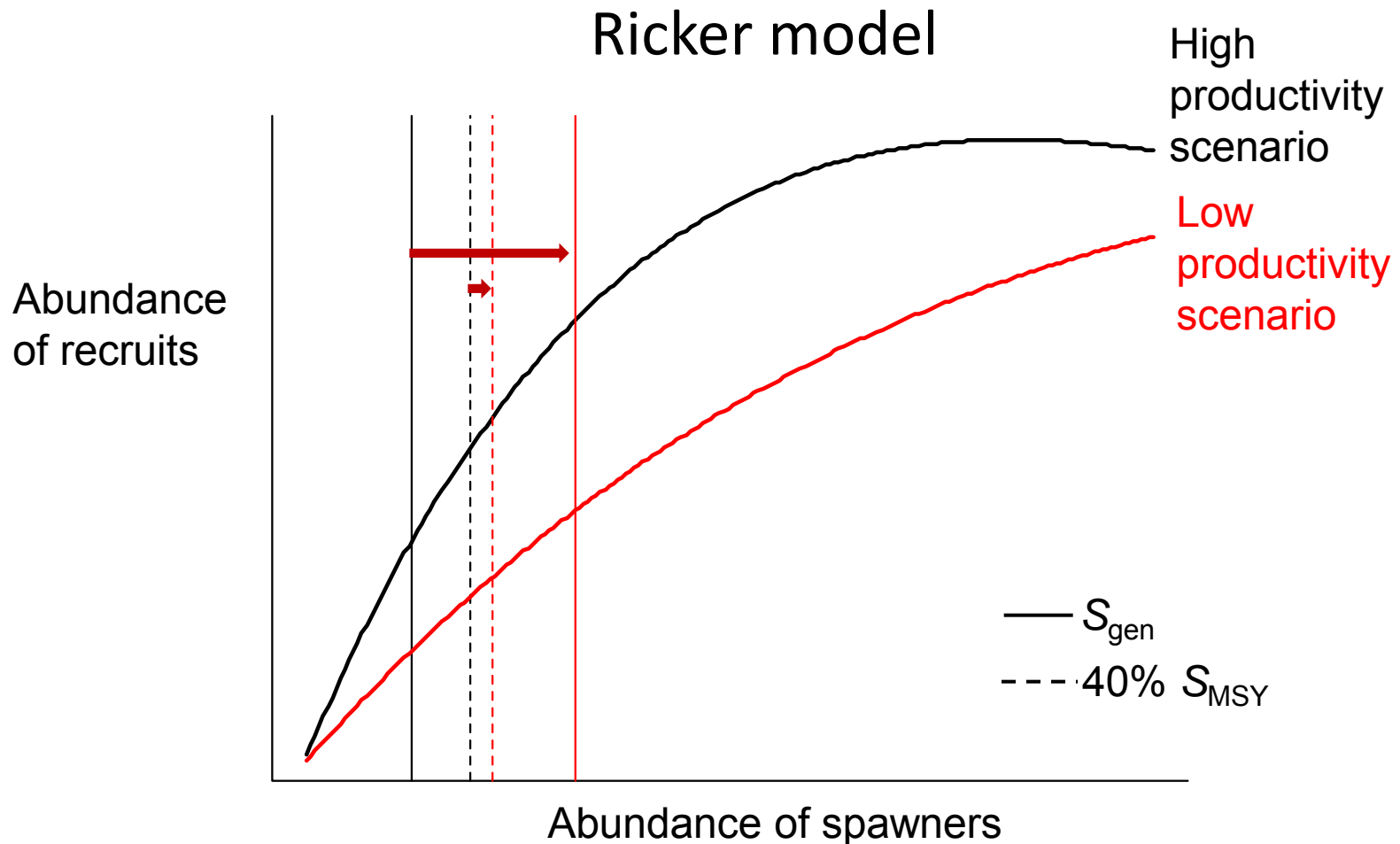
# Obj 1: Performance of percentile benchmarks sensitive to reductions in productivity



# Obj 1: Benchmarks based on spawner-recruit relationship **increase** as productivity declines



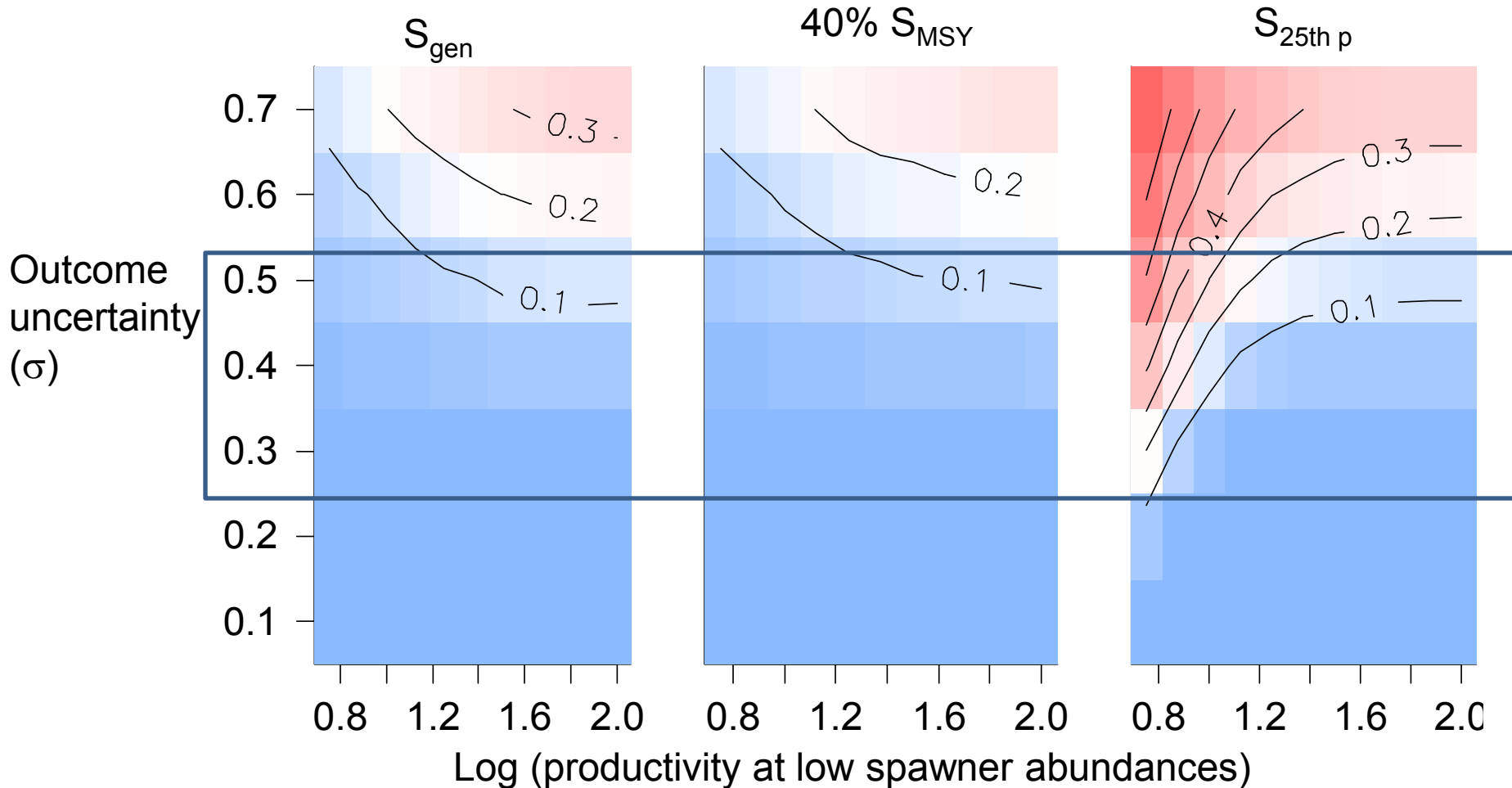
# Obj 1: Benchmarks based on spawner-recruit relationship **increase** as productivity declines





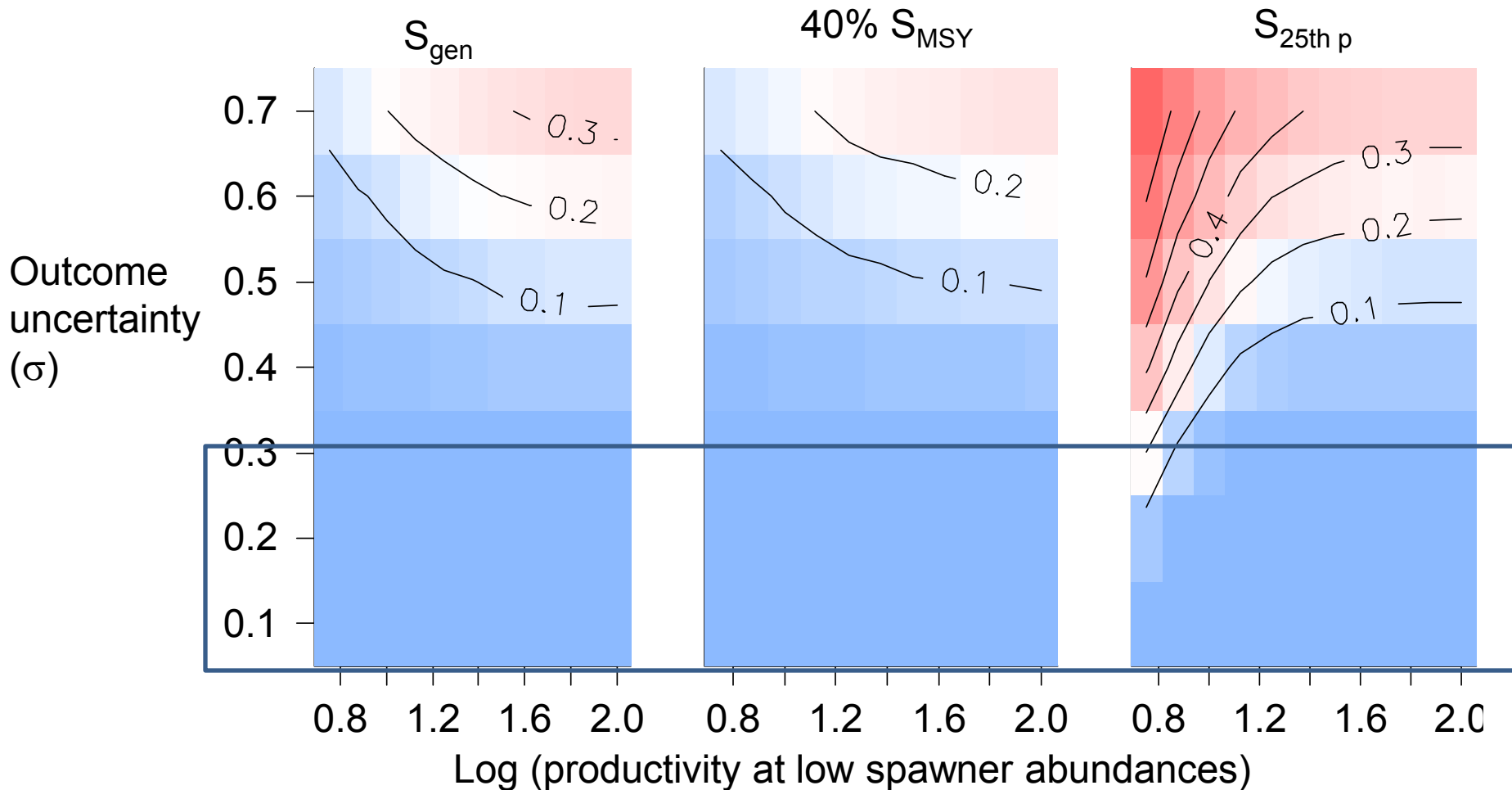
# Obj 2: Performance of percentile benchmarks sensitive to outcome uncertainty, especially at low productivity

## Probability of extirpation



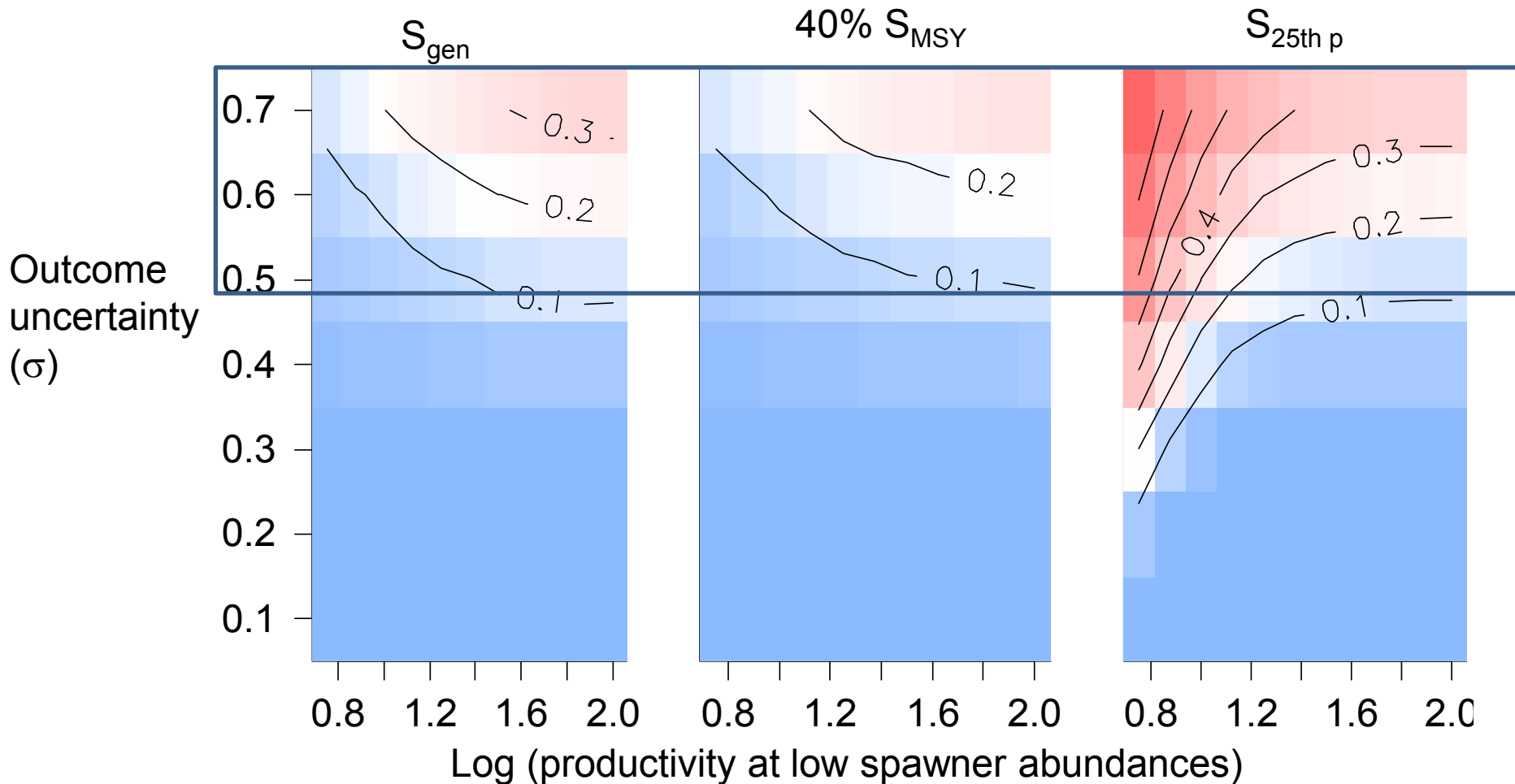
# Obj 2: Performance of percentile benchmarks sensitive to outcome uncertainty, especially at low productivity

## Probability of extirpation

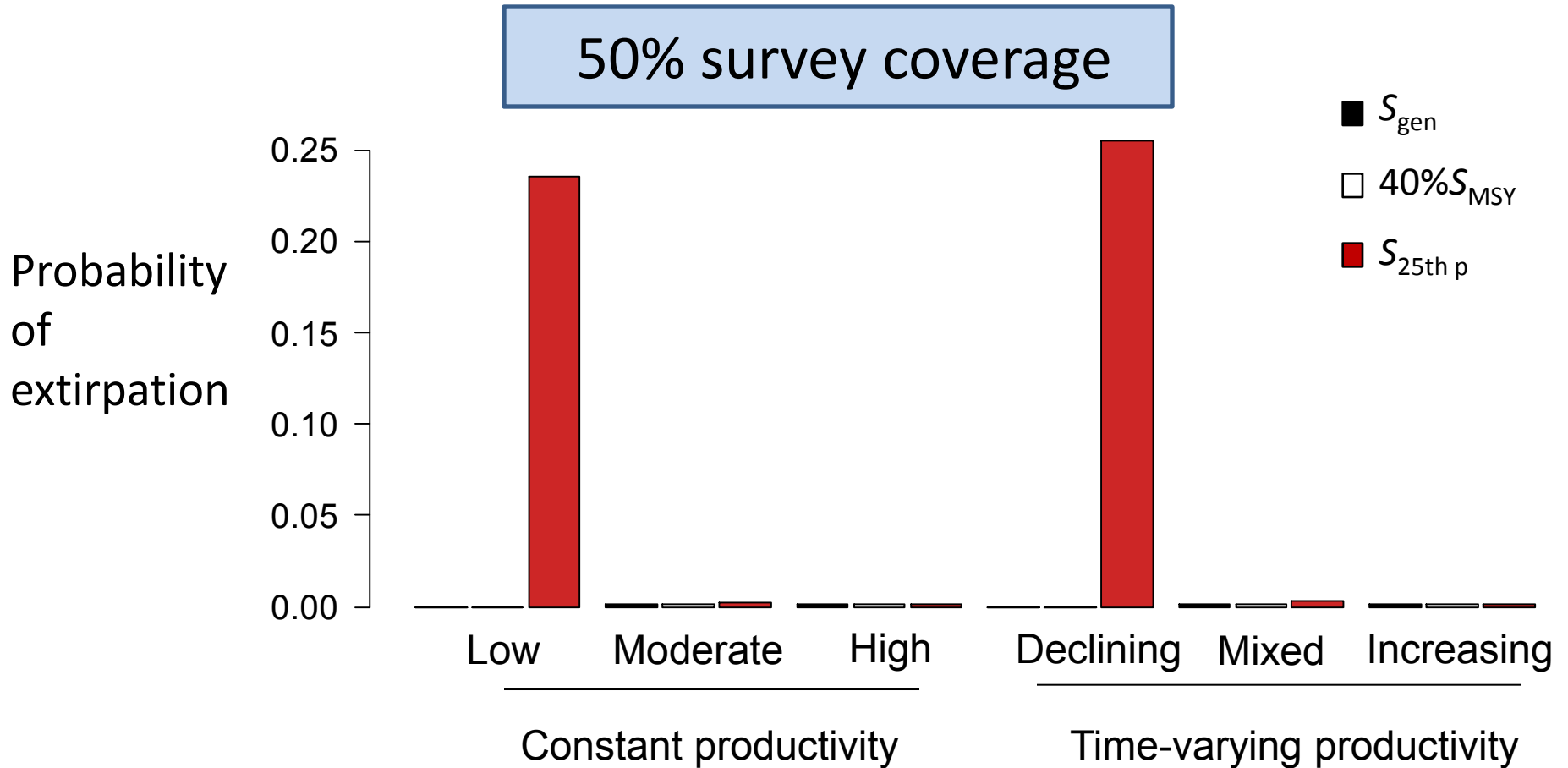


# Obj 2: Performance of percentile benchmarks sensitive to outcome uncertainty, especially at low productivity

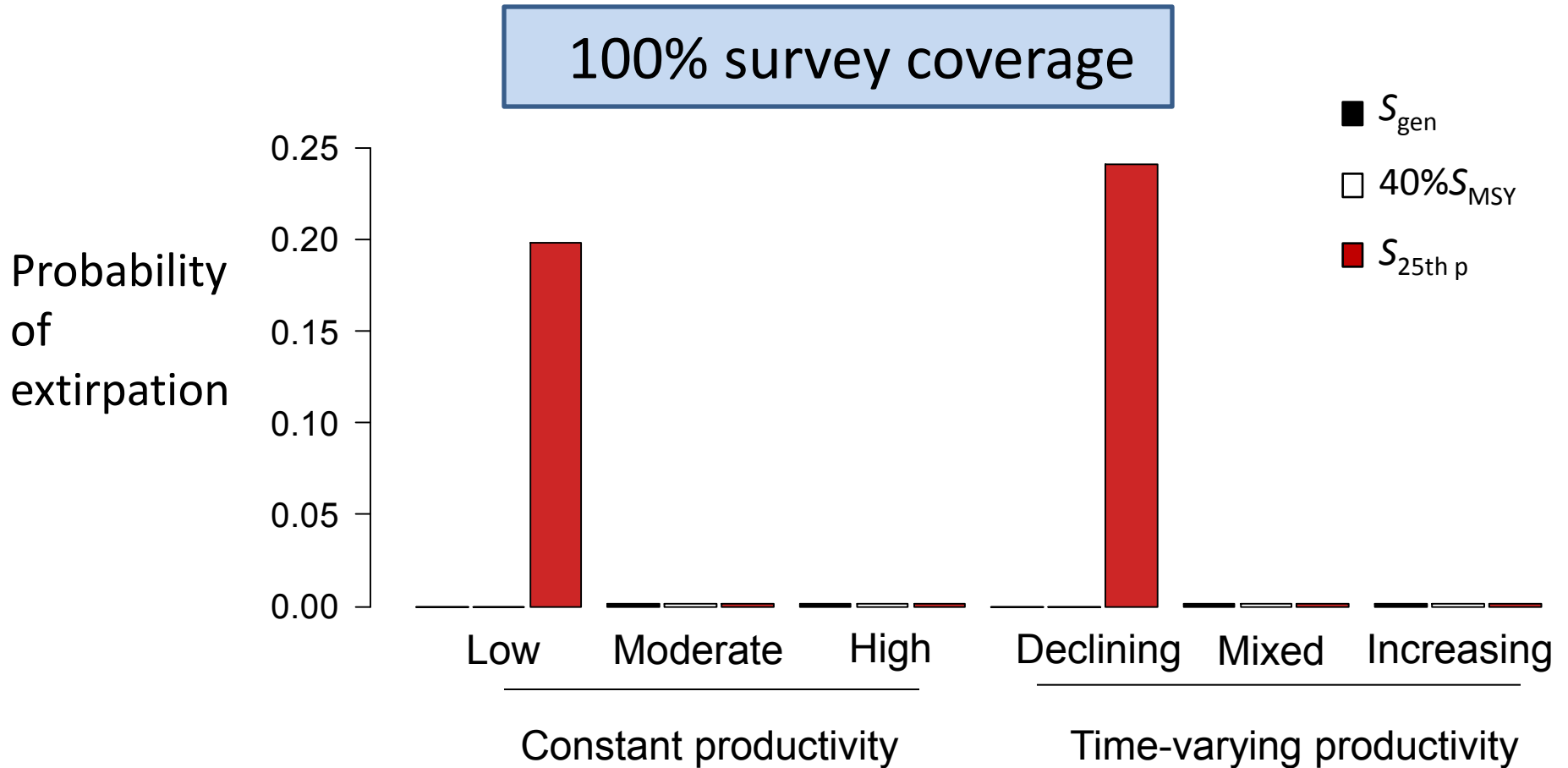
## Probability of extirpation



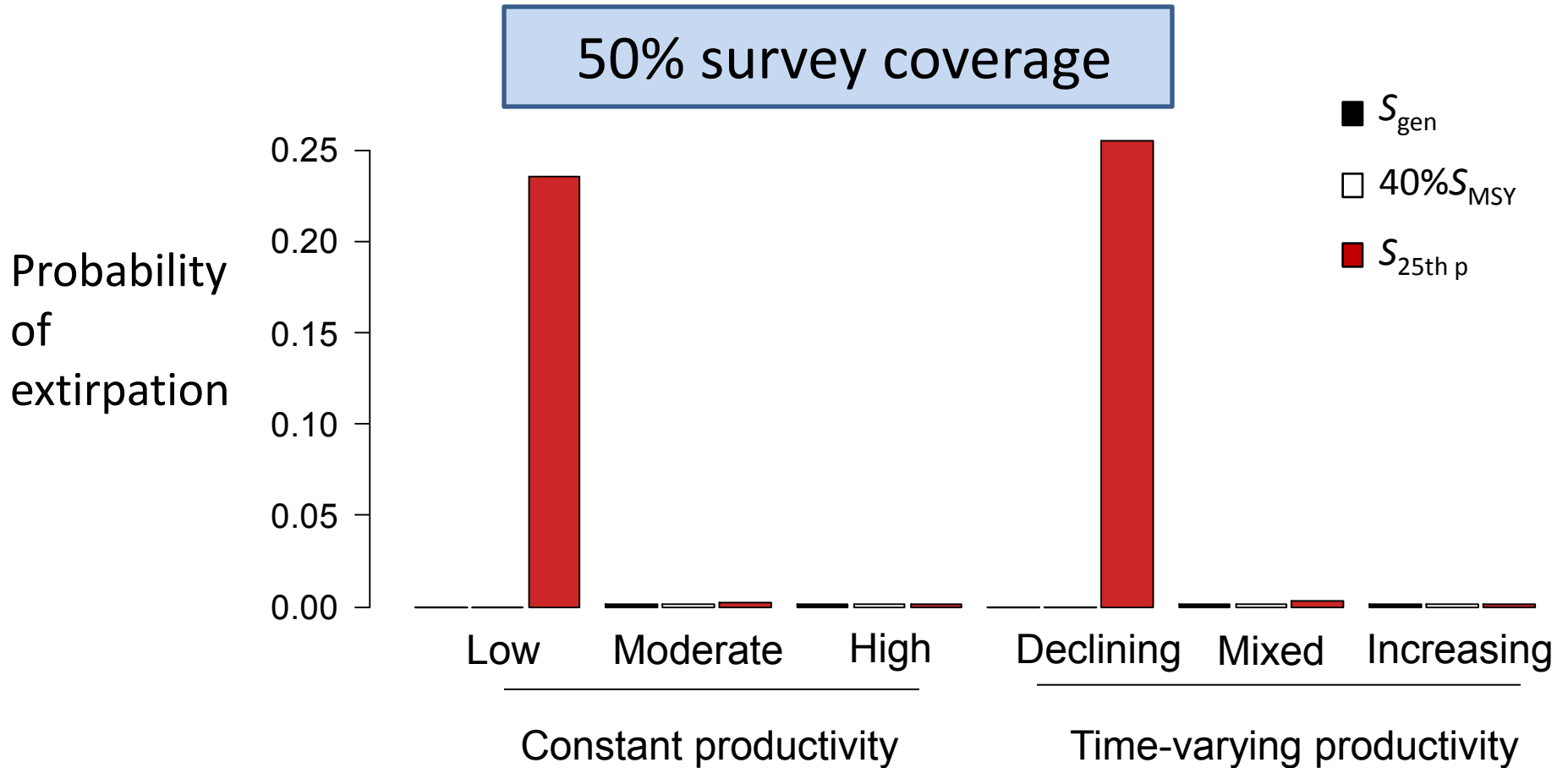
# Obj 3: Performance of percentile benchmarks relatively insensitive to survey coverage



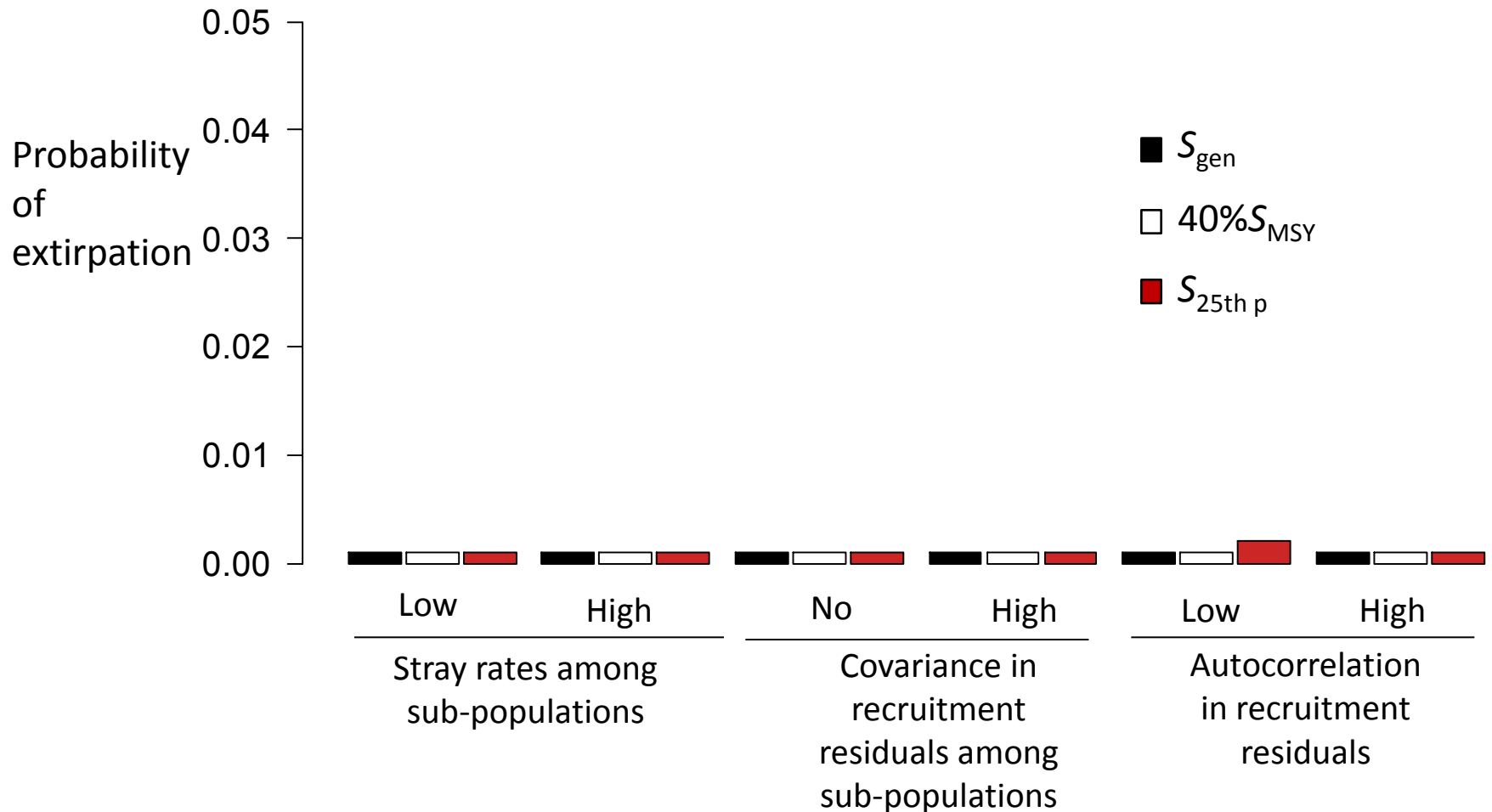
# Obj 3: Performance of percentile benchmarks not sensitive to survey coverage



# Obj 3: Performance of percentile benchmarks relatively insensitive to survey coverage



# Obj 4: Performance of all benchmarks tend to be insensitive to assumptions about straying and meta-population structure



# Objectives

1. Evaluate the performance of lower benchmarks derived for **data-limited** populations of Pacific salmon based on spawner time-series alone against those derived from data-intensive methods, given changes in stock **productivity**

## Two approaches:

- Status against benchmarks used to inform annual harvest decisions
- Benchmarks inform biological or conservation status independent of harvest decisions



Initialization: 20%  
carrying capacity  
25 years

## Population dynamics sub-model

- 5 sub-populations within an assessment unit
- random variability in recruitment residuals and age-structure
- includes straying

## Harvest sub-model

- random variability in outcomes of implementing harvest rule

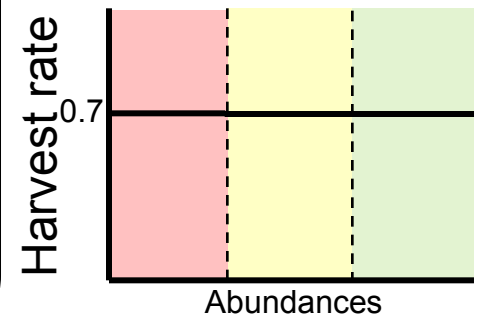


## Observation sub-model

- random variability in observed spawner abundances and recruitment

## Management sub-model

- derivation of benchmarks from historical observations (both data-intensive and data-limited cases)
- target harvest rate chosen from harvest rule bounded by lower benchmark



Initialization: 20%  
carrying capacity  
25 years

## Population dynamics sub-model

- 5 sub-populations within an assessment unit
- random variability in recruitment residuals and age-structure
- includes straying

## Harvest sub-model

- random variability in outcomes of implementing harvest rule

100  
years and  
1000 MC  
trials

## Observation sub-model

- random variability in observed spawner abundances and recruitment

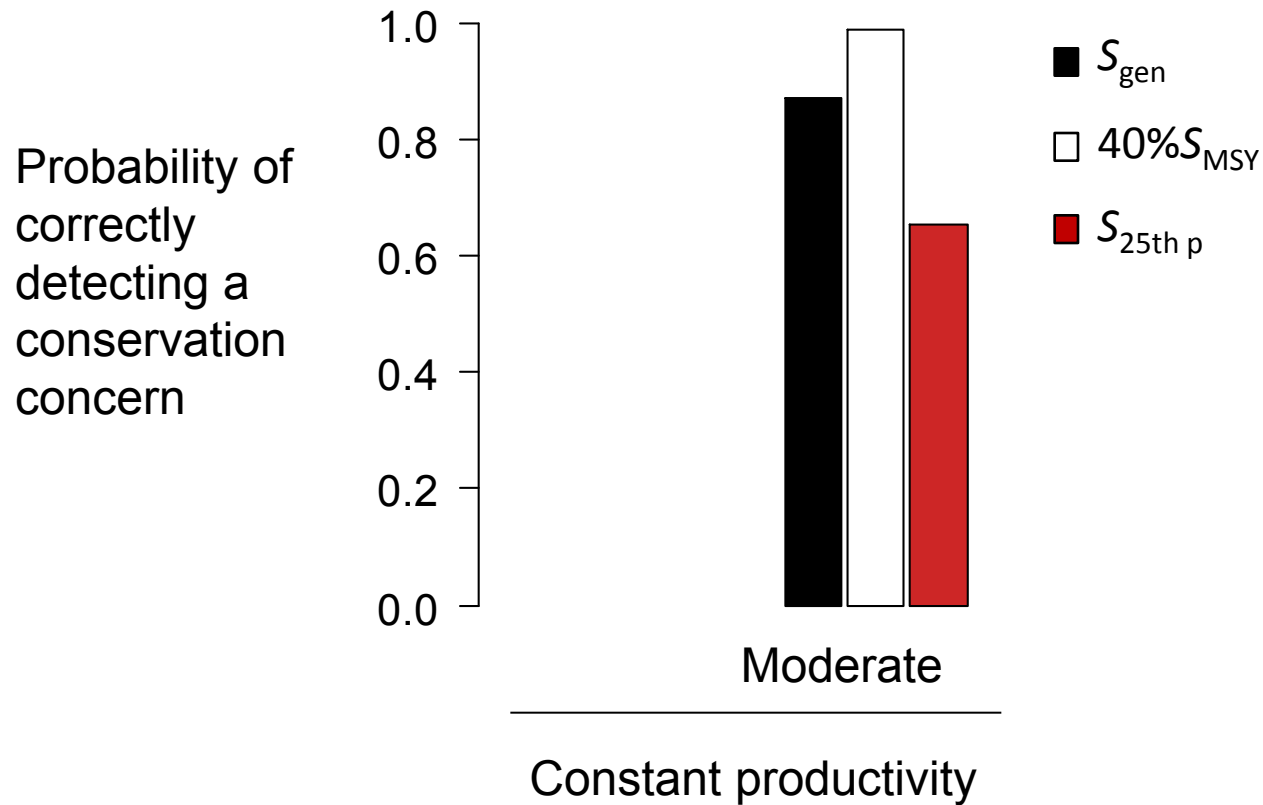
## Management sub-model

- derivation of benchmarks from historical observations (both data-intensive and data-limited cases)
- target harvest rate chosen from harvest rule bounded by lower benchmark

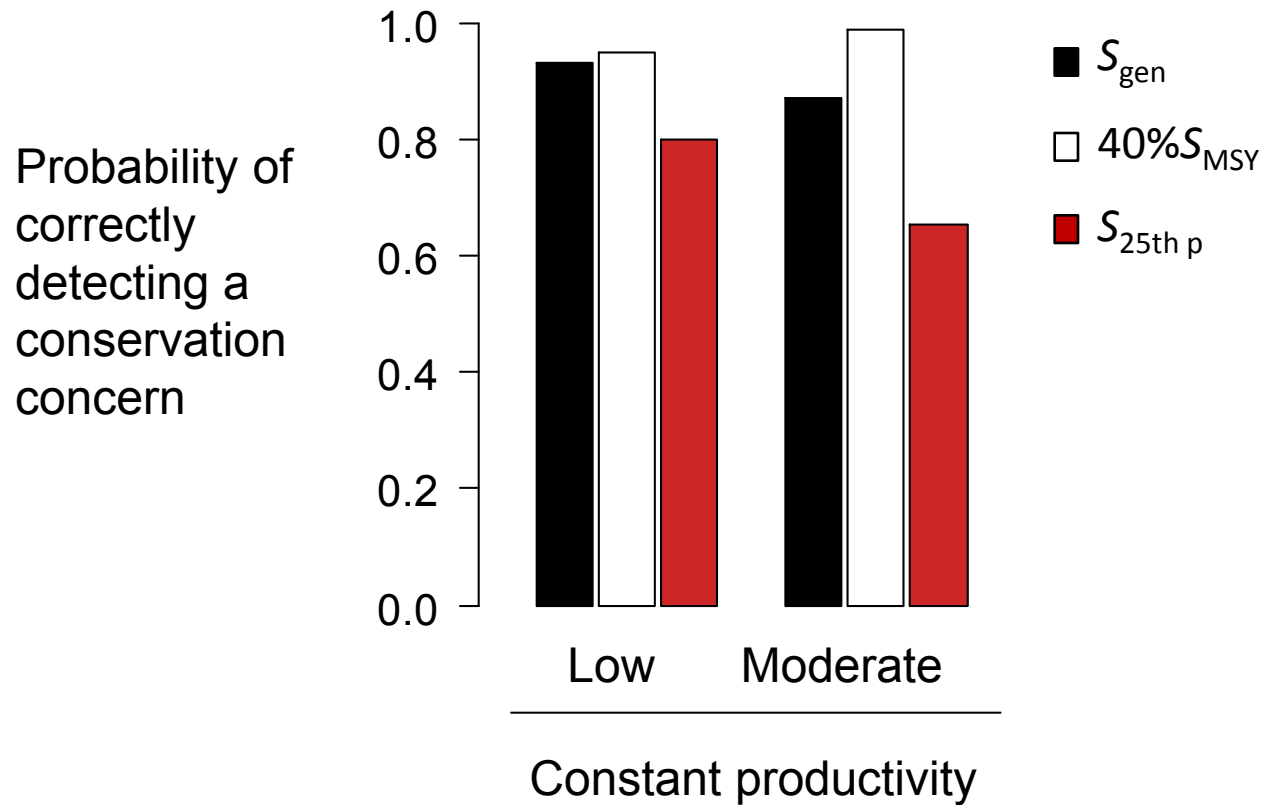
## Performance

Proportion of trials where the lower benchmark correctly detects a conservation concern

# Benchmarks based on percentiles have lower probability of correctly detecting a conservation concern



# Benchmarks based on percentiles have lower probability of correctly detecting a conservation concern



# Summary

- Benchmarks derived from percentiles of historical spawner abundances had similar performance to more data-intensive benchmarks under moderate-high and constant or increasing productivity when they are used to inform harvest management
- **Percentile-based benchmarks** performed **poorly** when **productivity was low** or declining
- All benchmarks performed poorly under high **outcome uncertainty**
- Percentile benchmarks were less able to detect **conservation concerns** than data-intensive benchmarks

# Recommendations

- Benchmarks and reference points are increasingly being developed for data-poor populations in BC and AK from spawner abundances alone. Our results suggest **caution** when applying benchmarks derived from percentiles of historical time-series where declines in productivity are a concern and outcomes of management actions are uncertain
- Consider **adapting** benchmarks to account for changes in productivity and/or outcome uncertainty (on going work)



# Recommendations

- **Uncertainties in outcomes of implementing** harvest decision are significant, and should be considered when evaluating management approaches
- Simulation modelling provides powerful **tool to evaluate different management and assessment approaches** under various future scenarios in climate and other physical/biological conditions
- Also provides tool for **communicating uncertainties** of impacts of climate changes on various management approaches
  - Transparency about assumptions
  - Can include stakeholder input
  - Range of futures considered
  - Directly related to management needs



# Acknowledgements

Chuck Parken, Antonio Velez-Espino, Ann-Marie Huang, Matt Grinnell, Sue Grant, Gérald Chaput, Lyse Godbout from Fisheries and Oceans Canada

